



Forest Stewardship Council®



The FSC National Forest Stewardship Standard of Namibia (DRAFT V 2.0)



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Country Contact:	Manushka Moodley m.moodley@fsc.org
FSC Policy and Standards Unit Contact	<p>FSC International Center - Policy and Standards Unit - Charles-de-Gaulle-Str. 5 53113 Bonn, Germany</p> <p> +49-(0)228-36766-0 +49-(0)228-36766-30 policy.standards@fsc.org</p>
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- 1. Preface**
 - 1.1. Descriptive statement of FSC
 - 1.2. Descriptive statement of the National Office / Standard Development Group
- 2. Preamble**
 - 2.1. Purpose
 - 2.2. Scope
 - 2.3. Background information on the standard development
- 3. Version**
- 4. Context**
- 5. References**
- 6. Note on the interpretation of indicators.**
- 7. Principles, Criteria and National Indicators**
- 8. Annexes to a Forest Stewardship Standard**
- 9. Glossary of Terms**
- 10. Abbreviations**

Annex A	List of applicable laws, regulations and nationally-ratified international treaties, conventions and agreements
Annex B	Training requirements for workers
Annex C	Protective clothing and health and safety measures
Annex D	Minimum Housing Requirements
Annex E	Elements of the Management Plan
Annex F	Monitoring Requirements
Annex G	HCV Framework



1. Preface

1.1. Descriptive statement of the Forest Stewardship Council (FSC)

The Forest Stewardship Council A.C. (FSC) was established in 1993, as a follow-up to the United Nations Conference on Environment and Development (the Earth Summit at Rio de Janeiro, 1992) with the [mission](#) to promote environmentally appropriate, socially beneficial, and economically viable management of the world's [forests](#).

Environmentally appropriate forest management ensures that the production of timber, [non-timber products](#) and [ecosystem services](#) maintains the forest's biodiversity, productivity, and ecological processes. Socially beneficial forest management helps both local people and society at large to enjoy long term benefits and also provides strong incentives to local people to sustain the forest resources and adhere to long-term [management plans](#). Economically viable forest management means that forest operations are structured and managed so as to be sufficiently profitable, without generating financial profit at the expense of the forest resource, the [ecosystem](#), or affected communities. The tension between the need to generate adequate financial returns and the principles of responsible forest operations can be reduced through efforts to market the full range of forest products and services for their best value ([FSC A.C. By-Laws](#), ratified, September 1994; last revision in June 2011).

FSC is an international organization that provides a system for voluntary accreditation and independent third-party certification. This system allows certificate holders to market their products and services as the result of environmentally appropriate, socially beneficial and economically viable forest management. FSC also sets standards for the development and approval of FSC Stewardship Standards which are based on the [FSC Principles and Criteria](#). In addition, FSC sets standards for the accreditation of Conformity Assessment Bodies (also known as Certification Bodies) that certify compliance with FSC's standards. Based on these standards, FSC provides a system for certification for organizations seeking to market their products as FSC certified.

1.2. Descriptive statement of the Standard Development Group

FSC in Namibia is represented by the FSC Southern Africa Sub-regional office.

2. Preamble

2.1. Purpose of the standard

This standard sets out the required elements against which FSC accredited Certification Bodies shall evaluate forest management practices within the scope (see 2.2. below) of the standard.

The [FSC Principles and Criteria](#) (P&C) for Forest Stewardship provides an internationally recognized standard for responsible forest management. However, any international standard for forest management needs to be adapted at the regional or national level in order to reflect the diverse legal, social and geographical conditions of forests in different parts of the world. The [FSC P&C](#) therefore require the addition of indicators that are



adapted to regional or national conditions in order to be implemented at the [Forest Management Unit](#) (FMU) level.

With the approval of [FSC-STD-60-004 V1-0 EN](#) the FSC *International Generic Indicators* (IGI) by the FSC Board of Directors in March 2015, the adaptation of the P&C to regional or national conditions is done using the [IGI standard](#) as the starting point. This has the advantage to:

- Ensure the consistent implementation of the P&C across the globe;
- Improve and strengthen the credibility of the FSC System;
- Improve the consistency and quality of National Forest Stewardship Standards;
- Support a faster and more efficient approval process of National Forest Stewardship Standards.

The [FSC Principles and Criteria](#) together with a set of national indicators approved by FSC Policy and Standards Committee (PSC) constitute an FSC National Forest Stewardship Standard (NFSS).

The development of NFSS follows the requirements set out in the following FSC normative documents:

- [FSC-PRO-60-006 V2-0 EN](#) *Development and Transfer of National Forest Stewardship Standards to the FSC Principles and Criteria Version 5-1*;
- [FSC-STD-60-002](#) (V1-0) *EN Structure and Content of National Forest Stewardship Standards AND*
- [FSC-STD-60-006](#) (V1-2) *EN Process requirements for the development and maintenance of National Forest Stewardship Standards.*

The above documents have been developed by the FSC Policy and Standards Unit (PSU) to improve consistency and transparency in certification decisions between different Certification Bodies in the region/nation and indifferent parts of the world, and thereby to enhance the credibility of the FSC certification scheme as a whole.



2.2. Scope of standard

This standard is applicable to all forest operations seeking FSC certification within the standard applies to [natural forest types](#) in Namibia.

2.3. Background information on the standard development:

The development of this NFSS has been based on FSC-STD-60-004 V2-0 EN, the FSC International Generic Indicators (IGI) approved by FSC to facilitate national adaptation and ensure the consistent implementation of the P&C across the globe; to improve the quality of National Forest Stewardship Standards and strengthen the credibility of the FSC system.

The development of NFSS follows the requirements set out in the following FSC normative documents:

1. FSC-PRO-60-006 V2-0 EN Development and Transfer of National Forest Stewardship Standards to the FSC Principles and Criteria Version 5-1;
2. FSC-STD-60-002 (V1-0) EN Structure and Content of National Forest Stewardship Standards
3. FSC-STD-60-004 (V2-0) EN International Generic Indicators; and
4. FSC-STD-60-006 (V1-2) EN Process requirements for the development and maintenance of National Forest Stewardship Standards.

The above documents have been developed by the FSC Policy and Standards Unit (PSU) to improve consistency and transparency in certification decisions between different Certification Bodies in the region/nation and in different parts of the world, and thereby to enhance the credibility of the FSC certification structure as a whole.

In conformity with these requirements, a Proposal for the development of the NFSS for Namibia was approved by PSU in August 2017. Public consultation on Draft 1 was held during 31 January – 31 March 2018; Public Consultation was held during 23 August – 23 October 2018.

3. Version of the standard

Document reference code:	FSC-STD-NAM-01-2018_Draft 2
Approval date	pending
Effective date	pending
Validity Period	Five (5) years, commencing from the effective date.

5. Content



(Source: Hitchcock R, 2015)

4.1. Context

Forestry in Namibia consists of indigenous tree species, of which savanna vegetation type(s) comprise of ~70%, dominated by Thornbush in north- central Namibia. There is no plantation forestry in Namibia, i.e. the commercialisation of exotic tree species. Selected seasonal river areas are infested with mostly alien, invasive shrubs and trees.

Categories of Forests in Namibia are classified according to land use practices, and the composition of resources. The Minister of Agriculture Water and Forestry (MAWF) has declared reserves on state land which has not been allocated as communal land. This includes the following:

Community Forests – Forests declared on communal land, with the agreement of the Chief or Traditional Authority. A body representing the people who traditionally use the community forest is appointed as the forest management authority;

Natural Forests in Namibia are declared as State Forest Reserves – as they occur on state land which has not been allocated as communal land. However, on reasonable grounds, for effective management, any communal land can be declared as a state forest for the purposes of managing forest resources of national importance or to preserve the ecosystems and other components of biological diversity; and

Regional Forest Reserves - are created at the request of the Regional Council, which negotiates with the Chief



or Traditional Authority and others whose rights are affected.

Namibia is severely affected by bush thickening (bush encroachment). Bush encroachment is a natural phenomenon characterised by the excessive expansion of bush at the expense of other plant species, especially grasses. Bush encroachment is estimated to affect up to 45 million ha of Namibian land and has severe negative consequences on key ecosystem services, especially agricultural productivity, biodiversity and groundwater recharge. Agricultural and/or land-use based productivity, like wildlife ranching and game farming, in Namibia has declined by two thirds throughout the past decades, mainly due to the negative impact of bush encroachment. The phenomenon affects commercial, resettlement and communal farming in Namibia, mostly the central, eastern and north-eastern regions. Various factors have been found to contribute to the process of bush thickening (encroachment). These include the reduction in the frequency of fires as well as overgrazing

Increasingly, the biomass from bush causing bush thickening is seen as a natural resource for downstream agro-processing related industries and energy supply. The commercialisation of the encroacher species is seen as a means to an end to restore degraded land to more natural conditions, or rehabilitated rangelands for livestock production.

Charcoal is one of the main products produced from encroachment bush. It is mainly exported to South Africa and overseas markets (100 000 - 150 000 tonnes per annum). Namibia has a well-established charcoal sector, which comprises approximately 700 producers and employs a total of 5 000 - 6 000 workers. Currently, most producers are farmers, who venture into charcoal production as a means to improve rangeland productivity on their own land. However, increasingly small enterprises also venture into charcoal making. As per national forestry regulations, charcoal can only be produced from encroaching species.

4.2 The Standard Development Group (SDG) consisted of representation from 3 chambers:

Environmental	Economic	Social
1. Peter Cunningham	2. Frank Detering	3. Auriol Ashby
Organization: Environment & Wildlife Consulting Namibia	Organization: Carbo Namibia	Organization: Ashby Associates CC
4. Stephan Bezuidenhout	5. Dagmar Honsbein	6. Willem Enslin
Organization: Environmental Compliance Consultancy	Organization: iDeal-x integrated scientific services CC	Organization: Farm Breedtskroon 242



4.3. The SDG consulted in the following parties in preparation of the Draft NFSS:

- i. Representatives of Charcoal producers, biomass processors, suppliers and users and Land owners
- ii. Willem Odendaal, Legal Assistance Centre
- iii. Wendy Viall, Nyae Nyae Development Foundation of Namibia
- iv. Pierre du Plessis, Senior Consultant member and former Director of the Centre for Research Information Action in Africa – Southern African Development and Consulting (CRIA SA-DC), specializing in fair and equitable sharing of benefits of indigenous natural products commercialization and management
- v. Dave Cole, consultant on the commercialization of indigenous natural plant products in Namibia
- vi. Carol Murphy, consultant for Community Forests in Zambezi Region
- vii. Bruce Brewer, Cheetah Conservation Fund
- viii. Danie Van Vuuren, Namibian Agricultural Union
- ix. Stuart Valentine, Forestry Solutions
- x. Representatives of biomass-to-energy producers
- xi. Policy makers, directly concerned with forest regulations and licensing

5. References

The following referenced documents are relevant for the application of this standard. For references without a version number, the latest edition of the referenced document (including any amendments) applies.

FSC-POL-01-004	Policy for the Association of Organizations with FSC
FSC-POL-20-003	The Excision of Areas from the Scope of Certification
FSC-POL-30-001	FSC Pesticides Policy
FSC-POL-30-401	FSC Certification and the ILO Conventions
FSC-POL-30-602	FSC Interpretation on GMOs (Genetically Modified Organisms)
FSC-STD-01-002	Glossary of Terms
FSC-STD-01-003	SLIMF Eligibility Criteria
FSC-STD-20-007	Forest Management Evaluations
FSC-STD-30-005	FSC Standard for Group Entities in Forest Management Groups
FSC-STD-60-002	Structure and Content of National Forest Stewardship Standards
FSC-STD-60-006	Development of National Forest Stewardship Standards
FSC-PRO-01-001	The Development and Revision of FSC Normative Documents
FSC-PRO-01-005	Processing Appeals
FSC-PRO-01-008	Processing Complaints in the FSC Certification Scheme
FSC-PRO-01-009	Processing Policy for Association Complaints in the FSC Certification Scheme



6. Note on the interpretation of indicators

For each Criterion a number of indicators are listed. Indicators apply to all scales of Organisations. The application of the Indicator to the Scale of Organisation is determined by the Letter preceding the Indicator number (e.g. 2.3.2.Z for ALL Organisations except SLIMF scaled Organisations). If there is no Letter present, the Indicator applies to all scales of Organisations. Type of verification outlines the requirements from different scales of Organisations that may be considered as evidence of compliance by the Certification Body. Guidance included in the Indicator provides information to the Certificate holder and Certification Body to be considered in the application of the Standard.

Table 1: Indicator Classification

Type of Organisation	Letter
All Organisations except SLIMF	Z
SLIMF only	S
All organisations	No Letter

Risk Evaluation

3 Types of Risk Assessments were carried out by the SDG:

1. The SDG developed a Risk Assessment based on the Corrective Action Request (CARs) history (10 year period) of Certificate Holders in Namibia. This was analysed per type of Certificate, i.e. Group Scheme certificates, Single site certificates (medium scale organisations) and SLIMF certificates. Risks were categorised into Low, Medium and High designations based on the number of CARs raised.
2. A Health and Safety risk assessment was carried out for various work tasks, specifically in the charcoal industry to explain the reduced need for personal protective clothing within the Namibian climatic conditions. Stakeholders were publically consulted on the results and implications. No concerns were raised by local Stakeholders and International Certification Bodies. Personal protective equipment for mechanised operations was not altered from Best Practice Guidelines. Refer to Annexure C.
3. A Risk Assessment was developed to determine risks per activity on Environmental, Social and Economic Values. This assisted with the adaptation of the IGLs and the inclusion of locally relevant indicators. Due to the complexity of the document, it was not released for the initial Public Consultation or included as an Annexure to the NFSS. The Risk Assessments and its integration into the NFSS was explained to stakeholders during a workshop held during the 2nd Public Consultation on the NFSS.



The results from the above risk assessments assisted the SDG with the process and justification in adopting, adapting and dropping IGIs or creating new indicators to highlight issues of concern. The current FSC normative framework does not allow SDGs to drop indicators based solely on a risk analysis, the SDG therefore used alternative justifications, where relevant.

Scale:

There are various scales of forestry operations in Namibia. The SDG has provided the categorisation in Table 1 to be applied by Certificate holders and Certification bodies in implementing and auditing against the requirements of this Standard.

Table 2: Scales of Organisations

Type of Organisation	Size (Hectares)
SLIMF	≤100 ha or a) Less than 20% harvested of the annual MAI and b) Either ≤ 5000m ³ (5000 tonnes, dependent on dominant species) harvested per annum c) OR the <i>average</i> annual harvest from the total production forest is less than 5000 m ³ / year during a 5 year cycle as verified by harvest reports and surveillance audits.
Small	≤100 ha
Medium	100 – 10000 ha
Large	>10000 ha

In Namibia, the traditional concept of small does not apply with the average land use size in forestry being 5250ha. Small land sizes are only applicable in community areas. This would prevent emerging farmers. Harvesting in Namibia is selective harvesting of invasive species.



7. Principles, Criteria and National Indicators

PRINCIPLE 1: COMPLIANCE WITH LAWS	
<p>The Organization shall comply with all applicable laws, regulations and nationally-ratified international treaties, conventions and agreements. (P1 P&C V4)</p>	
<p>Criterion 1.1. The Organization shall be a legally defined entity with clear, documented and unchallenged legal registration, with written authorization from the legally competent authority for specific activities. (new)</p>	
<p>Indicator 1.1.1 Legal registration* is granted by a legally competent* authority to carry out all activities within the scope of the certificate is documented and unchallenged</p> <p>Verifiers: Forestry permit Guidance: Reference: Annex A - List of National and International Laws and Conventions</p>	
<p>Criterion 1.2. The Organization shall demonstrate that the legal status of the Management Unit, including tenure and use rights, and its boundaries, are clearly defined. (C2.1 P&C V4)</p>	
<p>Indicator 1.2.1. Legal* tenure* to manage and use resources within the scope of the certificate is documented and undisputed</p> <p>Verifiers: Title Deed and/or Land tax Invoice - Land owners; Lease agreement - Land managers; Customary Tenure - Stakeholder interviews</p>	
<p>Indicator 1.2.2. The boundaries of all Management Units* within the scope of the certificate are physically indicated on the FMU or documented, clearly shown on maps</p> <p>Verifiers: Map with clear boundaries; Physical markers (e.g. pegs, rocks) or description of the demarcations for non-freehold land; Interviews with Stakeholders to verify no disputes</p>	
<p>Criterion 1.3. The Organization shall have legal rights to operate in the Management Unit, which fit the legal status of The Organization and of the Management Unit, and shall comply with the associated legal obligations in applicable national and local laws and regulations and administrative requirements. The legal rights shall provide for harvest of products and/or supply of ecosystem services from within the Management Unit. The Organization shall pay the legally prescribed charges associated with such rights and obligations. (C1.1, 1.2, 1.3 P&C V4)</p>	
<p>Indicator 1.3.1. All activities undertaken in the Management Unit* are carried out in compliance with:</p> <ol style="list-style-type: none"> 1) Applicable laws* and regulations and administrative requirements, 2) Legal rights* 3) Relevant and required payments <p>Verifiers: Proof of payments, invoices, certificates of issue such as but not limited to Social Security payment Guidance:</p>	



Annex A - List of National and International Laws and Conventions
Criterion 1.4. The Organization shall develop and implement measures, and/or shall engage with regulatory agencies, to systematically protect the Management Unit from unauthorized or illegal resource use, settlement and other illegal activities. (C1.5 P&C V4)
Indicator 1.4.1. Measures are developed and implemented to provide protection* from unauthorized or illegal hunting, fishing, trapping, collecting and settlement. Verifiers: Proof of training to harvesters*; Harvesters Agreement or Farm Rules signed by harvesters; Infield observations; Proof of progressive disciplinary action, where applicable; Tribal Authority records or interviews
Indicator 1.4.2. Measures are developed and implemented to provide protection* from unauthorized or illegal harvesting Verifiers: Proof of training to harvesters*; Harvesters Agreement or Farm Rules signed by harvesters; Infield observations; Proof of progressive disciplinary action, where applicable; Tribal Authority records or interviews, where applicable
Indicator 1.4.3. A system is implemented to work with the regulatory bodies to identify, report, control and discourage unauthorized or illegal activities, where necessary Verifiers: Stakeholder Consultation, where applicable Guidance: MET officials ; Reports or Interviews with MAWF, NAMPOL and TA ; Cooperation with CRIME PREVENTION FORUM, Neighbourhood Watch (all NAMPOL recognised bodies)
Criterion 1.5. The Organization shall comply with the applicable national laws , local laws, ratified international conventions and obligatory codes of practice, relating to the transportation and trade of forest products within and from the Management Unit , and/or up to the point of first sale. (C1.3 P&C V4)
Indicator 1.5.1. Compliance with applicable national laws* and ratified international conventions relating to the transportation and trade of forest products up to the point of first sale is demonstrated Verifiers: Forestry Harvesting Permit; Forestry Marketing permit; Forestry Transport Permit; Specified Delivery Note; Goods Received Note; Weigh Bill; Tax Invoice or similar invoice; Supplier Invoice
Indicator 1.5.2 Compliance with CITES provisions for utilisation of applicable species Verifiers: Producers have access to details of CITES species and Relevant MET permit
Criterion 1.6. The Organization shall identify, prevent and resolve disputes over issues of statutory or customary law , which can be settled out of court in a timely manner , through engagement with affected stakeholders . (C2.3 P&C V4)
Indicator 1.6.1.Z Organisations shall have an effective, publically available* documented dispute* resolution process



is in place. It shall be developed in accordance with international best practice and where, relevant through engagement* affected stakeholders*

Verifier:

Organisational Procedure and/or Policy

Guidance

Affected stakeholders* include local communities or other stakeholders who have statutory or customary tenure over the land and/or operational activities.

A constitution is developed within defined communities and conservancies.

Indicator 1.6.1 S Organisations shall have an effective, publically available* dispute* resolution process is in place. It shall be developed in accordance with international best practice and where relevant, through engagement* with local communities* and affected stakeholders*

Verifier:

Mutual understanding of the process between Management and stakeholders

Indicator 1.6.2.Z Organisations shall provide evidence that disputes related to applicable laws* that can be settled out of court are responded to in a timely manner or are in the dispute resolution process

Verifiers:

Up-to-date records of consultations with affected parties in the resolution process

Indicator 1.6.2.S Organisations shall ensure that stakeholders are engaged in a timely manner for disputes relating to applicable laws* and are either resolved or are in the dispute resolution process*

Verifiers:

Interviews with management and affected stakeholders.

Indicator 1.6.3 Z Up to date records of disputes related to issues of applicable laws* or customary law, are held including:

- 1) Steps taken to resolve disputes*;
- 2) Outcomes of all dispute* resolution processes; and
- 3) Unresolved disputes*, the reasons they are not resolved, and how they will be resolved.

Verifiers:

Register of disputes including the above

Indicator 1.6.3.S Organisations shall demonstrate implementation of the dispute resolution process. Records of disputes related to issues of applicable laws* shall be maintained:

- 1) Outcomes of all dispute* resolution processes; and
- 2) Unresolved disputes*, the reasons they are not resolved, and how they will be resolved.

Verifiers:

Register of disputes which include the outcomes of finalised dispute cases and reasons for unresolved disputes.

Criterion 1.7. [The Organization](#) shall publicize a commitment not to offer or receive bribes in money or any other form of corruption, and shall comply with anti-corruption legislation where this exists. In the absence of anti-corruption



<p>legislation, The Organization shall implement other anti-corruption measures proportionate to the scale and intensity of management activities and the risk of corruption. (new)</p>
<p>Indicator 1.7.1 A policy which meets or exceeds related legislation is implemented, which includes a commitment not to offer or receive bribes of any description. The policy is publicly available* at no cost.</p> <p>Verifiers: Organisational Anti-corruption Policy or Statement within the Management Plan; Whistle Blowing Policy within the Management Plan</p> <p>Guidance: Namibia Anti-Corruption Act (2003)</p>
<p>Indicator 1.7.2 No evidence of bribery, coercion and other acts of corruption associated with the Organisation is detected during stakeholder interviews or review of documentation in accordance with this Standard.</p> <p>Verifier: Interviews of Stakeholders</p>
<p>Indicator 1.7.3 Corrective measures are implemented, where corruption does occur.</p> <p>Verifiers: Disciplinary and/or legal action, where relevant; Internal CARs; Training attendance registers</p> <p>Guidance: Namibia Anti-Corruption Act (2003)</p>
<p>Criterion 1.8. The Organization shall demonstrate a long-term commitment to adhere to the FSC Principles and Criteria in the Management Unit, and to related FSC Policies and Standards. A statement of this commitment shall be contained in a publicly available document made freely available. (C1.6 P&C V4)</p>
<p>Indicator 1.8.1 A long-term* commitment to forest* management practices consistent with FSC Principles* and Criteria* and related Policies and Standards is signed by Senior Management Representative. It is publically available* at no cost.</p> <p>Verifiers: Organisational Policy or Statement within the Management Plan</p>

[Annex A.](#)

<p>PRINCIPLE 2: WORKERS' RIGHTS AND EMPLOYMENT CONDITIONS</p>
<p>The Organization shall maintain or enhance the social and economic wellbeing of workers*. (new)</p>
<p>Criterion 2.1. The Organization shall uphold the principles and rights at work as defined in the ILO Declaration on Fundamental Principles and Rights at Work (1998) based on the eight ILO Core Labour Conventions. (C4.3 P&C V4)</p>
<p>2.1.1 The Organization* shall not use child labour.</p> <p>1) Children from the age of 14 may be employed for light work but it shall not interfere with schooling, nor be harmful to their health or development.</p> <p>2) No person under the age of 18 is employed in hazardous or heavy work within approved national laws and regulations</p>



3) The Organization* shall prohibit worst forms of child labour.

Verifiers:

ID documents, birth certificates, affidavit by workers* and employment records of workers*; Risk Assessment for Chemical Application and other Hazardous work; Interview with workers; Field Assessment

Guidance:

Namibian Labour Act, Act No. 11 of 2007

Indicator 2.1.2 There is no evidence of forced or compulsory labour, including, but not limited to the following: retention of identity documents, untimely payment of wages, threats of violence or denunciation to the authorities.

Verifiers:

Labour inspector records, dispute and grievance records and interviews with workers, worker representatives and unions.

Indicator 2.1.3 There is no evidence of Bonded Labour. Debt at local farm stores shall not be greater than 33% of workers monthly wages.

Verifiers:

Discussions with manager and workers, Interview with workers, payslips, farm store records; Labour union agreements, where available

Guidance:

As per the Namibian Labour Act, Act No. 11 of 2007 – To prevent Debt Bondage, No more than one third of shop or food credit of the worker's average charcoal earnings should be allowed.

Only in case of compassionate reasons like death / funerals can a bigger advance be given, with the worker* formally acknowledging the debt in advance.

Indicator 2.1.4 Z The Organization* shall respect freedom of association and the right to collective bargaining, with documented evidence of

- 1) Workers* are able to establish or join worker organizations* of their own choosing
- 2) The Organization* respects the rights of workers to engage in lawful activities related to forming, joining or assisting a workers' organization*, or to refrain from doing the same; and will not discriminate or punish workers for exercising these rights.
- 3) The Organization* negotiates with lawfully established workers' organizations* and/ or duly selected representatives in good faith* and with the best efforts to reach a collective bargaining* agreement; and
- 4) Collective bargaining* agreements are implemented where they exist.

Verifiers:

Employment contracts or Agreements with contractors, Interview with workers

Indicator 2.1.4.S The Organization* shall respect freedom of association and the right to collective bargaining, with documented evidence of

- 1) Workers* are able to establish or join worker organizations* of their own choosing
- 2) The Organization* respects the rights of workers to engage in lawful activities related to forming, joining or assisting



a workers' organization*, or to refrain from doing the same; and will not discriminate or punish workers for exercising these rights.

3) The Organization* negotiates with lawfully established workers' organizations* and/ or duly selected representatives in good faith* and with the best efforts to reach a collective bargaining* agreement; and

4) Collective bargaining* agreements are implemented where they exist.

Verifiers:

Memorandum explained to employees and contract workers, Interview with workers

Criterion 2.2. [The Organization](#) shall promote [gender equality](#) in employment practices, training opportunities, awarding of contracts, processes of [engagement](#) and management activities. (new)

Indicator 2.2.1 Z Documented systems are implemented that promote gender equality* and prevent gender discrimination in employment practices, training opportunities, awarding of contracts, processes of engagement* and management activities.

Verifiers:

Organisational Policy or Procedure; Discussions with workers; Job descriptions; Training records; Vacancy announcements

Guidance:

Namibian Labour Act

Indicator 2.2.1.S Organisations shall implement systems that promote gender equality* and prevent gender discrimination in employment practices and training opportunities

Verifiers:

Interviews with workers on:

- job descriptions;
- training records;
- vacancy announcements

Indicator 2.2.2 Work carried out within the FMU by workers (males and females) are included in training programs. Training Records shall be available for all organisations except SLIMF scale Organisations

Verifiers:

Discussions with workers and managers

Records, where applicable

Indicators 2.2.3 Workers (males and females) are paid directly for their work. Wages are equitable when they do the same work.

Verifiers:

Contracts and pay sheets; Discussions with workers and manager.

Guidance

Managers may consider variances due to the level of experience, years of consecutive employment, where applicable

Indicator 2.2.4 Maternity and paternity leaves are available, and there are no penalties for utilizing the leave.

Verifiers:



<p>Employment contract, Leave documents, where applicable. Interviews with workers and management</p> <p>Guidance:</p> <p>Maternity leave is 4 weeks before childbirth and 8 weeks after childbirth. Three days Paternity leave is available. Paternity leave is not required in the Namibian Labour Act, This may be booked as Compassionate Leave, with no penalty or impact on annual leave.</p>
<p>Indicator 2.2.5 Confidential and effective mechanisms exist for reporting and eliminating cases of sexual harassment and discrimination based on gender, marital status, parenthood or sexual orientation.</p> <p>Verifiers:</p> <p>Contracts with workers*; Discussions with manager and workers; Grievance register</p> <p>Guidance:</p> <p>Namibian Labour Act</p>
<p>Criterion 2.3. The Organization shall implement health and safety practices to protect workers from occupational safety and health hazards. These practices shall, proportionate to scale, intensity and risk of management activities, meet or exceed the recommendations of the ILO Code of Practice on Safety and Health in Forestry Work. (C4.2 P&C V4)</p> <p>Note – The SDG evaluated the conditions in Namibia along with the risk posed to workers from the heat and other environmental conditions. The encroacher bush in Namibia is approximately 4.5 meters in height, when grown. The Namibian Climate is dry with high temperatures ranging between 25 degrees Celsius in Winter and 48 degrees Celsius in Summer. Based on the assessment, the SDG has developed Annexure C which outlines the required Personal Protective Equipment (PPE)</p>
<p>Indicator 2.3.1.Z The Organisation shall assess risks with mitigating measures related to Forestry work. The results shall be used in the development of documented Health and Safety Practices.</p> <p>Verifiers</p> <p>List of identified risks and mitigating actions available</p> <p>Organisational health and safety policies/procedures; Risks linked to nutrition are identified and mitigated</p> <p>Guidance:</p> <p>Namibian Labour Act No. 11 of 2007 in conjunction with Regulation 156; ILO Code of Practice on Safety and Health in Forestry Work</p>
<p>Indicator 2.3.1S The Organisation shall assess risks with mitigating measures related to Forestry work. The results shall be used in the development of Health and Safety Practices that are communicated to workers.</p> <p>Verifiers</p> <p>Interviews with workers</p>
<p>Indicator 2.3.2.Z Health and safety practices are implemented with documented evidence available</p> <p>Verifiers:</p> <p>Health and safety representatives' appointment; Assessments/ reports and minutes of meeting; Records of training;</p>



Awareness talks on Occupational Health Risks; In-field inspection
Indicator 2.3.2.S Health and safety practices are implemented Verifiers: Interviews with workers to verify understanding of mitigating measures implemented
Indicator 2.3.3 Workers* are provided with and utilise personal protective equipment (PPE) appropriate to their assigned tasks as indicated in Annexure C Verifiers: In-field inspection,
Indicator 2.3.4.Z Records are kept on accident rates and lost time to accidents. Documented evidence on preventative measures implemented. Verifiers: Incident and investigation records and copies of accident reports signed by the Social Security Office; Discussions with workers and managers compared to accident register; Assessment reports, health and safety meetings minutes
Indicator 2.3.4.S Organisations maintain records are kept on accident rates, lost time to accidents and are able to describe preventative measures taken Verifiers: Interviews with workers on improvements on safety measures based on previous incidents.
Indicator 2.3.5.Z The Organisation has identified relevant occupational diseases and demonstrates awareness and preventative measures. Verifiers: Awareness talks with workers; Attendance register Guidance: E.g. Heatstroke, malaria, tick-bite fever, HIV/AIDS, TB Refer to the National Risk Maps from the Ministry of Health
Indicator 2.3.6 Accommodation provided to workers shall meet or exceed the requirements in Annexure D Verifiers: Inspection of Housing
Criterion 2.4. The Organization shall pay wages that meet or exceed minimum forest industry standards or other recognized forest industry wage agreements or living wages , where these are higher than the legal minimum wages. When none of these exist, The Organization shall through engagement with workers develop mechanisms for determining living wages. (new)
Indicator 2.4.1 Wages paid meet or exceed the Agricultural Industry Wage or Agreements reached by Unions Verifiers: Pay sheets/ slips of employees/ contractors; Charcoal paid per tonne is reconciled and meets or exceeds the minimum wage for farm workers or rates agreed by Unions (where relevant).
Indicator 2.4.2 Payment of wages, salaries and contracts shall be effective within 1 month on transfer of timber product to the Organisation.



<p>Verifiers: Interviews with workers; Evidence that payment to workers has not been delayed further than 30 days.</p>
<p>Criterion 2.5. The Organization shall demonstrate that workers have job-specific training and supervision to safely and effectively implement the Management Plan and all management activities. (C7.3 P&C V4)</p>
<p>Indicator 2.5.1.Z Workers* have job specific training as per Annexure B to safely and effectively contribute to the implementation of the management objectives. Records of training and supervision are maintained.</p> <p>Verifiers: Training Plan; Supervisory records, where relevant e.g. corrective actions per worker; Signed Records, where applicable</p>
<p>Indicator 2.5.1.S Workers* have job specific training as per Annexure B which contributes to the management objectives of the FMU. Records are maintained for legally required training and supervision</p> <p>Verifiers: Infield observation, Legally required records of training (e.g. First Aid)</p>
<p>Criterion 2.6. The Organization through engagement with workers shall have mechanisms for resolving grievances and for providing fair compensation to workers for loss or damage to property, occupational</p>
<p>Indicator 2.6.1.Z An effective documented dispute* resolution process is developed in consultation with workers.</p> <p>Verifiers: Organisational procedures and/or policy</p>
<p>Indicator 2.6.1.S There is a common understanding of the dispute resolution process* between managers and workers</p> <p>Verifiers: Interviews with managers and workers</p> <p>Guidance: SLIMF are encouraged to maintain attendance registers of discussions held with workers</p>
<p>Indicator 2.6.2 Workers* grievances are identified and responded to and are either resolved or are in the dispute* resolution process.</p> <p>Verifiers: Grievance register; Discussions with workers and managers.</p>
<p>Indicator 2.6.3 Up-to-date records of workers* grievances related to workers* loss or damage of property, occupational diseases* or injuries are maintained including:</p> <ol style="list-style-type: none"> 1) Steps taken to resolve grievances; 2) Outcomes of all dispute* resolution processes including fair compensation*; and 3) Unresolved disputes*, the reasons they are not resolved, and how they will be resolved. <p>Verifiers: Grievance Records, Discussions with workers and managers.</p>
<p>Indicator 2.6.4 Fair compensation* is provided to workers* for work-related loss or damage of property and occupational disease* or injuries.</p> <p>Verifiers:</p>



All workers registered for employees' compensation; Payment of Social Security contributions, other work related compensations, where applicable and liable

[Annex B: Training](#)

[Annex C: Personal Protective Equipment \(PPE\)](#)

[Annex D: Housing](#)

PRINCIPLE 3: [INDIGENOUS PEOPLES' RIGHTS](#)

[The Organization](#) shall identify and [uphold](#) Indigenous Peoples' [legal](#) and [customary rights](#) of ownership, use and management of land, territories and resources affected by management activities. (P3 P&C V4)

Note - This Principle is relevant when the Organisation is operating in or neighbouring communal areas or resettlement farms where Indigenous People may be residing or have rights of access to the area and its resources.

The Constitution of Namibia emphasises equality and freedom from discrimination on the grounds of sex, ethnic origin or social or economic status etc. It does not make specific reference to marginalised or indigenous groups but Article 23 allows Parliament to enact legislation to provide affirmative actions to redress social, economic and educational imbalances. Although Namibia has no legislation dealing directly with indigenous peoples, it has a number of interconnected Acts of Parliament which provide for implementing certain rights of indigenous peoples, particularly in the areas of land, leadership, natural resource management and education.

Principle 3 and its Criteria ensure that Indigenous People are identified and their legal and customary rights are safeguarded under the laws of Namibia. If the Management Unit does not have Indigenous People who exist or claim any rights in its management area, Criteria 3.2, 3.3, 3.4, 3.5, and 3.6 are non-applicable.

Criterion 3.1. The Organization shall identify the Indigenous Peoples that exist within the [Management Unit](#) or those that are affected by management activities. The Organization shall then, through engagement with these Indigenous Peoples, identify their rights of [tenure](#), their rights of access to and use of [forest](#) resources and [ecosystem services](#), their [customary rights](#) and legal rights and obligations that apply within the Management Unit. The Organization shall also identify areas where these rights are contested. (new)

Indicator 3.1.1.Z Indigenous Peoples* that may be affected by management activities are identified and documented
Verifiers:

Maps or a list reflecting the location and contact details of resident communities, neighbours and nearby settlements.

Indicator 3.1.1.S Indigenous Peoples* that may be affected by management activities are identified

Verifier:

Interviews with responsible staff to assess knowledge of residents and neighbouring communities.

Indicator 3.1.2.Z The Organization* has identified and documented the rights of indigenous people, including those related to ownership, the access to and use of forest resources

Verifiers:

Supporting documentation for access rights and ownership and/or map



<p>Indicator 3.1.2.S The Organization* has identified and is aware of the rights of indigenous people, including those related to ownership, the access to and use of forest resources</p> <p>Verifiers:</p> <p>Interviews with Management and Indigenous Peoples representatives; Legally required documentation</p>
<p>Criterion 3.2. The Organization shall recognize and uphold the legal and customary rights of Indigenous Peoples to maintain control over management activities within or related to the Management Unit to the extent necessary to protect their rights, resources and lands and territories. Delegation by Indigenous Peoples of control over management activities to third parties requires Free, Prior and Informed Consent. (C3.1 and 3.2 P&C V4)</p>
<p>Indicator 3.2.1 Indigenous Peoples are informed of how they may engage with the Organisation to the extent necessary to protect their rights, where applicable.</p> <p>Verifiers:</p> <p>Interviews with Indigenous Peoples groups</p>
<p>Indicator 3.2.2 The legal* and customary rights* of Indigenous Peoples to maintain control over management activities are not violated by The Organization*.</p> <p>Verifiers:</p> <p>Records of disputes; Stakeholder Interviews</p> <p>Guidance</p> <p>Traditional Authorities Act and Communal Land Reform Act No. 5, 2002, its amendments and regulations</p>
<p>Indicator 3.2.3 Where evidence exists that legal* and customary rights* of Indigenous Peoples related to management activities have been violated, the dispute* resolution process shall be followed.</p> <p>Verifiers:</p> <p>Records of disputes.</p> <p>Causes and nature of disputes and actions taken are monitored.</p>
<p>Indicator 3.2.4 <i>Free, prior and informed consent*</i> is granted by <i>Indigenous Peoples*</i> prior to management activities that affect their identified rights through a process that includes:</p> <ol style="list-style-type: none"> 1) Ensuring <i>Indigenous Peoples*</i> know their rights and obligations regarding the resource; 2) Informing the <i>Indigenous Peoples*</i> of the value of the resource, in economic, social and environmental terms; 3) Informing the <i>Indigenous Peoples*</i> of their right to withhold or modify consent to the proposed management activities to the extent necessary to protect their rights, resources, <i>lands and territories*</i>; and 4) Informing the <i>Indigenous Peoples*</i> of the current and future planned <i>forest*</i> management activities. <p>Verifiers:</p> <p>Records of Consultation</p>
<p>Indicator 3.2.5 Where the process of Free Prior and Informed Consent* has not yet resulted in an FPIC agreement, the Organisation* and the affected Indigenous Peoples* are engaged in a mutually agreed FPIC process that is advancing, in good faith* and with which the community is satisfied.</p> <p>Verifier</p> <p>Stakeholder consultation with Indigenous Peoples groups and Organisation</p>



Criterion 3.3. In the event of delegation of control over management activities, a binding agreement between [The Organization](#) and the [Indigenous Peoples](#) shall be concluded through [Free, Prior and Informed Consent](#). The agreement shall define its duration, provisions for renegotiation, renewal, termination, economic conditions and other terms and conditions. The agreement shall make provision for monitoring by Indigenous Peoples of The Organization's compliance with its terms and conditions. (new)

Indicator 3.3.1 Where control over management activities has been granted through Free Prior and Informed Consent* based on culturally appropriate* engagement*, the binding agreement* contains the duration, provisions for renegotiation, renewal, termination, economic conditions and other terms and conditions. The Agreement contains the provision for monitoring* by Indigenous Peoples* of The Organization*'s compliance with its terms and conditions. Records of the Agreements are maintained.

Verifiers:

Contractual Agreement with Indigenous Peoples Groups

Criterion 3.4. [The Organization](#) shall recognize and [uphold](#) the rights, customs and culture of [Indigenous Peoples](#) as defined in the United Nations Declaration on the Rights of Indigenous Peoples (2007) and ILO Convention 169 (1989). (C3.2P&C V4)

Indicator 3.4.1. The rights, customs and culture of Indigenous Peoples* as defined in UNDRIP and ILO Convention 169 are not violated by The Organization*.

Verifiers:

Stakeholder Interviews

Indicator 3.4.2 Where evidence that rights, customs and culture of Indigenous Peoples* have been violated by The Organization*, the situation is documented including steps to restore* these rights, customs and culture of Indigenous Peoples*

Verifiers:

Dispute Resolution Procedure, where relevant and Records of process followed

Criterion 3.5. [The Organization](#), through [engagement](#) with [Indigenous Peoples](#), shall identify sites which are of special cultural, ecological, economic, religious or spiritual significance and for which these Indigenous Peoples hold [legal](#) or [customary rights](#). These sites shall be recognized by The Organization and their management, and/or [protection](#) shall be agreed through engagement with these Indigenous Peoples. (C3.3P&C V4)

Indicator 3.5.1. Sites of significance to Indigenous Peoples regarding their legal* or customary rights*, shall be clearly identified.

Verifiers:

Records of community and stakeholder consultations; Maps, where allowed by Indigenous Peoples.

Guidance:

The following types of sites of special significance are commonly found in Namibia:

Grave sites, sacred and historical sites, areas of significant scenic value, rock art and historical routes.

Indicator 3.5.2.Z Measures to protect such sites are agreed, documented and implemented with Indigenous Peoples' agreement, where applicable



<p>Verifiers: Documented procedures</p>
<p>Indicator 3.5.2.S Measures to protect such sites are agreed, and implemented with Indigenous Peoples' agreement, where applicable</p> <p>Verifiers: Interviews with Indigenous Peoples Groups</p>
<p>3.5.3 Newly discovered sites of significance are investigated by management, consulted with relevant stakeholders and are not impacted by operations until consultation and measures are agreed upon.</p> <p>Verifiers: Interviews with workers and relevant stakeholders</p>
<p>Criterion 3.6. The Organization shall uphold the right of Indigenous Peoples to protect and utilize their traditional knowledge and shall compensate local communities for the utilization of such knowledge and their intellectual property. A binding agreement as per Criterion 3.3 shall be concluded between The Organization and the Indigenous Peoples for such utilization through Free, Prior and Informed Consent before utilization takes place, and shall be consistent with the protection of intellectual property rights. (C3.4 P&C V4)</p>
<p>Indicator 3.6.1 Traditional knowledge* and intellectual property* are protected and compensated for. It is only used when the acknowledged owners of that traditional knowledge* and intellectual property* have provided their Free, Prior and Informed Consent* formalized through a binding agreement*.</p> <p>Verifiers: Agreement with Indigenous Peoples; Records of Payments</p>

<p>PRINCIPLE 4: COMMUNITY RELATIONS</p>
<p>The Organization shall contribute to maintaining or enhancing the social and economic wellbeing of local communities. (P4 P&C V4)</p>
<p>Criterion 4.1. The Organization shall identify the local communities that exist within the Management Unit and those that are affected by management activities. The Organization shall then, through engagement with these local communities, identify their rights of tenure, their rights of access to and use of forest resources and ecosystem services, their customary rights and legal rights and obligations that apply within the Management Unit. (new)</p>
<p>Indicator 4.1.1 Local Communities that may be affected by management activities are identified via Best Available Information</p> <p>Verifiers: Interviews with stakeholders and managers and/or maps</p> <p>Guidance: This includes neighbours and local farming communities, but is not limited to these stakeholders only.</p>
<p>Indicator 4.1.2.Z The Organization* has documented the identification of the legal rights of local communities*, their access to and use of forest resources</p>



<p>Verifiers: List of resident households and their tenure and user rights; List of access rights and agreements with surrounding communities and other users; Records / documentation / maps of all relevant tenure and access rights for local communities potentially affected by management activities.</p>
<p>Indicator 4.1.2.S The Organisation has identified the legal rights of local communities*, their access to and use of forest resources</p> <p>Verifiers: Verbal description, documented evidence only required for legal agreements.</p>
<p>Criterion 4.2. The Organization shall recognize and uphold the legal and customary rights of local communities to maintain control over management activities within or related to the Management Unit to the extent necessary to protect their rights, resources, lands and territories. Delegation by local communities of control over management activities to third parties requires Free, Prior and Informed Consent. (C2.2 P&C V4)</p> <p>This Criterion is only applicable to Organisations with management activities within the designated community forestry and conservancy areas in Namibia. If the FMU does not fall in one of this areas, this Criterion shall be considered non-applicable by the Certification Body</p>
<p>Indicator 4.2.1 Local communities* are informed of how they may engage with the Organisation to the extent necessary to protect their rights, where applicable</p> <p>Verifier: Interviews with stakeholders</p>
<p>Indicator 4.2.2 The legal* of local communities* to maintain control over management activities are not violated by The Organization*.</p> <p>Verifiers: Stakeholder interviews; Records of disputes (and their amicable resolution)</p> <p>Guidance: Traditional Authorities Act and Communal Land reform Act No 5, 2002</p>
<p>Indicator 4.2.3 The dispute resolution process is implemented where evidence exists of legal rights of local communities are violated</p> <p>Verifiers: Evidence that the Dispute Resolution Process has been followed; Interviews with stakeholders</p>
<p>Indicator 4.2.4 Free, Prior and Informed Consent* is granted by local communities* prior to management activities that affect their identified rights through a process that includes:</p> <ol style="list-style-type: none"> 1) Ensuring local communities* know their rights and obligations regarding the resource; 2) Informing the local communities* of the value of the resource, in economic, social and environmental terms; 3) Informing the local communities* of their right to withhold or modify consent to the proposed management activities to the extent necessary to protect their rights and resources; and 4) Informing the local communities* of the current and future planned forest* management activities.



<p>Verifier: Evidence of consultation</p>
<p>Indicator 4.2.5 Where the process of Free Prior and Informed Consent* has not yet resulted in an FPIC agreement, the Organisation* and the affected local communities * are engaged in a mutually agreed FPIC process that is advancing, in good faith* and with which the community is satisfied.</p> <p>Verifier: Stakeholder consultation with affected parties</p>
<p>Criterion 4.3. The Organization shall provide reasonable opportunities for employment, training and other services to local communities, contractors and suppliers proportionate to scale and intensity of its management activities. (C4.1 P&C V4)</p>
<p>Indicator 4.3.1.Z Reasonable* opportunities are provided to local communities*, local contractors and local suppliers at National Level for:</p> <ol style="list-style-type: none"> 1) Employment, 2) Training, 3) Local value addition/ processing 4) other services <p>Verifiers: Recruitment and employment policies of the organisation; Interviews with the workers and or contractors</p>
<p>Indicator 4.3.1.S Organisations shall demonstrate at least once in the certification cycle that where economically viable, reasonable * opportunities were communicated to local communities*, local contractors and local suppliers at National Level for:</p> <ol style="list-style-type: none"> 1) Employment, 2) Training 3) Local value addition/ processing 4) other services <p>Verifiers: Documented evidence of communication; Contracts with local 3rd parties</p>
<p>Criterion 4.4. The Organization shall implement additional activities, through engagement with local communities that contribute to their social and economic development, proportionate to the scale, intensity and socio-economic impact of its management activities. (C4.4 P&C V4)</p>
<p>Indicator 4.4.1 Opportunities for identified and implemented on a Regional or Provincial scale for local social and economic development in consultation with local communities* and other relevant organizations on a National Level</p> <p>Verifiers: Opportunities identified on regional scale, Documented evidence of implementation</p>
<p>Criterion 4.5. The Organization, through engagement with local communities, shall take action to identify, avoid and mitigate significant negative social, environmental and economic impacts of its management activities on affected communities. The action taken shall be proportionate to the scale, intensity and risk of those activities and negative</p>



<p>impacts. (C4.4 P&C V4)</p>
<p>Indicator 4.5.1.Z Organisations shall provide documented evidence of engagement with local communities in the planning and implementation of measures which mitigate significant* negative impacts from management activities</p> <p>Verifiers:</p> <p>Assessment of impacts of operations on members of the community; Measures to avoid and mitigate negative impacts; Interviews with affected local communities e.g. confirmation of communication received prior to burning operations</p>
<p>Indicator 4.5.1.S Organisations shall engage with directly affected local communities in the planning and implementation of measures which mitigate significant* negative impacts from management activities</p> <p>Verifier:</p> <p>Interviews with directly affected local communities</p>
<p>Criterion 4.6. The Organization, through engagement with local communities, shall have mechanisms for resolving grievances and providing fair compensation to local communities and individuals with regard to the impacts of management activities of The Organization. (C4.5 P&CV4)</p>
<p>Indicator 4.6.1.Z The Organisation shall develop a dispute* resolution process through consultation with directly affected communities. The Organisation shall have the process documented and publically available.</p> <p>Verifier:</p> <p>Organisational procedures and/or policy</p> <p>Guidance:</p> <p>Cross reference to Indicator 1.6.1</p>
<p>Indicator 4.6.1.S The Organisation shall develop a dispute resolution process with directly affected communities. The Organisation shall demonstrate a common understanding of the dispute resolution process with community leaders</p> <p>Verifier:</p> <p>Interviews with management and community leaders</p>
<p>Indicator 4.6.2 Grievances related to the impacts of management activities are responded to in a timely manner*, and are either resolved or are in the dispute* resolution process.</p> <p>Guidance:</p> <p>Cross reference to Indicator 1.6.2</p>
<p>Indicator 4.6.3.Z Up to date records of disputes related to issues of applicable laws* are held including:</p> <ol style="list-style-type: none"> 1) Steps taken to resolve disputes*; 2) Outcomes of all dispute* resolution processes; and 3) Unresolved disputes*, the reasons they are not resolved, and how they will be resolved. <p>Verifiers:</p> <p>Register of Grievances and up-to-date records of dispute resolution</p>
<p>Indicator 4.6.3.S Organisations shall demonstrate implementation of the dispute resolution process. Records of disputes related to issues of applicable laws* shall be maintained:</p> <ol style="list-style-type: none"> 1) Outcomes of all dispute* resolution processes; and



<p>2) Unresolved disputes*, the reasons they are not resolved, and how they will be resolved.</p> <p>Verifier:</p> <p>Register of disputes which include the outcomes of finalised dispute cases and reasons for unresolved disputes.</p>
<p>Indicator 4.6.4 Operations cease in areas where disputes* exist and could not be solved timeously</p> <p>1) Of substantial magnitude* (1000 ha); or</p> <p>2) Of substantial duration* (>3 months); or</p> <p>3) Involving a significant* number of interests or</p> <p>4) Legal verdict</p> <p>Verifiers:</p> <p>Record of disputes; Evidence of consultation with affected parties with Legal representation</p> <p>Guidance:</p> <p>Suspension of operations would take place in the case of very serious disputes as described by the above thresholds only</p>
<p>Criterion 4.7. The Organization, through engagement with local communities, shall identify sites which are of special cultural, ecological, economic, religious or spiritual significance, and for which these local communities hold legal or customary rights. These sites shall be recognized by The Organization, and their management and/or protection shall be agreed through engagement with these local communities. (new)</p>
<p>Indicator 4.7.1 Sites of significance to local communities regarding their legal rights, shall be clearly identified.</p> <p>Verifiers:</p> <p>Records of community and stakeholder consultations; Maps, where allowed by the stakeholders</p> <p>Guidance:</p> <p>The following types of sites of special significance are commonly found in Namibia:</p> <p>Grave sites, sacred and historical sites, areas of significant scenic value, rock art and historical routes.</p>
<p>Indicator 4.7.2.Z Measures to protect such sites are agreed, documented and implemented with local community agreement, where applicable</p> <p>Verifiers:</p> <p>Documented procedures, Interviews with workers*</p> <p>Guidance:</p> <p>Cross reference Training from P.6 for workers</p>
<p>Indicator 4.7.2.S Measures to protect such sites are agreed and implemented with local community agreement, where applicable</p> <p>Verifier:</p> <p>Interviews with workers*</p>
<p>Indicator 4.7.3 Newly discovered sites of significance are investigated by management, consulted with relevant stakeholders and are not impacted by operations until consultation and measures are agreed upon.</p> <p>Verifiers:</p> <p>Interviews with workers* and stakeholders</p>



Criterion 4.8. [The Organization](#) shall [uphold](#) the right of [local communities](#) to [protect](#) and utilize their [traditional knowledge](#) and shall compensate [local communities](#) for the utilization of such knowledge and their [intellectual property](#). A [binding agreement](#) as per Criterion 3.3 shall be concluded between The Organization and the local communities for such utilization through [Free, Prior and Informed Consent](#) before utilization takes place, and shall be consistent with the [protection](#) of intellectual property rights. (new)

There is no traditional knowledge specific to local communities in the forest sector that could be considered intellectual property. The SDG and Consultative Forum have agreed that this criterion does not apply. Namibia does have legislation in place for intellectual property which is fulfilled in Criterion 1.3.

Guidance:

**Swakopmund Protocol on the Protection of Traditional Knowledge and Expression of Folklore (ARIPO, 2010)
Industrial Property Act (Trademark, copyrights, patent regulations), Act 1 of 2012**

PRINCIPLE 5: BENEFITS FROM THE FOREST

[The Organization](#) shall efficiently manage the range of multiple products and services of the [Management Unit](#) to maintain or enhance [long-term](#) economic [viability](#) and the range of social and environmental benefits. (P5 P&C V4)

Criterion 5.1. [The Organization](#) shall identify, produce, or enable the production of, diversified benefits and/or products, based on the range of resources and [ecosystem services](#) existing in the [Management Unit](#) in order to strengthen and diversify the local economy proportionate to the [scale](#) and [intensity](#) of management activities. (C5.2 and 5.4 P&C V4).

Indicator 5.1.1 The Organisation identifies the range of resources available on the FMU which strengthens and diversifies the local economy.

Verifier:

Management Plan

Guidance

Evaluation of products produced from resources e.g. firewood, charcoal, poles, droppers, NTFPs, human resources, commercialized livestock production, game authorised to be hunted

5.1.2 Consistent with management objectives*, the identified benefits and products are produced

Verifiers:

Management Objectives are listed in the Management Plan; Sales Invoices and/or delivery notes; Transport and/or export permits; Harvesting permits; Budget – Income sheet, where available; Contractual agreements, where applicable; Marketing Plan, where available ; NTFP permits, where relevant

Guidance:

Sustainable timber production does not need to be the primary objective of Organisations. Timber utilisation may constitute a secondary management objective. Primary Objectives may include Rangeland Management, Wildlife Management and Tourism.



<p>Criterion 5.2. The Organization shall normally harvest products and services from the Management Unit at or below a level which can be permanently sustained. (C5.6 P&C V4)</p>
<p>Indicator 5.2.1 For Organisations shall evaluate Harvesting levels* of timber* and NTFP based on current Best Available Information* on growth and yield; inventory of the forest*; density data models*, mortality rates. This shall be based on the Management Objectives of the FMU.</p> <p>Verifiers: Stem count per hectare of target species; Growth and Yield estimations</p>
<p>Indicator 5.2.2 The Organisation shall determine the maximum allowable annual cut based on the results of 5.2.1. This shall not negatively impact environmental values and is done as part of a FSC integrated management plan.</p> <p>Verifiers: Long term Camp based Harvesting Plan & Actual Yield Summary ; Allowable Harvest vs Actual Harvest per Camp of Management Unit</p> <p>Guidance Refer to Glossary for Density Data Model Data Models for Rangeland Management and for Sustainable Timber Production</p>
<p>Indicator 5.2.3 Actual annual harvest levels for timber are recorded</p> <p>Verifiers: Annual Allowable vs Actual Cut Records per Camp for Charcoal, per FMU for NTFPs and Biomass</p>
<p>Criterion 5.3. The Organization shall demonstrate that the positive and negative externalities of operations are included in the management plan. (C5.1 P&C V4)</p>
<p>Indicator 5.3.1 Costs related to preventing, mitigating or compensating for negative social and environment impacts of management activities, as identified under Indicators 4.4.1 and 6.2.1, are quantified and documented in the management plan. Costs related to maintenance of mechanised equipment are budgeted and expended in the management plan, where relevant.</p> <p>Verifiers: Management Plan; Budget as per Management Plan; Income Statement, where available</p> <p>Guidance Examples of impacts include: Negative impacts - veld fires, erosion, poaching, cross reference Criterion 8.2.</p>
<p>Indicator 5.3.2 Benefits related to positive social and environment impacts of management activities are identified and included in the management plan*.</p> <p>Verifier: Management Plan</p> <p>Guidance Examples of Positive impacts – social contribution projects, conservation projects, sponsorships</p>
<p>Criterion 5.4. The Organization shall use local processing, local services, and local value adding to meet the requirements of The Organization where these are available, proportionate to scale, intensity and risk. If these are not locally</p>



available, The Organization shall make reasonable attempts to help establish these services. (C5.2 P&C V4)
This Criterion is fulfilled by Indicator 4.3.1
Criterion 5.5. The Organization shall demonstrate through its planning and expenditures proportionate to scale, intensity and risk , its commitment to long-term economic viability . (C5.1 P&C V4)
Indicator 5.5.1 Sufficient funds are allocated and expenditures made to implement the management plan* in order to meet this standard and to ensure long-term* economic viability*.
Verifiers: Budget; Invoices/ Sales or delivery notes of products; Financial statements/ records of equitable measure, where available

PRINCIPLE 6: ENVIRONMENTAL VALUES* AND IMPACTS
The Organization shall maintain, conserve and/or restore ecosystem and environmental values of the Management Unit , and shall avoid, repair or mitigate negative environmental impacts. (P6 P&C V4)
Criterion 6.1. The Organization shall assess environmental values in the Management Unit and those values outside the Management Unit potentially affected by management activities. This assessment shall be undertaken with a level of detail, scale and frequency that is proportionate to the scale, intensity and risk of management activities, and is sufficient for the purpose of deciding the necessary conservation measures, and for detecting and monitoring possible negative impacts of those activities. (new)
Indicator 6.1.1 Best Available Information* is used to identify environmental values* within, and, where potentially affected by management activities, outside of the Management Unit*.
Verifiers: Field inspection, Documented Environmental Rapid Assessment; Management Plan
Guidance: Appropriate literature review (e.g. Atlas of Namibia; Biological Diversity of Namibia, Red Lists, etc.) EIS (www.the-eis.com) MET; DoF (Directorate of Forestry; NBRI (National Botanical Research Institute); SEA of Large Scale Bush Thinning, Empirical data from long-term assessments documented by e.g. farmers or similar associations, universities, research institutions (when available) EMPs or Environmental clearance certificates (when available)
6.1.2 Assessments of environmental values* identified in Indicator 6.1.1. are conducted with a level of detail and frequency so that:
<ol style="list-style-type: none"> 1) Impacts of management activities on the identified environmental values* can be assessed as per Criterion* 6.2; 2) Risks* to environmental values* can be identified as per Criterion* 6.2; 3) Necessary conservation* measures to protect values can be identified as per Criterion* 6.3; and, 4) Monitoring* of impacts or environmental changes can be conducted as per Principle* 8.
Verifiers: Field survey/Rapid assessment - are documented & available + interview forest managers
Guidance:



Results of: Literature review; EIS; Consultations; Cross reference to Indicators 8.3, 6.2, 6.3
Criterion 6.2. Prior to the start of site-disturbing activities, The Organization shall identify and assess the scale, intensity and risk of potential impacts of management activities on the identified environmental values . (C6.1 P&C V4)
Indicator 6.2.1.Z A rapid environmental impact assessment* identifies and assesses existing and potential impacts on environmental values identified in 6.1.1 prior to initiating site disturbing activities. For mechanised/ mechanical harvesting methods, impacts shall have been done in accordance with the authorised harvesting schedule. Verifier: Internal Environmental Assessment (adapted SAIEA) Environmental Management Plan (adapted, and where relevant, approved SAIEA) Guidance: In-house assessment and Management Plan
Indicator 6.2.1.S Organisation shall utilise a Management Plan for environmental values identified in 6.1.1 prior to initiating site disturbing activities Verifier: Management Plan Guidance The Management Plan for Strategic Environmental Assessment by SAIEA may be adapted by Organisations.
Criterion 6.3. The Organization shall identify and implement effective actions to prevent negative impacts of management activities on the environmental values , and to mitigate and repair those that occur, proportionate to the scale, intensity and risk of these impacts. (C6.1 P&C V4)
Indicator 6.3.1 Management activities are planned to prevent negative impacts and to protect environmental values*. Verifier: Basic Management Plan/EMP
Indicator 6.3.2 Management activities are implemented to prevent negative impacts and to protect environmental values*. Verifier: Infield Observation
Indicator 6.3.3 Management activities are implemented to prevent excessive harvesting of timber and NTFPs in accordance with Indicator 5.2.1 and the Management Objectives Verifiers: Infield Observation; Production records; Permits; Density estimations Guidance: The Management Plan for Strategic Environmental Assessment by SAIEA may be adapted by Organisations.
Indicator 6.3.4 Management activities are implemented to avoid the harvesting of protected trees Verifiers:



Infield Observation; Permits conditions
<p>Indicator 6.3.5.Z Where negative impacts to environmental values* occur, measures are adopted to prevent further damage, and negative impacts are mitigated* and/or repaired*.</p> <p>Verifiers: Field inspection; Monitoring records; Training records, where applicable; Disciplinary action, where applicable</p>
<p>Indicator 6.3.5.S Organisations shall describe measures adopted to prevent further damage and to restore the environmental value*</p> <p>Verifiers: Verbal description by management; Interviews with workers; Infield observation</p>
<p>Criterion 6.4. The Organization shall protect rare species and threatened species and their habitats in the Management Unit through conservation zones, protection areas, connectivity and/or (where necessary) other direct measures for their survival and viability. These measures shall be proportionate to the scale, intensity and risk of management activities and to the conservation status and ecological requirements of the rare and threatened species. The Organization shall take into account the geographic range and ecological requirements of rare and threatened species beyond the boundary of the Management Unit, when determining the measures to be taken inside the Management Unit. (C6.2 P&C V4)</p>
<p>Indicator 6.4.1 Best Available Information* is used to identify rare and threatened species*, and their habitats*, including CITES species on or adjacent to the FMU</p> <p>Verifiers: Interview with Forest manager; Infield observations</p> <p>Guidance: Appropriate literature review (e.g. Atlas of Namibia; Biological Diversity of Namibia, Mammals of the Southern African sub-region; Snakes & other reptiles; Frogs of Southern Africa; Roberts Birds of Southern Africa; Namibia Red, Rare & Endemic birds; Trees & Shrubs of Namibia; Red Lists, etc.) EIS (www.the-eis.com) MET; Forestry; NBRI; Consultants; local research institutions Vertebrate fauna & flora lists (including RT&E spp.)</p>
<p>Indicator 6.4.2 Potential impacts of management activities on rare and threatened species* and their conservation* status and habitats* are identified and management activities are modified to avoid negative impacts.</p> <p>Verifiers: Rare, threatened and endangered species lists; Maps indicating presence of species (e.g. Vulture nesting and feeding sites; unique habitats - hills - with concentrations of RT&E spp.); Interviews with forest managers; Infield observations; Management Plan (with changes included)</p>
<p>Indicator 6.4.3 The rare and threatened species* and their habitats* are protected, including through the provision of conservation zones*, protection areas*, and other direct means for their survival and viability, such as species' recovery programs. Connectivity shall be allowed within the FMU and shall only be prevented with approval from Ministry of Environment and Tourism.</p>



<p>Verifiers: Farm Maps to include requirements; Permits from MET and/or other relevant departments</p>
<p>Indicator 6.4.4 Hunting, fishing, trapping and collection of rare or threatened species* is prevented, or in accordance with the Namibian Nature Conservation Ordinance.</p> <p>Verifiers: Permits; Infield observations; Interviews with workers</p>
<p>Criterion 6.5. The Organization shall identify and protect representative sample areas of native ecosystems and/or restore them to more natural conditions. Where representative sample areas do not exist or are insufficient, The Organization shall restore a proportion of the Management Unit to more natural conditions. The size of the areas and the measures taken for their protection or restoration, including within plantations, shall be proportionate to the con- servation status and value of the ecosystems at the landscape level, and the scale, intensity and risk of management activities. (C6.4 and 10.5 P&C V4 and Motion 2014#7)</p>
<p>Indicator 6.5.1 Sensitive ecosystems* are identified using Best Available Information* are protected, where they exist</p> <p>Verifiers: Management Plan/Supporting documents; Map; Infield observations</p> <p>Guidance: Sensitive ecosystems* (mountains/hills/ridges; pans; perennial & ephemeral drainage lines), NBRI</p>
<p>Indicator 6.5.2 Buffer zones around sensitive ecosystems* identified in Indicator 6.5.1 are maintained</p> <p>Verifiers: Infield observations; Farm maps (formal or hand drawn maps)</p>
<p>Indicator 6.5.3 Sensitive Areas* in combination with other components of the conservation areas network* comprise a minimum 5% area of the Management Unit*.</p> <p>Verifiers: Management Plan/Supporting documents, Map, Infield observations</p> <p>Guidance Where Sensitive areas* are identified to be greater than 5% of the FMU, they shall be identified and protected.</p>
<p>Criterion 6.6. The Organization shall effectively maintain the continued existence of naturally occurring native species and genotypes, and prevent losses of biological diversity, especially through habitat management in the Management Unit. The Organization shall demonstrate that effective measures are in place to manage and control hunting, fishing, trapping and collecting. (C6.2 and C6.3 P&C V4)</p>
<p>Indicator 6.6.1 Management activities maintain the plant communities and habitat features*. A mosaic approach shall be adopted to thinning operations.</p> <p>Verifiers: Infield observation and Interviews with management and workers*</p> <p>Guidance Examples include mosaic approach; avoid damaging termitaria/pans/ephemeral drainage line vegetation/habitat</p>
<p>Indicator 6.6.2 Management maintains, enhances, or improves* habitat features* and plant communities, to support</p>



<p>biodiversity in relation to the management objectives of the Organisation</p> <p>Verifier:</p> <p>Infield observations</p> <p>Guidance:</p> <p>Cross reference Indicator 8.3</p> <p>Bush thinning should aim to leave a heterogeneous mix of trees and bush. The veld that remains should have a variety of tree species (including encroacher species), of different size classes, and spaced so that there are some open patches and some dense patches, to provide a variety of habitats for animals.</p>
<p>Indicator 6.6.3 Effective measures are taken to manage and control hunting, and collecting activities</p> <p>Verifier:</p> <p>Permits (MET; CITES)</p> <p>Guidance:</p> <p>Cross reference to P1 for Permits</p>
<p>Indicator 6.6.4 Conservation practices for wildlife protection* are in place as per Namibian Nature Conservation Ordinance requirements</p> <p>Verifier:</p> <p>Permits</p> <p>Guidance</p> <p>Refer to - MET, Nature Conservation Ordinance</p>
<p>Indicator 6.6.5 An internal regulation banning and punishing the poaching and sale of bush meat is understood by workers*. This regulation does not limit authorised utilisation as per 6.6.4</p> <p>Verifiers:</p> <p>Farm Rules/ procedures; Interviews with workers* and management</p> <p>Guidance:</p> <p>Cross reference to P1.3 for Permits</p>
<p>Indicator 6.6.6 A system of regular and punctual controls to ensure hunting policies are respected is implemented</p> <p>Verifier:</p> <p>Search/Patrol/ control or M&E system</p>
<p>Criterion 6.7. <u>The Organization</u> shall <u>protect</u> or <u>restore</u> natural watercourses, <u>water bodies</u>, <u>riparian zones</u> and their <u>connectivity</u>. The Organization shall avoid negative impacts on water quality and quantity and mitigate and remedy those that occur. (C6.5 and 10.2 P&C V4)</p>
<p>Indicator 6.7.1 Protection* measures are implemented to protect natural watercourses*, water bodies*, riparian zones* and their connectivity*</p> <p>Verifiers:</p> <p>Infield observation</p>
<p>Indicator 6.7.2 Site disturbances do not occur within 50m -100m, depending on slope gradient from perennial/ephemeral rivers/drainage lines; rivulets (Omaramba); pans; fountains.</p>



<p>Verifiers: Map; Infield observations</p>
<p>Indicator 6.7.3 The use of chemicals is not permitted within 50m -100m, depending on slope gradient from riparian zones.</p> <p>Verifiers: Infield Observation</p> <p>Guidance: Chemicals may be utilised for After-care only, and as part of management plan.</p>
<p>Criterion 6.8. The Organization shall manage the landscape in the Management Unit to maintain and/or restore a varying mosaic of species, sizes, ages, spatial scales and regeneration cycles appropriate for the landscape values in that region, and for enhancing environmental and economic resilience. (C10.2 and 10.3 P&C V4)</p>
<p>Indicator 6.8.1 A varying mosaic of species, sizes, ages, spatial scales*, and regeneration cycles is maintained, taking cognisance of natural features in the surrounding landscape* appropriate to the management objectives of the Organisation</p> <p>Verifier: Infield observations</p>
<p>Indicator 6.8.2 The mosaic of species, sizes, ages, spatial scales*, and regeneration cycles is restored* where it has not been maintained appropriate to the management objectives</p> <p>Verifiers: Infield observations; Monitoring records (see Criterion 8.3)</p>
<p>Criterion 6.9. The Organization shall not convert natural forest to plantations, nor natural forests or plantations on sites directly converted from natural forest to non-forest land use, except when the conversion:</p> <ul style="list-style-type: none"> a) Affects a very limited portion of the area of the Management Unit, and b) Will produce clear, substantial, additional, secure long-term conservation benefits in the Management Unit, and c) Does not damage or threaten High Conservation Values, nor any sites or resources necessary to maintain or enhance those High Conservation Values. (C6.10 P&C V4 and Motion 2014#7)
<p>Indicator 6.9.1 There is no conversion of natural forest* to plantations*, nor conversion of natural forests* to non-forest* land use, use, except when the conversion:</p> <ul style="list-style-type: none"> 1) Affects a very limited portion* of the Management Unit*, and 2) The conversion will produce clear, substantial, additional, secure, long-term conservation* benefits in the Management Unit*; and 3) Does not damage or threaten High Conservation Values*, nor any sites or resources necessary to maintain or enhance those High Conservation Values*. <p>Verifiers Management Plan/Supporting documents; Maps; Forestry regulations adhered; Infield observations</p>
<p>Criterion 6.10. Management Units containing plantations that were established on areas converted from natural forest</p>



after November 1994 shall not qualify for certification, except where:

- a) Clear and sufficient evidence is provided that [The Organization](#) was not directly or indirectly responsible for the conversion, or
- b) The conversion affected a [very limited portion](#) of the area of the Management Unit and is producing clear, substantial, additional, secure long-term [conservation](#) benefits in the Management Unit. (C10.9 P&C V4)

This Criterion is not relevant and shall not be audited for compliance, there are no Plantations within the forestry context in Namibia

PRINCIPLE 7: MANAGEMENT PLANNING

[The Organization](#) shall have a [management plan](#) consistent with its policies and [objectives](#) and proportionate to [scale, intensity and risks](#) of its management activities. The [management plan](#) shall be implemented and kept up to date based on monitoring information in order to promote [adaptive management](#). The associated planning and procedural documentation shall be sufficient to guide staff, inform [affected stakeholders](#) and [interested stakeholders](#) and to justify management decisions. (P7 P&CV4)

Criterion 7.1. [The Organization](#) shall, proportionate to [scale, intensity and risk](#) of its management activities, set policies (visions and values) and [objectives](#) for management, which are environmentally sound, socially beneficial and economically viable. Summaries of these policies and objectives shall be incorporated into the [management plan](#), and publicized. (C7.1a P&C V4)

Indicator 7.1.1. The organization's vision, values and broader objectives are reflected in their management plan and/or separate policies that promote environmentally sound, socially beneficial and economically viable forestry.

Verifiers:

Management plan and/or policies

Criterion 7.2. [The Organization](#) shall have and implement a [management plan](#) for the [Management Unit](#) which is fully consistent with the policies and [management objectives](#) as established according to Criterion 7.1. The management plan shall describe the natural resources that exist in the Management Unit and explain how the plan will meet the FSC certification requirements. The management plan shall cover [forest](#) management planning and social management planning proportionate to [scale, intensity and risk](#) of the planned activities. (C7.1 P&C V4)

Indicator 7.2.1 The management plan* includes management actions, procedures, strategies and measures to achieve the management objectives* as indicated in Annex E.

Verifier:

Management Plan in accordance with Annex E for SLIMF and non-SLIMF

Criterion 7.3. The [management plan](#) shall include [verifiable targets](#) by which progress towards each of the prescribed [management objectives](#) can be assessed. (new)

Indicator 7.3.1 Verifiable targets*, and the frequency that they are assessed, are established for monitoring the progress towards each management objective*.

Verifier:

Management plan



Guidance:

Cross reference to Criterion 8.1 and 8.2

Criterion 7.4. [The Organization](#) shall update and revise periodically the management planning and procedural documentation to incorporate the results of monitoring and evaluation, stakeholder [engagement](#) or new scientific and technical information, as well as to respond to changing environmental, social and economic circumstances. (C7.2 P&C V4)

Indicator 7.4.1 The management plan* is revised and updated every 5 years consistent with Annexure E and Annexure F to incorporate:

- 1) Monitoring* results, including results of certification audits;
- 2) Evaluation results;
- 3) Stakeholder engagement* results;
- 4) New scientific and technical information from local and international institutions, and
- 5) Changing environmental, social, or economic circumstances.

Verifier:

Management plan

Guidance:

major updates every 5 years

minor updates where significant changes are made annually

Published scientific evidence from local and/or international institutions or local engagement with experts

Criterion 7.5. [The Organization](#) shall make [publicly available](#) a summary of the [management plan](#) free of charge. Excluding [confidential information](#), other relevant components of the [management plan](#) shall be made available to [affected stakeholders](#) on request, and at cost of reproduction and handling. (C7.4 P&C V4)

Indicator 7.5.1 A summary of the management plan*, inclusive of monitoring results and excluding confidential information* is made publicly available* at no cost.

Verifiers:

Stakeholder interviews and/or signed stakeholder meeting participation registers

Guidance:

summary of Management plan and/or maps

Criterion 7.6. [The Organization](#) shall, proportionate to [scale, intensity and risk](#) of management activities, proactively and transparently engage [affected stakeholders](#) in its management planning and monitoring processes, and shall engage [interested stakeholders](#) on request. (C4.4 P&C V4)

Indicator 7.6.1 Engagement* is used to ensure that affected and interested stakeholders* including rights holders are proactively and transparently engaged in management activities which affect their interests, where applicable

Guidance:

Cross reference:

- 1) Dispute* resolution processes (Criterion* 1.6, Criterion* 2.6, Criterion* 4.6);



- 2) Identification of rights (Criterion* 3.1, Criterion* 4.1), sites (Criterion* 3.5, Criterion* 4.7) and impacts (Criterion* 4.5);
- 3) Local communities’* socio-economic development activities (Criterion* 4.4); and
- 4) High Conservation Value* assessment, management and monitoring (Criterion* 9.1, Criterion* 9.2, Criterion* 9.4).

Indicator 7.6.2 Organisation shall ensure effective engagement with identified stakeholders is implemented and relevant records maintained.

Verifiers:

Documented Stakeholder list and records of engagement

Guidance:

Examples include: Photographic evidence, Use of community radios, social media

[Annex E: Elements of the Management Plan](#)

PRINCIPLE 8: MONITORING AND ASSESSMENT

[The Organization](#) shall demonstrate that, progress towards achieving the [management objectives](#), the impacts of management activities and the condition of the [Management Unit](#), are monitored and evaluated proportionate to the [scale, intensity and risk](#) of management activities, in order to implement [adaptive management](#). (P8 P&C V4)

Criterion 8.1. [The Organization](#) shall monitor the implementation of its [Management Plan](#), including its policies and [management objectives](#), its progress with the activities planned, and the achievement of its [verifiable targets](#). (new)

Indicator 8.1.1 Organisation shall document procedures for Monitoring activities within the Management Plan. Field observations are executed to verify the implementation of the Management Plan in accordance with Criteria 6.2 and 7.2

Verifiers

Management Plan and/or Procedures with consideration to scale, intensity and risk to the Organisation; Infield Observation

Criterion 8.2. [The Organization](#) shall monitor and evaluate the environmental and social impacts of the activities carried out in the [Management Unit](#), and changes in its environmental condition. (C8.2 P&C V4)

Indicator 8.2.1 The social impacts of management activities are monitored* consistent with Annex F.

Verifiers:

Management Plan/Supporting documents; Monitoring reports/records/data base; Infield observations

Indicator 8.2.2 The environmental impacts of management activities are monitored* consistent with Annex F.

Verifiers:

Management Plan/Supporting documents; Monitoring reports/records/data base; Infield observations

8.2.3 Changes in environmental conditions are monitored* consistent with Annex F.

Verifiers:

Regrowth (coppice/seedlings); Sustainability (age/growth rate/spp.); Invasive alien spp.; Poisonous plants/unpalatable shrubs; Erosion - field inspection; Grass and other biomass changes; Wildlife changes

Guidance:



<p>Scientific methods of monitoring changes e.g. photographic monitoring points</p>
<p>Criterion 8.3 The Organization shall analyze the results of monitoring and evaluation and feed the outcomes of this analysis back into the planning process. (C8.4 P&C V4)</p>
<p>Indicator 8.3.1 Adaptive management* procedures are implemented so that monitoring* results feed into periodic updates to the planning process and the resulting management plan*.</p> <p>Verifiers: Management plan and/or Update/change matrix</p> <p>Guidance: major update of management plan every 5 years, minor updates in management plan for significant changes from the results of monitoring assessments</p>
<p>Criterion 8.4. The Organization shall make publicly available a summary of the results of monitoring free of charge, excluding confidential information. (C8.5 P&C V4)</p>
<p>This criterion is fulfilled within Indicators 7.4.1 and 7.5.1</p>
<p>Criterion 8.5. The Organization shall have and implement a tracking and tracing system proportionate to scale, intensity and risk of its management activities, for demonstrating the source and volume in proportion to projected output for each year, of all products from the Management Unit that are marketed as FSC certified. (C8.3 P&C V4)</p>
<p>Indicator 8.5.1 A system is implemented to track and trace all products that are marketed as FSC certified. As part of that:</p> <ol style="list-style-type: none"> 1) <i>Transaction verification*</i> is supported by providing <i>FSC transaction*</i> data, as requested by the certification body; 2) <i>Fibre testing*</i> is supported by surrendering samples and specimens of materials and information about species composition for verification, as requested by the certification body. <p>Verifiers: Verbal description of system implemented, supporting documented evidence of implementation and documented support to the Certification body, where relevant</p>
<p>Indicator 8.5.2 Information about all products sold is compiled and documented, including:</p> <ol style="list-style-type: none"> 1) species name; 2) Product description; 3) Volume (or quantity) of product; 4) Information to trace the material to the source 5) Whether or not the material was sold as FSC certified. <p>Verifiers: Sales summary; Production records; Delivery notes; Financial records and/or statements</p>
<p>Indicator 8.5.3 Sales invoices and/or delivery documentation are kept for a minimum of five years for all products sold with an FSC claim, which identify at a minimum, the following information:</p> <ol style="list-style-type: none"> 1) Name and address of purchaser; 2) The date of sale; 3) species name;



- 4) Product description;
- 5) The volume (or quantity) sold;
- 6) Certificate code; and
- 7) The FSC Claim “FSC 100%” identifying products sold as FSC certified.

Verifiers:

Sales invoices, Delivery notes and Transport Permits are maintained

Annex F: Monitoring

PRINCIPLE 9: HIGH CONSERVATION VALUES

The Organization shall maintain and/or enhance the High Conservation Values in the Management Unit through applying the precautionary approach. (P9 P&C V4)

Criterion 9.1. The Organization, through engagement with affected stakeholders, interested stakeholders and other means and sources, shall assess and record the presence and status of the following High Conservation Values in the Management Unit, proportionate to the scale, intensity and risk of impacts of management activities, and likelihood of the occurrence of the High Conservation Values:

HCV 1 – Species diversity. Concentrations of biological diversity including endemic species, and rare, threatened or endangered species, that are significant at global, regional or national levels.

HCV 2 – Landscape-level ecosystems and mosaics. Intact forest landscapes and large landscape-level ecosystems and ecosystem mosaics that are significant at global, regional or national levels, and that contain viable populations of the great majority of the naturally occurring species in natural patterns of distribution and abundance.

HCV 3 – Ecosystems and habitats. Rare, threatened, or endangered ecosystems, habitats or refugia.

HCV 4 – Critical ecosystem services. Basic ecosystem services in critical situations, including protection of water catchments and control of erosion of vulnerable soils and slopes.

HCV 5 – Community needs. Sites and resources fundamental for satisfying the basic necessities of local communities or Indigenous Peoples (for livelihoods, health, nutrition, water, etc.), identified through engagement with these communities or Indigenous Peoples.

HCV 6 – Cultural values. Sites, resources, habitats and landscapes of global or national cultural, archaeological or historical significance, and/or of critical cultural, ecological, economic or religious/sacred importance for the traditional cultures of local communities or Indigenous Peoples, identified through engagement with these local communities or Indigenous Peoples. (C9.1 P&C V4 and Motion 2014#7)

Indicator 9.1.1 An assessment is completed which records the location, status and condition of High Conservation Value* Categories 1-6, in accordance with Annexure G

Verifiers:

Results of assessments

Indicator 9.1.2 The assessment uses results from engagement* with affected* and interested stakeholders* as identified in Annexure G

Verifiers:



Results of stakeholder consultations are documented
Criterion 9.2. The Organization shall develop effective strategies that maintain and/or enhance the identified High Conservation Values , through engagement with affected stakeholders , interested stakeholders and experts. (C9.2 P&C V4)
Indicator 9.2.1 Threats to High Conservation Values* are identified Verifiers: Results of Assessments Cross reference to Criteria 6.2 and 6.3.
Indicator 9.2.2 Effective management strategies and actions are developed in consultation with affected rights holders*, affected* and interested stakeholders, to maintain and/or enhance the identified High Conservation Values* and associated High Conservation Value Areas* (Annexure G) prior to implementing potentially harmful management activities. Verifiers: Management plan, Maps
Criterion 9.3. The Organization shall implement strategies and actions that maintain and/or enhance the identified High Conservation Values . These strategies and actions shall implement the precautionary approach and be proportionate to the scale, intensity and risk of management activities. (C9.3 P&C V4)
Indicator 9.3.1 The High Conservation Values* and the High Conservation Value Areas* on which they depend are maintained and/or enhanced, including by implementing the strategies developed (Annexure G). Verifiers: Management plan, Maps
Indicator 9.3.2 The strategies and actions prevent damage and avoid risks to High Conservation Values*, even when the scientific information is incomplete or inconclusive, and when the vulnerability and sensitivity of High Conservation Values* are uncertain. Verifier: Management Plan
Indicator 9.3.3 Activities that harm High Conservation Values* cease immediately and actions are taken to restore* and protect the High Conservation Values*. Verifiers: Management Plan, Infield Observation
Criterion 9.4. The Organization shall demonstrate that periodic monitoring is carried out to assess changes in the status of High Conservation Values , and shall adapt its management strategies to ensure their effective protection . The monitoring shall be proportionate to the scale, intensity and risk of management activities, and shall include engagement with affected stakeholders , interested stakeholders and experts. (C9.4 P&C V4)
Indicator 9.4.1 A program of periodic monitoring* that is sufficient in scope and detail that (Annex G) assesses: 1) Implementation of strategies; 2) The status of High Conservation Values* including High Conservation Value Areas* on which they depend; and



3) The effectiveness of the management strategies and actions for the protection* of High Conservation Value* to fully maintain and/or enhance the High Conservation Values*.

Verifiers:

Management plan, Monitoring plan

Guidance

Major Review/revision every 5 years

Revision to occur where significant changes arise

Indicator 9.4.2 The monitoring* program includes engagement* with affected* rights holders, affected* and interested stakeholders* and experts if/when required.

Verifiers:

Results of stakeholder consultation (documented)

Indicator 9.4.3 Management strategies and actions are adapted when monitoring* or other new information shows that these strategies and actions are insufficient to ensure the maintenance and/or enhancement of High Conservation Values*.

Verifiers:

Management plan, Monitoring plan

Annex G: HCV Framework

PRINCIPLE 10: IMPLEMENTATION OF MANAGEMENT ACTIVITIES

Management activities conducted by or for [The Organization](#) for the [Management Unit](#) shall be selected and implemented consistent with The Organization’s economic, environmental and social policies and [objectives](#) and in compliance with the Principles and Criteria collectively. (new)

In Namibia harvesting of indigenous tree species (encroacher or thickening) and alien invasive species occurs. Bush thickening (encroachment), with a variety of causes (e.g. poor farming practices - overstocking/overgrazing; prevention of natural fires, etc.) has resulted in a degraded savanna with severe socio-economic and environmental implications. The aim is to improve the landscape to a more "natural" condition (i.e. lower density & varied spp. composition); biodiversity for both fauna and flora; increase grass biomass, etc.

Criterion 10.1. After harvest or in accordance with the [management plan](#), [The Organization](#) shall, by natural or artificial regeneration methods, regenerate vegetation cover in a timely fashion to pre-harvesting or more [natural conditions](#). (new)

Indicator 10.1.1 Harvested sites naturally regenerate in accordance with management objectives to more natural conditions. Invasive alien species shall not be selected for regrowth. This shall protect identified environmental values as per Criterion 6.1

Verifiers:

Cross reference: Criteria 6.1 and 6.5



<p>Guidance: density data models within the FMU</p>
<p>Criterion 10.2. The Organization shall use species for regeneration that are ecologically well adapted to the site and to the management objectives. The Organization shall use native species and local genotypes for regeneration, unless there is clear and convincing justification for using others. (C10.4 and C10.8 P&C V4)</p>
<p>This Criterion is fulfilled by 10.1 - within the Namibian context, Indigenous species naturally regenerate with management eradicating invasive species and non-invasive species within the FMU. Intentional regeneration of invasive species is prohibited by legislation.</p>
<p>Criterion 10.3. The Organization shall only use alien species when knowledge and/or experience have shown that any invasive impacts can be controlled and effective mitigation measures are in place. (C6.9 and C10.8 P&C V4)</p>
<p>Indicator 10.3.1 Invasive alien species are located, identified and eradicated throughout the management system. Conditions shall be improved to a more natural condition in accordance with an integrated FSC management plan.</p> <p>Verifiers: Evidence of eradication on the FMU; Monitoring of spread and control; Cross reference 8.2.2.</p> <p>Guidance E.g. Opuntia spp.; Prosopis spp. National Review Of Invasive Alien Species Namibia, 2004</p>
<p>Criterion 10.4 The Organization shall not use genetically modified organisms in the Management Unit. (C6.8 P&C V4)</p>
<p>Indicator 10.4.1 Genetically modified organisms* are not used.</p> <p>Verifiers: Statement within the Management Plan and/or Verbal commitment by Manager; Infield observation</p>
<p>Criterion 10.5 The Organization shall use silvicultural practices that are ecologically appropriate for the vegetation, species, sites and management objectives. (new)</p>
<p>Indicator 10.5.1 Silvicultural practices* are implemented that are ecologically appropriate in relation to the management objectives. Protected species, sensitive ecosystems* and plant habitats to be maintained. Density Data Models* shall be applied.</p> <p>Verifiers: Management plan; Infield Observations; Stem count (see C5.2.1); Monitoring Plan</p>
<p>Criterion 10.6. The Organization shall minimize or avoid the use of fertilizers. When fertilizers are used, The Organization shall demonstrate that use is equally or more ecologically and economically beneficial than use of silvicultural systems that do not require fertilizers, and prevent, mitigate, and/or repair damage to environmental values, including soils. (C10.7 P&C V4 and Motion 2014#7)</p>
<p>Indicator 10.6.1 Only applicable to Organizations with NTFP in their scope of certification.</p> <p>The use of fertilizers are minimized or avoided, are not applied within 100m of riparian zones and shall adhere to documented procedures for its application and storage. Records detailing the volume, type of fertilizer and sites shall be maintained.</p>



<p>Verifiers: Interviews with stakeholders; Infield Observations</p> <p>Should fertilizers be used, appropriate stock control must be done; records of applications; fertilizer-type, sites, application rates; documented sites on farm maps; after-care & monitoring, including control-sites of applications</p>
<p>Criterion 10.7 The Organization shall use integrated pest management and silviculture systems which avoid, or aim at eliminating, the use of chemical pesticides. The Organization shall not use any chemical pesticides prohibited by FSC policy. When pesticides are used, The Organization shall prevent, mitigate, and/or repair damage to environmental values and human health. (C6.6 and C10.7 P&C V4)</p>
<p>Indicator 10.7.1 Silvicultural systems* use, avoid or minimize the frequency, extent and amount of pesticide* applications depending on the Management Objective of the Organization</p> <p>Verifiers: Chemical records; Infield inspections; Monitoring Plan</p> <p>Guidance: documented disposal of containers for pesticides / after-care chemicals</p>
<p>Indicator 10.7.2 Chemical pesticides* prohibited by FSC's Pesticide Policy are not used or stored in the Management Unit* unless FSC has granted derogation.</p> <p>Verifiers: Approved Derogations; Chemical Records; Monitoring results</p>
<p>Indicator 10.7.3. Pesticides are not used to manipulate the FMU landscape. Pesticides may be utilised for after-care as per Indicator 6.7.3. Application shall be ecologically appropriate in relation to the management objectives.</p> <p>Verifiers: Interviews with stakeholders; Infield Observations; Management Plan</p> <p>Guidance: Examples of Acceptable Reasons for Pesticide use - clearing of fence-lines/firebreaks/roads/pipelines to enhance farming and/or rangeland management and/or wildlife management activities.</p>
<p>Indicator 10.7.4 Records of pesticide* usage are maintained, including trade name, active ingredient, quantity of active ingredient used, period of use, location and area of use and reason for use.</p> <p>Verifiers: Chemical records</p>
<p>Indicator 10.7.5 Procedures are developed and implemented on the use of pesticides* and Hydrocarbons which complies with the ILO document "Safety in the use of chemicals at work" and the Petroleum Products and Energies Act 13,1990 regarding requirements for the transport, storage, handling, application and emergencies for clean-up following accidental spillages.</p> <p>Verifiers: Organizational Procedures on Chemical Use</p>
<p>Indicator 10.7.6 Procedures are implemented on the use of pesticides</p> <p>Verifiers:</p>



Chemical storage facilities, PPE, Training records of chemical operators
<p>Indicator 10.7.7 If pesticides* are used, application methods minimize quantities used, while achieving effective results, and provide effective protection* to environmental values identified in Criterion 6.1.</p> <p>Verifiers: Chemical records, Field inspections</p>
<p>10.7.8. When pesticides* are used: Objective evidence demonstrates that the pesticide* is the only effective, practical and cost-effective way to control the targeted species.</p> <p>Verifier: Cost-benefit analysis</p>
<p>Criterion 10.8. The Organization shall minimize, monitor and strictly control the use of biological control agents in accordance with internationally accepted scientific protocols. When biological control agents are used, The Organization shall prevent, mitigate, and/or repair damage to environmental values. (C6.8 P&C V4)</p>
<p>Indicator 10.8.1 The use of biological control agents* complies with Namibian Legislation & internationally accepted scientific protocols*, Use is minimized, monitored* and controlled. Records are maintained regarding type, quantity, period, location and reason for use.</p> <p>Verifiers: Records of release of biological control agents; Stakeholder interviews; Chemical records; Field inspections; Photographic evidence</p>
<p>Indicator 10.8.2 Damage to environmental values* caused by the use of biological control agents* is prevented and mitigated or repaired where damage occurs.</p> <p>Guidance: Cross reference to P8 and C6.2, C6.3</p>
<p>Criterion 10.9 The Organization shall assess risks and implement activities that reduce potential negative impacts from natural hazards proportionate to scale, intensity, and risk. (new)</p>
<p>Indicator 10.9.1 Potential negative impacts of natural hazards* on infrastructure*, forest* resources and communities in the Management Unit* are assessed and mitigated</p> <p>Verifiers: Verbal description from management</p>
<p>Indicator 10.9.2 The risk* for management activities to increase the frequency, distribution or severity of natural hazards* is identified and reduced for those hazards* that may be influenced by management.</p> <p>Verifier: Infield observation, Fire Management plan, Monitoring Plan</p> <p>Guidance Natural hazards – drought and natural fire Photographic evidence</p>
<p>Criterion 10.10 The Organization shall manage infrastructural development, transport activities and silviculture so</p>



that water resources and soils are protected, and disturbance of and damage to [rare and threatened species](#), [habitats](#), [ecosystems](#) and [landscape values](#) are prevented, mitigated and/or repaired. (C6.5 P&C V4)

This Criterion is fulfilled in Criteria 6.1, 6.2, 6.3

Criterion 10.11. [The Organization](#) shall manage activities associated with harvesting and extraction of timber and [non-timber forest products](#) so that [environmental values](#) are conserved, merchantable waste is reduced, and damage to other products and services is avoided. (C5.3 and C6.5 P&C V4)

Indicator 10.11.1 Harvesting or thinning and extraction practices for timber and non-timber forest products* are implemented in a manner that conserves environmental values* as identified in Criterion* 6.1. and *High Conservation Values** identified in *Criteria** 9.1 and 9.2.

Mechanised operations have documented procedures which evaluate and mitigate site contamination, impacts on soil structure and other conservation values, as identified in Indicator 6.1.1.*.

Verifiers

Infield observation, Monitoring Plan

Guidance:

Procedures on management and application/usage of Harvesting Machinery

Procedure for Hydrocarbon Spillages

Collateral damage to protected species

Photographic evidence may be provided

10.11.2 Sufficient amounts of dead and decaying biomass and forest* structure are retained to conserve environmental values* as identified in Indicator 6.1.1

Verifier:

Infield observation

Guidance:

Leave in-situ - Avoidance of heaping or burning harvested residues to minimize erosion.

Mechanized raking is not encouraged

Indicator 10.11.3 Harvesting and extraction practices minimize and avoid damage to protected tree species

Verifier:

Infield Observation, Harvesting permit conditions. Cross reference C6.2 and C6.3

For mechanized harvesting – an Environmental Management Plan

Criterion 10.12. [The Organization](#) shall dispose of [waste materials](#) in an environmentally appropriate manner. (C6.7 P&C V4)

Indicator 10.12.1 Collection, clean up, transportation and disposal of all waste materials* is done in an environmentally appropriate way that conserves environmental values* as identified in Criterion* 6.1. Chemical containers shall be disposed in an environmentally responsible manner and shall not be utilised to store drinking water or food substances. *Domestic waste shall be removed to Municipal areas; disposed on site, with fencing around landfills or incinerated on site.*

Verifiers:



Infield observations; Training of workers

Guidance:

Waste from villages, landfill sites, recycling program, ablution facilities, waste from infield activities to be included

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8. Annexes to a Forest Stewardship Standard

Annex A: List of applicable laws, regulations and nationally-ratified international treaties, conventions and Agreements

A.	NATIONAL LEGISLATION
	<p>Legal Rights to Harvest:</p> <ul style="list-style-type: none"> • Land tenure and management rights Legislation covering land tenure rights, including customary rights as well as management rights that includes the use of legal methods to obtain tenure rights and management rights. It also covers legal business registration and tax registration, including relevant legal required licenses. • Concession licenses Legislation regulating procedures for the issuing of forest concession licenses, including use of legal methods to obtain concession license. Especially bribery, corruption and nepotism are well-known issues in connection with concession licenses. • Management and harvest planning Any legal requirements for management planning, including conducting forest inventories, having a forest management plan and related planning and monitoring, as well as approval of these by competent authorities. • Harvesting permits Legislation regulating the issuing of harvesting permits, licenses or other legal document required for specific harvesting operations. It includes the use of legal methods to obtain the permit. Corruption is a well-known issue in connection with the issuing of harvesting permits.
1.	<p>Land Tenure Act 32 of 1966:</p> <p>This Act establishes a Land Tenure Board and provides for the acquisition and development of land in connection with farming purpose.</p>
2.	<p>The 2003 National Land Tenure Policy</p> <p>This Policy covers all land tenure systems in urban, communal, commercial (freehold) and resettlement areas, and is intended to guide all land tenure rights in Namibia. The policy promotes the sustainable utilisation of land and other resources. By regulating different land tenure rights, it provides secure tenure for informal urban settlers, farm workers and occupiers (those who have been employed less than ten years on a single farm and do not have secure tenure elsewhere).</p>
3.	<p>Forest Act 12 of 2001:</p> <p>This Act consolidates the laws relating to the use and management of forests and forest produce, provides for the control of forest fires and creates a Forestry Council.</p> <p>Regulations: Areas declared as community forests under section 15(3) are published. Protected tree species according to the Forest Act (See Appendix C of AD33-NA for lists).</p>
4.	<p>Forest Act 12 of 2001 as amended Act 13 of 2005 to Harvest, Transport, Market, or Export. Permit issued by Ministry of Agriculture, Water and Forestry, Directorate of Forestry, on payment of fees.</p>
	<p>Taxes and Fees</p> <ul style="list-style-type: none"> • Payment of royalties and harvesting fees Legislation covering payment of all legally required forest harvesting specific fees such as royalties, stumpage fees and other volume based fees. It also includes payments of the fees based on correct classification of quantities, qualities and species. Incorrect classification of forest products is a well-known issue often combined with bribery of officials in charge of controlling the classification.



	<ul style="list-style-type: none"> • Value added and sales taxes Legislation covering different types of sales taxes which apply to the material being sold, including selling material as growing forest (standing stock sales). • Income and profit taxes Legislation covering income and profit taxes related to the profit derived from sale of forest products and harvesting activities. This category is also related to income from the sale of timber and does not include other taxes generally applicable for companies or related to salary payments.
5.	Trust Fund for Regional Development and Equity Provisions Act 22 of 2000.
6.	Income Tax Act 24 of 1981. This Act covers income tax comprehensively. Income Tax Second Amendment Act 7 of 2011.
7.	Value-Added Tax Act 10 of 2000 Value Added Tax Amendment Act 4 of 2010
8.	Forest Act 12 of 2001 as amended Act 13 of 2005 to Harvest, Transport, Market, or Export. Permit issued by Ministry of Agriculture, Water and Forestry, Directorate of Forestry, on payment of fees.
	<p>Timber Harvesting Activities</p> <ul style="list-style-type: none"> • Timber harvesting regulations Any legal requirements for harvesting techniques and technology including selective cutting, shelter wood regenerations, clear felling, transport of timber from felling site and seasonal limitations etc. Typically this includes regulations on the size of felling areas, minimum age and/or diameter for felling activities and elements that shall be preserved during felling etc. Establishment of skidding or hauling trails, road construction, drainage systems and bridges etc. shall also be considered as well as planning and monitoring of harvesting activities. Any legally binding codes for harvesting practices shall be considered. • Protected sites and species Covers legislation related to protected areas as well as protected, rare or endangered species, including their habitats and potential habitats • Environmental requirements Covers legislation related to environmental impact assessment in connection with harvesting, acceptable level for soil damage, establishment of buffer zones (e.g. along water courses, open areas, breeding sites), maintenance of retention trees on felling site, seasonal limitation of harvesting time, and environmental requirements for forest machineries. • Health and safety Legally required personal protection equipment for persons involved in harvesting activities, use of safe felling and transport practice, establishment of protection zones around harvesting sites, and safety requirements to machinery used. Legally required safety requirements in relation to chemical usage. The health and safety requirements that shall be considered relate to operations in the forest (not office work, or other activities less related to actual forest operations). • Legal employment Legal requirements for employment of personnel involved in harvesting activities including requirement for contracts and working permits, requirements for obligatory insurances, requirements for competence certificates and other training requirements, and payment of social and income taxes withhold by employer. Furthermore, the points cover observance of minimum working age and minimum age for personal involved in hazardous work, legislation against forced and compulsory labour, and discrimination and freedom of association
9.	<p>Forest Act 12 of 2001</p> <p>The Forest Act consolidates the laws relating to the use and management of forests and forest produce; it provides for the control of forest fires and creates a Forestry Council. It replaces the Preservation of Trees and Forests Ordinance of 1952 and the 1968 Forest Act. The 2001 Forest Act defines forest produce in very broad terms as anything which grows or is naturally found in a forest. The Act is formulated around the tenets of sustainable management of forests, and the purpose for which forest resources are managed and developed. This also includes the planting of trees where necessary, as well as soil conservation, the safekeeping of water resources and the maintenance of biological diversity.</p>
10.	<p>Environmental Management Act 7 of 2007:</p> <p>The Environmental Management Act is an important tool in terms of environmental protection. The Act requires adherence to the principle of optimal sustainable yield in the exploitation of all natural resources.</p>



	<p>The Act gives effect to Article 95 (l) of the Namibian Constitution by establishing general principles for the management of the environment and natural resources.</p> <p>The principles of environmental management have to be applied by government institutions and private persons including companies, institutions and organisations, when doing or planning things which may have a significant effect on the environment. These principles are well elaborated in Section 3 (2) of the Act:</p> <ul style="list-style-type: none"> (a) renewable resources must be used on a sustainable basis for the benefit of present and future generations; (b) community involvement in natural resources management and the sharing of benefits arising from the use of the resources, must be promoted and facilitated; (c) the participation of all interested and affected parties must be promoted and decisions must take into account the interest, needs and values of interested and affected parties; (d) equitable access to environmental resources must be promoted and the functional integrity of ecological systems must be taken into account to ensure the sustainability of the systems and to prevent harmful effects; (e) assessments must be undertaken for activities which may have a significant effects on the environment or the use of natural resources; (f) sustainable development must be promoted in all aspects relating to the environment; (g) Namibia's cultural and natural heritage including, its biological diversity, must be protected and respected for the benefit of present and future generations; (h) the option that provides the most benefit or causes the least damage to the environment as a whole, at a cost acceptable to society, in the long term as well as in the short term must be adopted to reduce the generation of waste and polluting substances at source; (i) the reduction, re-use and recycling of waste must be promoted; (j) a person who causes damage to the environment must pay the costs associated with rehabilitation of damage to the environment and to human health caused by pollution, including costs for measures as are reasonably required to be implemented to prevent further environmental damage; (k) where there is sufficient evidence which establishes that there are threats of serious or irreversible damage to the environment, lack of full scientific certainty may not be used as a reason for postponing cost-effective measures to prevent environmental degradation; and (l) damage to the environment must be prevented and activities which cause such damage must be reduced, limited or controlled. <p>To achieve this, the Act provides for administrative mechanisms such as the necessity of environmental clearance certificates and environmental assessments.</p>
11.	Nature Conservation General Amendment Act 31 of 1990
12.	<p>Nature Conservation Ordinance 4 of 1975</p> <p>One of the major biodiversity related laws in Namibia is the legislation governing the conservation of wild-life, and protected areas, the Nature Conservation Ordinance 4 of 1975. With the introduction of communal conservancies, amendments to the ordinance and its regulations were made and came into effect in 1996. The amendments were made to take into account the establishment of conservancies and Wildlife Councils. In terms of the amendment, rural communities have to form a conservancy in order to be able to acquire the use-right over wildlife. Wildlife conservancies are gaining importance granting communities custodianship of their natural resources particularly wildlife and fish.</p> <p>Protected tree species according to the Nature Conservation Ordinance No. 4 of 1975 (See Appendix C of AD33-NA for lists).</p>
13.	Protected tree species according to the Otjiwarongo Forestry District Office (See Appendix C of AD33-NA for lists).
14.	Racial Discrimination Prohibition Act 26 of 1991
15.	Affirmative Action (Employment) Act 29 of 1998
16.	Occupational Health and Safety Regulations, (emanating from the Labour Act)
17.	Social Security Act, 34 of 1994 (plus various amendments)
18.	Workman's Compensation Act, 1995



19.	Labour Act 11 of 2007
	<p>Third Party Rights</p> <ul style="list-style-type: none"> • Customary rights Legislation covering customary rights relevant to forest harvesting activities including requirements covering sharing of benefits and indigenous rights • Free prior and informed consent (FPIC) Legislation covering "free prior and informed consent" in connection with transfer of forest management rights and customary rights to the organisation in charge of the harvesting operation • Rights of indigenous peoples Legislation that regulates the rights of indigenous people as far as it's related to forestry activities. Possible aspects to consider are land tenure, right to use certain forest related resources or practice traditional activities, which may involve forest lands
20.	<p>Customary Law:</p> <p>Despite the legal influence of the ex-colonial powers, a large number of Namibians still live under indigenous customary law. Legal pluralism prevails, hence two or more types of law or legal traditions operate simultaneously. Before the arrival of the colonists the indigenous populations have lived for generations according to their own distinctive laws. Customary law was passed on - orally - from generation to generation. Article 66 of the Namibian Constitution lays the foundation for the constitutional recognition of customary law. It states that both the customary law and the common law of Namibia in force on the date of Independence shall remain valid to the extent that such customary or common law does not conflict with the Constitution or any other statutory law.</p>
21.	<p>Council of Traditional Leaders Act 13 of 1997 (Customary Law):</p> <p>This Act establishes the Council of Traditional Leaders referred to in Article 102(5) of the Namibian Constitution. It requires that any draft legislation pertaining to communal land must be laid before the Council before it is introduced in the National Assembly. It came into force on 31 March 1998 (GN 64/1998, GG 1828).</p>
22.	<p>Cultural Promotion Ordinance 9 of 1980 (Culture and cultural Institutions)</p>
23.	<p>Traditional Authorities Act 25 of 2000 (Customary Law):</p> <p>This Act provides for the election or recognition of traditional authorities and sets forth their functions, duties and powers. It replaces the Traditional Authorities Act 17 of 1995. It came into force on 17 May 2001 (GG 2532).</p> <p>It is the overall responsibility of traditional authorities to supervise and ensure the observance of the customary law of that community by its members. As to nature conservation it is one of the duties of a traditional authority to ensure that members of the traditional community use the natural resources at their disposal on a sustainable basis and in a manner that keeps the environment and maintains the ecosystem for the benefit of all Namibians. Customary law plays an important role in the sustainable development of natural resources and the protection of biological diversity as it incorporates a broad knowledge of ecosystems relationships.</p>
24.	<p>Access to Biological Resources and Associated Traditional Knowledge Act 2 of 2017</p> <p>This act was promulgated with the objective to protect biodiversity and traditional knowledge. The Act applies to the derivatives of the biological resources, community knowledge and technologies, local and indigenous farming communities, and plant breeders. The benefit derived from the resource that is found within a specific area is limited to the inhabitants of that area. The Act prohibits patents over life forms and biological processes. Furthermore, it recognises the rights of local and indigenous communities, and lays down a platform for the application of customary law to community rights. Local communities will be entitled to exercise their inalienable right to access, use, exchange, or share their biological resources in sustaining their livelihood systems, as regulated by their customary practices and laws.</p>



	While the Act was promulgated, it still needs to be enacted by the ensuing regulations. These were discussed with the stakeholders in a final public consultation round from 11 to 14 June 2018. The Regulations are expected to be released in 2018, or latest in 2019.
	<p>Trade and Transport</p> <ul style="list-style-type: none"> • Classification of species, quantities, qualities Legislation regulating how harvested material is classified in terms of species, volumes and qualities in connection with trade and transport. Incorrect classification of harvested material is a well-known method to reduce/avoid payment of legality prescribed taxes and fees • Trade and transport All required trading permits shall exist as well as legally required transport document which accompany transport of wood from forest operation • Offshore trading and transfer pricing Legislation regulating offshore trading. Offshore trading with related companies placed in tax havens combined with artificial transfer prices is a well-known way to avoid payment of legally prescribed taxes and fees to the country of harvest and considered as an important generator of funds that can be used for payment of bribery and black money to the forest operation and personal involved in the harvesting operation. Many countries have established legislation covering transfer pricing and offshore trading. It should be noted that only transfer pricing and offshore trading as far as it is legally prohibited in the country, can be included here.
25.	Forest Act 12 of 2001 as amended Act 13 of 2005 to Harvest, Transport, Market, or Export. Permit issued by Ministry of Agriculture, Water and Forestry, Directorate of Forestry, on payment of fees.
26.	Road and Traffic Transport Act 22 of 1999
27.	National Road Safety Act 9 of 1972
28.	<p>Marketing Act 59 of 1968(Agriculture)</p> <p>This Act regulates the production and sale of agricultural products, as well as the grading and standardization of such products. It also establishes various boards and control bodies.</p>
	<p>Custom regulations</p> <p>Custom legislation covering areas such as export/import licenses, product classification (codes, quantities, qualities and species)</p>
29.	<p>Import and Export Control Act 30 of 1994.</p> <p>This Act provides for import and export control.</p> <p>Regulations: Import and export issues are addressed in AG GN 6/1981 (export permits), AG GN 7/1981 and GN 33/1987 (import permits), all of which appear to survive under the new legislation.</p>
30.	Customs and Excise Act 20 of 1998
	<p>CITES</p> <p>CITES permits (the Convention on International Trade in Endangered Species of Wild Fauna and Flora, also known as the Washington Convention)</p>
31.	The Trade in Wildlife Bill is currently under discussion in Parliament and aims at realizing Namibia's obligations under the CITES Convention.
	Other
32.	<p>Agricultural Pests Act 3 of 1973.</p> <p>The Agricultural Pests Act will be repealed by the Plant Quarantine Act of 2007. The Agricultural Pests Act deals with the registration of nurseries, the control and eradication of plants, insects and diseases at nurseries, the control and eradication of exotic (vertebrate) animals (excluding farm animals) and plants infected by insects or plant diseases, control of plant, insect and plant disease imports, honey bees, honey and exotic animals, the eradication of plant diseases, insects and locusts as well as defining the powers of inspectors. Section 9 provides for the eradication of exotic animals as well as any plants infected by insects or disease.</p>



33.	Animal Diseases and Parasites Act 13 of 1956
34.	Animal Protection Act 71 of 1962: This Act is concerned with the prevention of cruelty to animals
35.	Anticorruption Act 8 of 2003
36.	Atmospheric Pollution Prevention Ordinance 11 of 1976 This Ordinance provides for the prevention of air pollution.
37.	Constitution of Namibia: The Namibian Constitution or the Mother of All Laws, as Namibians have come to call this legal instrument is indivisibly linked to the founding of the Namibian state. The adoption of the Constitution on 9 February 1990 came about after a three-decade-long struggle for Independence and many more decades of colonial and military rule. The Namibian Constitution shows a strong commitment to the rule of law, democratic government and respect for fundamental human rights and freedoms such as the protection of life, liberty, human dignity, equality, education, freedom from slavery, forced labour, and discrimination to name only a few rights enshrined in the Constitution.
38.	Game Product Trust Fund Act 7 of 1997
39.	Hazardous Substances Ordinance 14 of 1974
40.	Fertilizers, Farm Feeds, Agricultural Remedies and Stock Remedies Act 36 of 1947 (SA) (SA GG 3834)
41.	Mountain Catchment Areas Act 63 of 1970 This Act provides for the management and conservation of land in designated mountain catchment areas.
42.	National Heritage Act 27 of 2004
43.	Nature Conservation Ordinance 4 of 1975 This Ordinance covers game parks and nature reserves, the hunting and protection of wild animals (including game birds), problem animals, fish, and the protection of indigenous plants. It also establishes a Nature Conservation Board. GN 203/1973 (which is amended by GN 132/1996 (GG 1320) declares certain animals as problems animals. GN 246/1977 (OG 3638) contains prohibitions on the export of processed or tanned leopard & cheetah skins.
44.	Parks and Wildlife Management Bill of 2005 This Bill has not been enacted as of yet. It will protect all indigenous species and control the exploitation of all plants and wildlife. The Preamble to this Bill states its intention to give effect to paragraph (l) of Article 95 of the Namibian Constitution. In it the state undertakes to establish a legal framework that provides for and promotes the maintenance of ecosystems, essential ecological processes and the biological diversity of Namibia and to promote the mutually beneficial co-existence of humans with wildlife, to give effect to Namibia's obligations under relevant international legal instruments, including the Convention on Biological Diversity and the Convention on International Trade in Endangered Species of Wild Fauna and Flora. In case the proposed Act comes into force, it repeals the Nature Conservation Ordinance 4 of 1975. In its Principles of Conservation (Section 3) the Bill recognises that biological diversity must be maintained, and where necessary, rehabilitated; and that essential ecological processes and life support systems must be maintained.
45.	Plant Quarantine Act 7 of 2008 This Act provides for the preventing, monitoring, controlling and eradication of plant pests and regulated the movement of plants and plant products. It also provides for the certification of the phytosanitary standards of plants and plant products exported from Namibia and affects compliance with the International Plant Protection Convention of 1951.



46.	<p>Pollution Control and Waste Management Bill</p> <p>This Bill aims to promote sustainable development; to provide for the establishment of a Pollution Control and Waste Management Unit; to prevent and regulate the discharge of pollutants to the air, water and land, to regulate noise, dust and odour pollution, to make provision for the establishment of an appropriate framework for integrated pollution prevention and control, to establish a system of waste planning and management and to enable Namibia to comply with its obligations under international law in this regard.</p>
47.	<p>Security Enterprises and Security Officers Act 19 of 1998</p>
48.	<p>Soil Conservation Act 76 of 1969</p> <p>This Act makes provision for the prevention and control of soil erosion and the protection, improvement and conservation of soil, vegetation and water supply sources and resources. The Second Soil Conservation Amendment Act applies the Soil Conservation Act to Namibia and deals mainly with soil conservation, soil stabilisation and fire protection. This act also deals with construction of impoundments (section 4: to impound or store such water, or impound or store more than 20 000 m³ of such water).</p> <p>This Act is being revised by the Ministry of Agriculture, Water and Forestry as part of the new Conservation of Agricultural Resources Bill.</p>
49.	<p>Water Act 54 of 1956</p> <p>Control and use of surface and subterranean water, water abstraction, storage and use. Effluent discharge and pollution of water.</p>
50.	<p>Water Resources Management Act 11 of 2013</p> <p>This Act has been approved and published in the Government Gazette, however, it has only partly come into force. Once the Act is in fully enforced, the Water Act of 1956 will be repealed as whole. The Act is based on the National Water Policy and provides for the management, development, protection, conservation, and use of water resources. It establishes the Water Advisory Council, the Water Regulatory Board and the Water Tribunal; and it provides for incidental matters. The objective of this Act is to ensure that Namibia's water resources are managed, developed, protected, conserved and used in a sustainable manner for the benefit of every Namibian. E.g., water basin management committees are already operational, and guide the water extraction, cleansing and usage of such in communal and transboundary water areas.</p>
51.	<p>Local Authorities Act 23 of 1992</p>
52.	<p>Public Service Act 13 of 1995</p>
53.	<p>Regional Council's Act 22 of 1992</p>
54.	<p>Weeds Ordinance 19 of 1957.</p> <p>This Ordinance provides for the eradication of plants which are identified as "weeds" by proclamation in the Gazette.</p>
B.	<p>REGULATIONS PERTINENT TO FORESTRY RELATED TO AND EMERGING FROM NATIONAL LEGISLATION AND OTHER LEGISLATIVE INSTITUTIONS:</p>
55.	<p>Environmental Policy documents</p> <ul style="list-style-type: none"> • BROWN, CJ (ed). 1992. Environmental policies for Namibia and Policies for the Ministry of Wildlife, Conservation and Tourism. Ministry of Environment and Tourism, 69 pp. • BROWN, CJ. 1994. Namibia's 12-point plan for integrated and sustainable environmental management. Directorate of Environmental Affairs, 9 pp. • DEA. 1995. Namibia's National Development Plan 1 (1995-2000), Chapter 14: Environment.



	<ul style="list-style-type: none"> • DEA. 1994. Conservation of biotic diversity and habitat protection. Ministry of Environment and Tourism, 3 pp. • DEA. 1994. Research. Ministry of Environment and Tourism, 3 pp. • DEA. 1994. Namibia's national policy to combat desertification. Ministry of Environment and Tourism, 4 pp. • DEA. 1995. Namibia's environmental assessment policy for sustainable development and environmental conservation. Ministry of Environment and Tourism, 17 pp. • DEA. 1995. Namibia's policy on wildlife management, utilisation and tourism in communal areas. Ministry of Environment and Tourism, 23 pp.
56.	<p>Namibia's Policy on Forests</p> <p>Biodiversity conservation is central to the 2001 Development Forestry Policy for Namibia, which aims to reconcile rural development with biodiversity conservation by empowering farmers and local communities to manage forest resources on a sustainable basis. The policy identifies effective property rights; a supportive regulatory framework; good extension services; community forestry; and forest research, education and training as instruments essential to the successful implementation of sustainable forestry management in Namibia. The policy also paves the way for the establishment of community forests and their custodianship by the people most dependent on such resources. In 2005, the Ministry of Agriculture, Water and Forestry's Directorate of Forestry introduced the Community Forestry Guidelines.⁹⁵ The main objective of these guidelines is to provide all stakeholders with a standard for establishing and managing community forests, by describing the legal procedures involved in setting up a community forest; describing the organisational arrangements and administrative procedures necessary for the sustainable management of community forests; and by specifying the respective roles of government forestry officials, communities and other stakeholders involved.</p>
C.	INTERNATIONAL AGREEMENTS PERTINENT TO FORESTRY
57.	<p>Article 144 of the Namibian Constitution incorporates international law explicitly as law of the land; it needs no legislative act to become so. International law is thus integrated into domestic law. National authorities and the judiciary in particular can, therefore, apply international law directly on the national level, before cases are taken to regional or international judicial or quasi-judicial bodies. However, international law has to conform to the Constitution in order to apply domestically. Whenever a treaty provision or other rule of international law is inconsistent with the Namibian Constitution, the latter will prevail. In Namibia, a treaty will be binding in terms of Article 144, if the relevant international and constitutional requirements have been met in terms of the law of treaties, and the Namibian Constitution. International agreements, therefore, will become Namibian law when they come into force for Namibia.</p>
58.	<p><u>Convention on Biological Diversity</u></p> <p>I. Convention on Biological Diversity (1992). Protocols, etc., 2010 Oct. 29. II. Conference of the Parties to the Convention on Biological Diversity (2010 : Nagoya, Japan). III. United Nations</p> <p>The CBD was signed by 150 government leaders at the 1992 Rio Earth Summit and entered into force in December 1993. There are currently 188 Parties to the Agreement. The three objectives of the Convention are: the conservation of biodiversity, the sustainable use of biological resources and the fair and equitable sharing of benefits arising from the use of genetic resources. The principles of the CBD are broad in scope and unlike CITES, the CBD does not contain detailed provisions on implementation. Accordingly, implementation of the CBD depends on the incorporation of the Convention and associated policies and guidelines into the national legislation of Member States.</p> <p>The CBD was signed by Namibia on 12 June 1992 in Rio de Janeiro and ratified it on 18 March 1997. Accordingly Namibia is obliged to ensure that its domestic legislation conforms to the objectives and obligations of the CBD. Namibia gives effect to the CBD inter alia by implementing the National Biodiversity Strategy and Action Plan and has issued its fourth national report under the CBD.</p> <p>Namibia's 4th Report on the Conservation of Biodiversity (Ministry of Environment and Tourism) is available at http://www.met.gov.na</p>



	Nagoya Protocol on access to genetic resources and the fair and equitable sharing of benefits arising from their utilization to the convention on biological diversity,
59.	<p><u>Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)</u></p> <p>CITES (1973) aims to protect certain endangered species from over-exploitation by means of a system of import-export permits. The Convention includes animals and plants whether dead or alive, and any recognizable parts of derivatives thereof. Appendix I to the Convention covers endangered species, trade in which is to be tightly controlled; appendix II covers species that may become endangered unless trade is regulated; appendix III covers species that any party wishes to regulate and requires international cooperation to control trade; and appendix IV contains model permits. Permits are required for species listed in appendices I and II stating that export / import will not be detrimental to the survival of the species. The CITES Secretariat is administered by UNEP and is located at Geneva, Switzerland.</p>
60.	<p><u>International Labour Organisation (ILO):</u> with specific reference to</p> <ul style="list-style-type: none"> ▪ ILO Code of Practice on Safety and Health in Forestry Work (ILO 1998) ▪ ILO Safety and Health in the use of Agrochemicals, A guide. 1991 ▪ ILO Safety in the use of Chemicals at Work (Code of Practice), 1993 ▪ Convention 29: Forced Labour, 1930 ▪ Convention 87: Freedom of Association and Protection of the Right to Organise, 1948 ▪ Convention 97: Migration for Employment, 1949 ▪ Convention 98: Right to Organise and Bargain Collectively, 1949 ▪ Convention 100: Equal remuneration, 1951 ▪ Convention 105: Abolition of Forced Labour, 1957 ▪ Convention 111: Discrimination (occupation and Employment), 1958 ▪ Convention 131: Minimum Wage Fixing, 1970 ▪ Convention 138: Minimum Age for Working, 1973 ▪ Convention 141: Rural Worker's Organisations, 1975 ▪ Convention 142: Human Resources Development, 1975 ▪ Convention 143: Migrant Workers (Supplementary Provisions), 1975 ▪ Convention 144: Tripartite Consultation (International Labour Standards), 1976 ▪ Convention 150: Labour Administration, 1978 ▪ Convention 155: Occupational Safety and Health, 1981 ▪ Convention 158: Termination of Employment, 1982 ▪ Convention 169: Indigenous and Tribal People, 1989 ▪ Convention 182: Worst Forms of Child Labour, 1999 ▪ Convention 184 and Recommendation 192 on Safety and Health in Agriculture, 2001 ▪ Guidelines for worker's health surveillance ▪ Guidelines for Labour Inspection in Forestry 2005 ▪ Guidelines for Occupational Health and Safety ▪ Protection of Worker's personal data
61.	IUCN Red List of Threatened Species
62.	United Nations Convention to Combat Desertification in those Countries Experiencing Serious Drought and/or Desertification, Particularly in Africa, 1994 signature: 24 October 1994 ratification: 16 May 1997
63.	United Nations Framework Convention on Climate Change , 1992 and Kyoto Protocol to the UN Framework Convention on Climate Change, 1997
64.	<u>The African Convention on the Conservation of Nature and Natural Resources:</u>



	<p>Participating and signatory states undertake to adopt the measures necessary to ensure conservation, utilisation and development of soil, water, floral and faunal resources in accordance with scientific principles and with due regard to the best interests of the people (Article II); to take effective measures to conserve and improve the soil and to control erosion and land use (Article IV); and to establish policies to conserve, utilise and develop water resources, prevent pollution and control water use (Article V). Furthermore, the Convention imposes on states the obligation to protect flora and ensure its best utilisation, the management of forests and control of burning, land clearance and overgrazing (Article VI); and to conserve faunal resources and use them wisely, manage populations and habitats, control hunting, capture and fishing, and prohibit the use of poisons, explosives and automatic weapons in hunting (Article VII). States are required to tightly control traffic in trophies, to prevent trade in illegally killed and obtained trophies and to establish and maintain conservation areas (Article X). A list of protected species which enjoy full total protection, and a list of species, which may be taken only with authorisation is part of the Convention.</p>
65.	<p><u>The Southern African Development Community (SADC)</u></p> <p>SADC was established in Windhoek in 1992 as the successor to the Southern African Development Coordination Conference (SADCC), which was founded in 1980. SADC currently counts 15 states among its members, namely Angola, Botswana, the Democratic Republic of Congo (DRC), Lesotho, Madagascar, Malawi, Mauritius, Mozambique, Namibia, the Seychelles, South Africa, Swaziland, Tanzania, Zambia, and Zimbabwe.</p> <p>SADC's objectives include the achievement of development and economic growth, the alleviation of poverty, the enhancement of the standard and quality of life, support of the socially disadvantaged through regional integration, the evolution of common political values, systems and institutions, the promotion and defence of peace and security, and achieving the sustainable utilisation of natural resources and effective protection of the environment. Amongst other issues, food security, land and agriculture as well as natural resources and the environment have been identified as areas of cooperation by the SADC Treaty.</p> <p>SADC Protocols are instruments by means of which the SADC Treaty is implemented, and they have the same legal force as the Treaty itself. A Protocol legally binds its signatories after ratification. The Protocols which are of most relevance with regard to forestry are listed in below.</p>
66.	<p><u>SADC Protocol on Forestry, 2002</u>(entered into force within SADC on 1 September 2006)</p> <p>Applies to all activities related to development, conservation, sustainable management and utilisation of all types of forests and trees, as well as trade in forest products. Article 4.1 provides the guiding principles to which state parties must cooperate in good faith. The protocol further provides for the tenure and ownership of state-owned forests, national forest policies and programmes for the introduction and implementation of national legal and administrative measures to promote sustainable forest management.</p>
67.	<p><u>SADC Protocol on Wildlife Conservation and Law Enforcement, 1999</u></p> <p>This Protocol aims to establish within the framework of the respective national laws of each State Party, common approaches to the conservation and sustainable use of wildlife resources and to assist with the effective enforcement of laws governing those resources. Each State Party has to ensure the conservation and sustainable use of wildlife resources under its jurisdiction, and that activities within its jurisdiction or control do not cause damage to the wildlife resources of other states or in areas beyond the limits of national jurisdiction. In line with Article 4 of the Protocol, appropriate policy, administrative and legal measures have to be taken to ensure the conservation and sustainable use of wildlife and to enforce national legislation pertaining to wildlife effectively.</p>
D.	LOCAL STANDARDS AND BEST OPERATING PRACTICES
68.	<p>Environmental Law and Policy in Namibia (2011). Edited by O.C. Ruppel and K. Ruppel-Schlichting. Legal Research and Development Trust of Namibia. Co-published by OrumbondePress.na & Welwitschia Verlag Dr. A. Eckl, Essen.</p>
69.	<p>An Environmental Impact Assessment on Bush Control Methods proposed under the bush encroachment research monitoring and management project. Prepared for Namibia's Programme to Combat Desertification. Final Report, July 2003. Directorate of Environmental Affairs.</p>



70.	Barnard, P. (ed.) 1998. Biological Diversity in Namibia: a country study. Windhoek: Namibian National Biodiversity Task Force. 332pp. This book is available at http://www.met.gov.na See P170 for list of important alien invasive species.
71.	Charcoal Production in Namibia. Development Fund of Namibia, November 1997, by Aigams Professional Services cc.
72.	Control of Invader Bush Species. Guidelines to transform encroached savannas to open savannas. Compiled by N. de Klerk (Bush Encroachment Project), D. Swanepoel & J. van Eck (Ministry of Agriculture, Water & Rural Development and Stephan Albat (Ministry of Environment and Tourism). Ministry of Environment and Tourism Booklet.
73.	De Klerk, J.N. 2004. Bush Encroachment in Namibia. Report on Phase 1 of the bush encroachment research, monitoring and management project. Ministry of Environment and Tourism, Government of Namibia.
74.	Ecological Consequences of Woodland management with special reference to Namibia. Compiled by Peter Cunningham for the Development Fund of Namibia. November 1997.
75.	Management of Mopane in Southern Africa. Proceedings of a workshop held at Ogongo Agricultural College, Northern Namibia, 26-29 November 1996. Edited by C. Flower, G. Wardell-Johnson & A. Jamieson.
76.	Regulations, Procedures & Recommendations for the Ojivarango Forestry District. Compiled by Stephan Albat, District Forestry Officer, Ministry of Environment and Tourism. Draft, November 2004. See P17 for list of important alien invasive species.
77.	FSC Guidelines for the Implementation of the right to free, prior and informed consent (FPIC). Version 1, 30 October 2012.
78.	Honsbein, D. 2016. Bioenergy Modelling for Southern Africa. Benchmarking Namibia and South Africa. Aston University, Birmingham/ UK. See pg. 131 on harvesting (or other types of bush clearing) schedule for clearing and/or aftercare and assumed yields from clearing bush encroachment in a socio-economically and ecologically sustainable manner See pg. 166 on bush harvesting & clearing methods used in Namibia, their costs, effectiveness and environmental impact See pg. 188 on bush growth rate of 3.2% per annum, and demarcated livestock production areas in Namibia



Annex B: Training requirements for workers.

Workers shall** be trained on the following relevant to their scope of their job tasks.

- Details of Employment or Contract, including ILO Core Conventions
- FSC Ps and Cs, appropriate to level of management
- Gender Equality and Gender Discrimination
- Dispute resolution process and Grievance process
- Legal Requirements (e.g. First Aid, Operators of vehicles)
- Awareness of Financial Legal Requirements (payment of wages, deductions)
- Awareness of Safety and Occupational Health and Diseases
- Forest Management Best Practice
- Operational Activities - formal or informal training for forest workers harvesting, charring processes and burning conditions (where relevant), silviculture, chemical application, storage and disposal of pesticides and hydrocarbons, spillages and fire-fighting. Where required, training on use and maintenance of equipment and power tools.
- Waste disposal
- Chain of Custody Process
- HCV identification and 'conservation'
- Stakeholder engagement
- Environmental Values (e.g. RTE species, protected plant and tree species, illegal activities)
- Social Values (e.g. where Local communities and Indigenous people are affected by the Organisation, how to identify social values)
- Implementation of Impact Assessments

Note – elements listed above may be allocated to Group manager, Resource Manager, Farm Manager or the Worker*, where appropriate.



Annex C: Personal Protective Equipment (PPE) clothing and health and safety measures

The SDG conducted a Risk Assessment on the environmental and safety conditions which workers* are exposed to:

A sliding scale of assessment was utilised in the designation of Risk, with 1 being low to 3 being high risk for the following factors:

	Severity of Injury	History of Severe Accidents	Probability	Risk	Mitigating Measures
Harvesting Operations	2	2	1	4	PPE required
Burning of Charcoal	3	1	1	3	PPE and routine job observations
Extraction Operations (infield)	2	1	1	2	Not required
Chemical Operations	3	1	2	6	PPE, specific training on chemical application, on-site supervision

Risk rating	Risk level	Description
1 - 3	Low	No Injury, Abrasion
3 - 5	Medium	Bruising / Contact
≥6	High	Medical treatment required

Based on the above, along with the intense climatic conditions in Namibia (heat), the following PPE shall be provided to all workers* on FSC certified FMUs.

1. The following protective equipment for field work SHALL be worn at all times:
 - a. Gumboots to aid against snake bites, thorny bush and summer rainwater and/or safety boots and/or canvas boot against snake bites, thorny bush and heat replaced as practically required.
 - b. Hat or caps for protection against sun
2. The following protective equipment for field work SHALL be provided but the workers may choose not to wear them all the time:
 - a. Overalls, to be replaced annually
 - b. Leather/cotton gloves replaced every 6 months
3. The following protective equipment for sifting, bagging and loading of charcoal SHALL be worn at all times:
 - a. Dust masks
4. The following protective equipment for burning operations and unpacking of kilns SHALL be worn at all times:
 - a. Leather/cotton gloves
5. The following protective equipment for sifting, bagging and loading of charcoal SHALL be provided, but the workers may choose not to wear them all the time:
 - a. Overalls, to be replaced annually



- b. Hat or caps for protection against sun
- c. Leather/cotton gloves replaced every 6 months

6. The following protective equipment SHALL be provided for Chemical operations:

Consult the Chemical Label to ensure compliance

- a. Overalls which are impermeable to the chemical
- b. Eye protection
- c. Gloves
- d. Gum boots
- e. Respiratory mask, where required by the Chemical Label

7. The following personal protective equipment (PPE) SHALL be provided for Mechanised operations

Dust masks (where relevant) and hard hats (where relevant), safety boots (mandatory), gloves (where relevant); overalls (mandatory), eye and ear protection (where relevant).

8. Every Forest Management Unit SHALL have the following First Aid provisions:

- a. One certificated trained first aider with an adequately equipped First Aid kit
- b. First aid kit must include, but not be limited to:
 - i. Bandages
 - ii. Burn shield
 - iii. Antiseptic
 - iv. Emergency blanket



Annex D: Minimum Housing Requirements

The following housing standards have been developed which **exceed** those stipulated in the Namibian Labour legislation. This reflects the ILO requirements which Organisations SHALL implement:

1. Traditional and/or corrugated housing and/or tents*
2. Structurally safe, not overcrowded and regularly repaired, with sufficient ventilation.
3. Adequate protection against sun, rain and cold.
4. Not erected in potentially flooded areas or water runoffs.
5. 200 m away from charcoal processing related dust.
6. One clean, well ventilated, flush or long drop toilet separated for male and female for every 10 people.
7. One washing facility with adequate privacy for every 10 - 15 people, separated for male and females, or private traditional washing facilities.
8. Potable water shall be tested for human consumption at least every 3 – 5 years, depending on risk of Contamination within 50 metres of dwellings. Water shall be contained in enclosed structures (water reservoirs or tanks).
9. Houses are regularly inspected for hygiene and to prevent vermin
10. In field, during harvesting, accommodation: waterproof tents shall be provided for intermittent use, long drop toilets or portable toilets and potable water tanks regularly filled up.
11. Rubbish bins or garbage bags shall be present near workers housing.
12. Regular garbage collection and disposal at recognized fenced-off landfill sites regularly burned and/or adequately covered over with soil.
13. The transportation of all sick workers and their dependants as the ILO deems owner is responsible for all personnel on his premises.

Tents* may be utilised as housing for workers who are harvesting/processing biomass at a lengthy distance from a permanent structure. This shall not be utilised by the Organisation as permanent or seasonal housing for workers* but only for intermittent use. Plastic sheeting shall not be utilised or misconstrued as a tent. The tents shall have a firm structure to protect the worker from the climatic elements.



Annex E: Elements of the Management Plan.

Element	>SLIMF	SLIMF
Management Commitment to FSC P & Cs, vision and values	x	x
Management Objectives and Range of resources	x	x
Scope of Management Activities	x	x
Anti-corruption Policy	x	
Maps indicating boundaries	x	
Environmental Values (C6.1)	x	x
Environmental Impacts and Mitigation Measures (C6.2 and C 6.3)	x	x
HCV Identification	x	x
HCV Management Measures <i>*if HCVs have been identified</i>	x	x
Workers' Rights	x	
Gender Discrimination/ Equality and Sexual Discrimination - <i>can be included in a policy or procedure outside of the mgmt. plan</i>	x	
Impacts and Mitigation measures on Social Values	x	x
Dispute Resolution Process and Grievance Process with workers* - <i>can be included in a policy or procedure outside of the mgmt. plan</i>	x	
Policy on use of local workers*and other services (C 4.3) - <i>can be included in a policy or procedure outside of the mgmt. plan</i>	x	
Identification of Stakeholders (inclusive of Indigenous People, Local Communities)	x	
Training of Workers*	x	x
Dispute resolution process with external stakeholders - <i>can be included in a policy or procedure outside of the mgmt. plan</i>	x	
Dispute register or equivalent	x	x
Health and Safety Risk Assessment	x	x
Health and Safety Procedures - <i>can be included in a policy or procedure outside of the mgmt. plan</i>	x	
Accident rates	x	
Calculation of acceptable harvesting volumes and biomass	x	x
Plan vs Actual Yields	x	x
Budget as per C 5.3 and C5.5	x	x
Harvesting and Silviculture Practices	x	x
Monitoring of Social and Environmental Impacts - <i>can be included outside of the mgmt. plan</i>	x	x
Chemical and Fertilizer Application Procedure - <i>can be included in a policy or procedure outside of the mgmt. plan</i>	x	x
Revision Process (Adaptive Management) of Organization	x	x
Public Summary, excluding confidential information - <i>if applicable</i>	x	x



Annex F: Monitoring Requirements

*Aspects in *Italic font* shall be made publically available*

Number	Aspect
1	<i>Regrowth/ Biomass calculations</i>
2	<i>Plan versus Actual of products produced</i>
3	The use of local processing and local services
4	Evidence of illegal harvesting (protected trees and oversized trees)
5	Resolution of disputes* and grievances with all stakeholders, inclusive of sexual and gender discrimination
6	Training carried out in accordance with Annexure B, Effectiveness of Training
7	<i>Fair employment conditions</i>
8	<i>Housing, in accordance with Annexure D</i>
9	<i>Periodic potable water testing</i>
10	Programs and activities regarding occupational health and safety
11	Safe working conditions, Accident Rates
12	Timeous payment of Wages
13	Deductions are as per the Labour Act
14	<i>Identification and Engagement of Stakeholders, Indigenous Peoples Groups and Local Communities*</i>
15	Full implementation of the terms in binding agreements
16	Identification and Eradication of Invasive alien species (i.e. Prosopis and Optunia spp)
17	<i>Silvicultural practices* are implemented that are ecologically appropriate, in relation to the management objectives (mosaic approach)</i>
18	Use of Fertilizers are more ecologically beneficial than non-use
19	<i>Integrated Pest Management Plan – inclusive of chemical use, monitoring of aftercare</i>
20	Impacts from Natural Hazards (i.e. Fire and Drought)
21	<i>Impacts from Fires resulting from Management Activities</i>
22	The impacts of site disturbing activities on soil structure – erosion and compaction
23	<i>The impacts of harvesting and extraction of timber on environmental values</i>
24	Environmentally appropriate disposal of waste materials
25	<i>Protection* of sites of special cultural, ecological, economic, religious or spiritual significance</i>
26	<i>Identification and Management of High Conservation Values*</i>



27	<i>Changes in Environmental values* and ecosystem functions* after Management Activities</i>
28	<i>Protection of Rare and threatened species*</i>
29	<i>Wildlife estimation , as indication of Poaching Activities</i>
30	<i>Conservation and Restoration of Sensitive Ecosystems*</i>
31	Restoration of the FMU within the landscape to more natural conditions with indigenous non-invasive species, appropriate to management objectives
32	Conversion of natural forest* to non-forest*
33	The status of converted areas

DRAFT



Annex G: HCV Framework

The objective of this High Conservation Value (HCV) Framework is to provide nationally specific interpretations of HCVs and *Best Available Information** for addressing HCVs.

This National HCV Framework is to be used by accredited Certification bodies and certificate holders.

The HCV interpretations and *Best Available Information** provided in this Framework are not necessarily exhaustive; additional examples of HCV occurrences may exist and shall be identified at the forest management unit scale.

Specific sections of this Framework shall also be applicable to National and Centralized National Risk Assessments for Controlled Wood, if developed and to Certification Bodies and Certificate Holders seeking certification under FSC-STD-30-010 and FSC-STD-40-005. The applicable sections are: The Interpretations and *Best Available Information* for each HCV category; and the *Best Available Information* for Assessments for each HCV category.

HCV 1 – Species diversity. Concentrations of *biological diversity including endemic species, and *rare**, *threatened** or endangered species, that are *significant** at global, regional or national levels**

A. Interpretations and *Best Available Information for HCV 1**

Concentrations of *biological diversity that are *significant** at global, regional, or national levels:**

National or regional interpretations of this HCV element:	<i>Best Available Information*</i> for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F
Biodiversity Hotspots, i.e., Two global biodiversity hotspots – the Sperrgebiet in the Succulent Karoo Biome and the Namib escarpment zone	Ministry of Environment and Tourism www.met.gov.na , WWF https://www.worldwildlife.org/ecoregions/at1316	X	X
Protected areas, including National Parks, Strict Nature Reserves, 5 RAMSAR sites (e.g. Etosha Pan, Walvis Bay Lagoon, Sandwich Harbour and the Orange River Mouth, Mahangu wetlands)	For lists and maps, see Protected Areas (References). See also the References for World Heritage, Biosphere Reserves, and RAMSAR sites.	X	X
19 Important Bird Areas (IBAs) and other Key Biodiversity Areas (KBAs) such as Important Plant Areas	IUCN https://www.iucn.org Ministry of Environment and Tourism www.met.gov.na Namibian Bird Club: www.namibia-birdclub.org Southern Africa including Botswana, Lesotho, Namibia, South Africa, Swaziland and Zimbabwe (Barnes, 1998)	X	X
Other significant concentrations of biological diversity (if any).	Ministry of Environment and Tourism www.met.gov.na Namibian Chamber of Environment http://www.n-c-e.org/project/environmental-information-service-namibia	X	X
Listed sites of ecological importance in Namibia: NE wetlands; border rivers; ephemeral pans; ephemeral rivers; Karst caves/sink-holes/springs; coastal wetlands; coastline; off-shore islands; Namib escarpment & inselbergs;	Biological diversity in Namibia – Barnard, P. 1998		



escarpment mts/inselbergs; granite domes; Namib gravel plains; Winter rainfall zone; sand dunes; major tourism areas			
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*.		X	X
Consultation with relevant local experts.		X	x
Review of the results by knowledgeable expert(s) independent of The Organization*		X	
For guidance in identifying other examples of HCV 1 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.1, Identification of HCV 1)		X	

Important terminology:

Significant Concentration: For the purposes of Principle 9, HCVs 1, 2 and 6 there are three main forms of recognizing significance.

- A designation, classification or recognized conservation status, assigned by an international agency such as IUCN or Birdlife International;
- A designation by national or regional authorities, or by a responsible national conservation organization, on the basis of its concentration of biodiversity;
- A voluntary recognition by the manager, owner or Organization, on the basis of available information, or of the known or suspected presence of a significant biodiversity concentration, even when not officially designated by other agencies.

Concentrations of endemic species that are significant* at global, regional, or national levels:

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F
Endemic Bird Areas (EBA), i.e.: Namibian escarpment, Namib desert, Karoo and Western Angola,	Birdlife International http://datazone.birdlife.org/country/namibia	X	X
Key Biodiversity Areas e.g. Two Transfrontier Conservation Areas (TFCAs) – Ai-/Ais / Richtersveld TFCA, and the Kavango Zambezi TFCA (the world’s largest TFCA), Mahangu wetlands	Birdlife International http://www.keybiodiversityareas.org/site/mapsearch	X	X
Other significant concentrations of endemic plant or animal species, e.g., populations of an endemic species that is Vulnerable, Endangered, Critically Endangered, or Near Threatened, and/or populations of multiple endemic species.	For lists of endemic animal species, see IUCN Red List and applicable national species lists Namibian Chamber of Environment http://www.n-c-e.org/project/environmental-information-service-namibia	X	X
Listed sites of ecological importance in Namibia: NE wetlands; border rivers; ephemeral pans; ephemeral rivers; Karst caves/sinkholes/springs;	Biological diversity in Namibia – Barnard, P. 1998		



coastal wetlands; coastline; offshore islands; Namib escarpment & inselbergs; escarpment mts/inselbergs; granite domes; Namib gravel plains; Winter rainfall zone; sand dunes; major tourism areas			
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*.		X	X
Consultation with relevant local and regional experts.		X	X
Review of the results by knowledgeable expert(s) independent of The Organization*		X	
Government wildlife and parks agencies, e.g., Namibia National Parks and the Ministry of the Environment and Forests (MEF)		X	
Biodiversity assessments, conservation plans, recovery plans, and other published reports by governments, research institutions, or conservation organizations		X	
For guidance in identifying other examples of HCV 1 that may exist in the management unit, see: d HCVRN (2013) (e.g., Chapter 3.1, Identification of HCV 1)		X	

Concentrations of rare,* threatened,* or endangered species that are significant* at global, regional, or national levels:

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F
Significant occurrences of terrestrial and freshwater plant or animal species listed by the IUCN Red List or national species lists as Vulnerable, Endangered, Critically Endangered, or Near Threatened. Including occurrences of: any Critically Endangered species, more than 1% of a listed species' population, several or more listed species, and/or listed species in special ecological niches e.g. Cheetah, Black-footed cat, African wild dog	IUCN Red List.	X	X
Forests with CITES listed animal or plant species. e.g. all parrots, hoodia plants, all euphorbia species and orchids, African wild cats	CITES (see References)	X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*. See Annex I for environmental stakeholders to consider engaging.		X	X
Consultation with relevant local and regional experts. See Annex I for environmental experts to consider.		X	



Review of the results by knowledgeable expert(s) independent of <i>The Organization</i>*	X	
Government wildlife and parks agencies, e.g., Namibia National Parks and the Ministry of the Environment and Forests (MEF)	X	X
Biodiversity assessments, conservation plans, recovery plans, and other published reports by governments, research institutions, or conservation organizations	X	
For guidance in identifying other examples of HCV 1 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.1, Identification of HCV 1)	X	X

B. Assessments for HCV 1

National or regionally appropriate methodologies and *Best Available Information** for assessments for HCV 1:

National or regional methodologies for assessing occurrences of specific HCV 1 interpretations:	<i>Best Available Information</i>* for assessing occurrences of these interpretations:	BAI >SLIM F	BAI SLIM F
For protected areas, confirm whether policies and practices effectively protect the forest and its HCVs.		X	X
For Biodiversity Hotspots	For a basic description of the Hotspot's values and threats, see CEPF (2014)	X	X
For KBAs and IBAs	For summary descriptions of their values, see the IBA factsheets and other information at Key Biodiversity Areas and Important Bird Areas (References).	X	X
For EBAs	For summary descriptions of their values, see Endemic Bird Areas (References).	X	X

C. Strategies for Maintaining and Enhancing HCV 1

Management strategies for HCV 1:

National or regional strategies for maintaining and/or enhancing specific HCV 1 interpretations:	<i>Best Available Information</i>* for management strategies for these interpretations:	BAI >SLIM F	BAI SLIM F
For protected areas, improve boundary demarcations and policing, and adopt other measures to protect forests and their biodiversity, including measures to prevent illegal logging, agricultural incursions and poaching.		X	X
In forests adjacent to protected areas, provide buffer zones, including to reduce poaching, agricultural incursions, and wildfire risk. Consider buffers of 500m		X	X
For Key Biodiversity Areas (KBAs), strategies include measures to prevent or reduce incursions from Management Activities (burning of charcoal)		X	X



For any threatened or endangered tree and plant species, including CITES, IUCN, or nationally listed species, mark and protect trees and plants from harvest, and control for illegal harvest. <i>This information does not need to be marked on maps that are publically available.</i>		X	X
For endemic and rare, threatened, or endangered (RTE) wildlife, implement no hunting policies, except for sustainable levels of traditional harvest by local communities and Indigenous Peoples, and work with local communities and authorities to prevent poaching and illegal harvest.		X	X
National or regional strategies for maintaining and/or enhancing multiple interpretations:	Best Available Information* for management strategies for multiple interpretations:	BAI >SLIM F	BAI SLIM F
Protection zones, harvest prescriptions, and/or other strategies to protect threatened, endangered, endemic species, or other concentrations of <i>biological diversity*</i> and the ecological communities and <i>habitats*</i> upon which they depend, sufficient to prevent reductions in the extent, integrity, quality, and viability of the <i>habitats*</i> and species occurrences.	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 1. For additional considerations, see: HCVRN (2014) (e.g., Part 2, including section 2.3.1).	X	X
Where enhancement is identified as the <i>objective*</i> , measures to develop, expand, and/or <i>restore* habitats*</i> for such species.	See the experts, stakeholders, and other resources listed as BAI for identifying HCV 1. For additional considerations, see: HCVRN (2014).	X	X
Establish buffers, work with local communities and cattle grazers, and adopt other measures to reduce the threat of wildfires that exceed natural levels for the forest		X	X

Consultation Strategies:

Consider the approaches listed above, as well as potential modifications to forest management practices, buffer zones for species' sites, connectivity corridors, measures to address both potential forestry and non-forestry threats to the species, etc.

Also consider that strategies should: be explicit about outcomes required to maintain and/or enhance the HCVs; be effective; be sufficient to prevent damage and avoid risks to HCVs over both the short and long-term, even when there are uncertainties; and address all HCV occurrences in the management unit.

Consultation Question--BAI:

Any recommendations for more specific experts or resources to guide management strategies for the HCV values identified above and at section A, HCV Interpretations?

D. Monitoring for HCV 1

Methodologies and Best Available Information* for monitoring programs for HCV 1:

National or regional methodologies for monitoring occurrences of specific HCV 1 interpretations:	Best Available Information* for monitoring occurrences of these interpretations:	BAI >SLIM F	BAI SLIM F
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Annual review of HCV status and changes in conditions, where HCV 1 was identified as per the recommendations of national and/or regional experts. Engage National Institutions (i.e. UNAM/NUST/NNF/NEWS/DRFN/CCF) to establish monitoring as part of training/research.	National experts	X	X
National or regional methodologies for monitoring occurrences of multiple interpretations:	Best Available Information* for monitoring occurrences of multiple interpretations:	BAI >SLIMF	BAI SLIM F
	Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C.	X	X
	Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*.	X	X
	Engagement with experts.	X	X
	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 1.	X	X
	For additional considerations, see: HCVRN (2014) (e.g., Part 3).	X	X

HCV 2 – *Landscape-level *ecosystems** and mosaics. Intact forest landscapes and large *landscape**-level *ecosystems** and *ecosystem** mosaics that are *significant** at global, regional or national levels, and that contain viable populations of the great majority of the naturally occurring species in natural patterns of distribution and abundance.**

A. Interpretations and *Best Available Information for HCV 2**

Intact forest landscapes*:

National or regional interpretations of this HCV element:	<i>Best Available Information</i> * for identifying occurrences of this interpretation:	BAI >SLIMF	BAI SLIM F
There are no <i>Intact Forest Landscapes</i> * (IFLs) in Namibia	Ministry of Forestry www.met.gov.na		

***Large landscape**-level *ecosystems** that are *significant** at global, regional or national levels, and that contain viable populations of the great majority of the naturally occurring species in natural patterns of distribution and abundance:**

National or regional interpretations of this HCV element:	<i>Best Available Information</i> * for identifying occurrences of this interpretation:	BAI >SLIMF	BAI SLIM F
Protected areas, including National Parks, Strict Nature Reserves, 4 RAMSAR sites (Mahangu wetlands , Etosha Pan, Walvis Bay Lagoon, Sandwich Harbour and the Orange River Mouth), Ai-/Ais / Richtersveld TFCA, and the Kavango Zambezi TFCA , Skeleton Coast	See HCV 1 references	X	X



Other forests recognized as being regionally significant at the bioregion or larger scale in formally recognized reports or peer-reviewed journals, due to the unusual landscape*-scale* biodiversity values provided by size and condition of the forest relative to regional forest land cover and land use trends		X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*.		X	X
Consultation with relevant local and regional experts. See Annex I for environmental experts to consider.		X	x
Review of the results by knowledgeable expert(s) independent of The Organization*		X	
Government wildlife and parks agencies, e.g., Namibia National Parks and the Ministry of the Environment and Forests (MEF)		X	
Published reports by governments, research institutions, or conservation organizations		X	
For guidance in identifying other examples of HCV 2 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.2, Identification of HCV 2).		X	X

Ecosystem* mosaics that are significant* at global, regional or national levels, and that contain viable populations of the great majority of the naturally occurring species in natural patterns of distribution and abundance:

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F
Connectivity corridors that have been proposed between protected areas and/or other HCV 1 occurrences, e.g.: Corridors of the Ai-/Ais / Richtersveld TFCA, and the Kavango Zambezi TFCA	For basic descriptions of most of the corridors, contact Namibian Chamber of Environment and Ministry of Environment and Tourism	X	X
Other forest areas provide regionally significant habitat* connectivity* between larger forest areas and/or refugia*	Mapping and databases on forest cover, age, succession, structure, species composition, habitat* connectivity, anthropogenic disturbance, protected areas, etc.	X	x
Former forest sites where reforestation and restoration is needed to buffer, reconnect, or otherwise support natural forest ecosystems and biodiversity, including significant natural forest fragments and other HCV occurrences.		X	
Forest ecosystems forming a landscape-level mosaic with other vegetation types used by a diversity of species.		X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X



Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders* . See Annex I for environmental stakeholders to consider engaging.	X	X
Consultation with relevant local and regional experts. See Annex I for environmental experts to consider.	X	
Review of the results by knowledgeable expert(s) independent of <i>The Organization</i>*	X	
Government wildlife and parks agencies, e.g., Namibia National Parks and the Ministry of the Environment and Forests (MEF)	X	
Published reports by governments, research institutions, or conservation organizations	X	X
For guidance in identifying other examples of HCV 2 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.2, Identification of HCV 2).	X	X

B. Assessments for HCV 2

National or regionally appropriate methodologies and **Best Available Information*** for assessments for HCV 2:

National or regional methodologies for assessing occurrences of specific HCV 2 interpretations:	Best Available Information* for assessing occurrences of these interpretations:	BAI >SLIM F	BAI SLIM F
For RAMSAR sites	For a summary of some of the areas' features and values, see RAMSAR (References).	X	X
For corridors	For a basic description of many corridors' values and threats, contact the Namibian Chamber of Environment and/or the Ministry of Environment and Tourism	X	X
National or regional methodologies for assessing occurrences of multiple interpretations:	Best Available Information* for assessing occurrences of multiple interpretations:	BAI >SLIM F	BAI SLIM F
	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 2	X	X
	For additional guidance on assessing HCV 2, see: HCVRN (2013) (e.g., Chapter 2, Best Practice Considerations for HCV Assessments, and Chapter 3.2, Identification of HCV 2).	X	X

C: Strategies for Maintaining and Enhancing HCV 2

Management strategies for HCV 2:



National or regional strategies for maintaining and/or enhancing specific HCV 2 interpretations:	Best Available Information* for management strategies for these interpretations:	BAI >SLIM F	BAI SLIM F
For RAMSAR sites	Strategies should consider the information at RAMSAR (References).	X	X
For corridors, strategies include maintaining and expanding forest extent at the corridors' narrowest points, preventing deforestation and forest degradation throughout the corridors, cooperative planning and management with local communities, and securing protected area status where appropriate.		X	X
National or regional strategies for maintaining and/or enhancing multiple interpretations:	Best Available Information* for management strategies for multiple interpretations:	BAI >SLIM F	BAI SLIM F
Where enhancement is identified as the <i>objective*</i> , measures to <i>restore*</i> and reconnect <i>forest* ecosystems*</i> , their intactness, and <i>habitats*</i> that support natural <i>biological diversity*</i> are in place.	See the experts, stakeholders, and other resources listed as BAI for identifying HCV 2. For additional considerations, see: HCVRN (2014) (e.g., Part 2, including section 2.3.2).	X	X
See the strategies for HCV 1, to address threats from mining, hunting, poaching, agricultural incursions, cattle grazing, illegal logging, and fire.		X	X

D. Monitoring for HCV 2

Methodologies and Best Available Information* for monitoring programs for HCV 2:

National or regional methodologies for monitoring occurrences of specific HCV 2 interpretations:	Best Available Information* for monitoring occurrences of these interpretations:	BAI >SLIM F	BAI SLIM F
For <i>Landscape level ecosystems, Engage National Institutions (i.e. UNAM/NUST/NNF/NEWS/DRFN/CCF) to establish monitoring as part of training/research.</i>	See also the BAI listed below.	X	X
National or regional methodologies for monitoring occurrences of multiple interpretations:	Best Available Information* for monitoring occurrences of multiple interpretations:	BAI >SLIM F	BAI SLIM F
	Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C	X	X
	Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*	X	X
	Engagement with experts	X	
	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 2.	X	X
	For additional considerations, see: HCVRN (2014) (e.g., Part	X	X



	3).		
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HCV 3 – Ecosystems* and habitats*. Rare*, threatened*, or endangered ecosystems*, habitats* or refugia*.

A. Interpretations and *Best Available Information for HCV 3**

Rare*, threatened*, or endangered ecosystems*:

National or regional interpretations of this HCV element:	<i>Best Available Information*</i> for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F
WWF Global 200 Ecoregions e.g. Namibian Savanna Woodland ecoregion	WWF Global 200 Ecoregions (see References) https://www.worldwildlife.org/ecoregions/at1316	X	X
Ecosystems on the IUCN Red List, i.e., The Orange–Fish River basin	https://iucnrle.org/	X	X
UNESCO Biosphere Reserves – Currently there are no reserves in Namibia	UNESCO Biosphere Reserves (References)	X	
Areas important for the conservation of important genes or genetically distinct populations e.g. Namibian giraffe, <i>Myrothamnus flabellifolius</i> plant	Namibian Chamber of Environment, NBRI	X	X
<i>Best Available Information*</i> for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps		X	X
<i>High Conservation Value*</i> surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*. See Annex I for environmental stakeholders to consider engaging.		X	X
Consultation with relevant local and regional experts.		X	x
Review of the results by knowledgeable expert(s) independent of <i>The Organization*</i>		X	
Habitat mapping and assessments		X	
Government wildlife and parks agencies, e.g., Namibia National Parks and the Ministry of the Environment and Forests (MEF)		X	
Ecosystem assessments, conservation plans, recovery plans, and other published reports by governments, research institutions, or conservation organizations		X	
For guidance in identifying other examples of HCV 3 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.3, Identification of HCV 3).		X	X

Rare*, threatened*, or endangered habitats*:

National or regional interpretations of this HCV element:	<i>Best Available Information*</i> for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F



See the RTE ecosystems listed above, which are also likely to be RTE habitats.	MET (Ministry of Environment & Tourism); MAWF (Ministry of Agriculture, Water & Forestry); NBRI (National Botanical Research Institute); DRFN/Gobabeb (Desert Research of Namibia & Gobabeb Research & Training Centre)	X	X
More specific habitats and habitat features that are rare*, threatened*, or endangered* and/or important to HCV 1 biodiversity or species.		X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps.		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*		X	X
Consultation with relevant local and regional experts. See Annex I for environmental experts to consider.		X	x
Review of the results by knowledgeable expert(s) independent of <i>The Organization*</i>		X	
Habitat mapping and assessments		X	
Government wildlife and parks agencies, e.g., Namibia National Parks and the Ministry of the Environment (MET)		X	
Habitat assessments, conservation plans, recovery plans, and other published reports by governments, research institutions, or conservation organizations		X	
For guidance in identifying other examples of HCV 3 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.3, Identification of HCV 3).		X	X

Refugia*:

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F
Isolated areas where extensive changes, typically due to changing climate or by disturbances such as those caused by humans, have not occurred and where plants and animals typical of a region may survive.	MET (Ministry of Environment & Tourism); MAWF (Ministry of Agriculture, Water & Forestry); NBRI (National Botanical Research Institute); DRFN/Gobabeb (Desert Research of Namibia & Gobabeb Research & Training Centre)	X	X



(Note that protected areas listed at HCV 1 may also be refugia – e.g. granite outcrops)		X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIMF	BAI SLIMF
Relevant databases and maps		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders* . See Annex I for environmental stakeholders to consider engaging.		X	X
Consultation with relevant local and regional experts.		X	x
Review of the results by knowledgeable expert(s) independent of <i>The Organization*</i>		X	
Habitat mapping and assessments		X	
Government wildlife and parks agencies, e.g., Namibia National Parks and the Ministry of the Environment and Forests (MEF)		X	x
Conservation plans, recovery plans, and other published reports by governments, research institutions, or conservation organizations		X	
For guidance in identifying other examples of HCV 3 that may exist in the management unit, see: FSC (20XXa) and HCVRN (2013) (e.g., Chapter 3.3, Identification of HCV 3).		X	X

B. Assessments for HCV 3

National or regionally appropriate methodologies and **Best Available Information*** for assessments for HCV 3:

National or regional methodologies for assessing occurrences of multiple interpretations:	Best Available Information* for assessing occurrences of multiple interpretations:	BAI >SLIMF	BAI SLIMF
	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 3	X	X
	For additional guidance on assessing HCV 3, see: HCVRN (2013) (e.g., Chapter 2, Best Practice Considerations for HCV Assessments, and Chapter 3.3, Identification of HCV 3).	X	X

C: Strategies for Maintaining and Enhancing HCV 3

Management strategies for HCV 3:

National or regional strategies for maintaining and/or enhancing multiple interpretations:	Best Available Information* for management strategies for multiple interpretations:	BAI >SLIMF	BAI SLIMF
Strategies that fully maintain the extent and integrity of rare or threatened ecosystems*, habitats*, or refugia*.	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 3. For additional considerations, see: HCVRN (2014) (e.g., Part 2, including	X	X



	section 2.3.3).		
Where enhancement is identified as the <i>objective*</i> , measures to <i>restore*</i> and/or develop rare or threatened <i>ecosystems*</i> , <i>habitats*</i> , or <i>refugia*</i> are in place.	See the experts, stakeholders, and other resources listed as BAI for identifying HCV 3. For additional considerations, see: HCVRN (2014).	X	X

D. Monitoring for HCV 3

Methodologies and *Best Available Information** for monitoring programs for HCV 3:

National or regional methodologies for monitoring occurrences of multiple interpretations:	<i>Best Available Information*</i> for monitoring occurrences of multiple interpretations:	BAI >SLIM F	BAI SLIM F
	Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C	X	X
	Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*	X	X
	Engagement with experts	X	x
	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 3.	X	
	For additional considerations, see: HCVRN (2014) (e.g., Part 3).	X	X

HCV 4 – Critical*ecosystem services*. Basic ecosystem services* in *critical** situations, including *protection** of water catchments and control of erosion of vulnerable soils and slopes.

A. Interpretations and *Best Available Information for HCV 4**

Water catchments in *critical** situations:

National or regional interpretations of this HCV element:	<i>Best Available Information*</i> for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F



Watersheds, streams, rivers, lakes, and other water sources relied upon by communities and lower income households for drinking water, irrigation, or other daily uses. (e.g. Auas Mountains south of Windhoek)		X	X
RAMSAR sites and forested watersheds that support them.	RAMSAR (References)	X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps , e.g. Listings of water catchments or downstream water uses, hydrological maps		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders* . See Annex I for environmental and social stakeholders to consider engaging.		X	X
Consultation with relevant local and regional experts . See Annex I for environmental and social experts and community development organizations to consider.		X	
Review of the results by knowledgeable expert(s) independent of The Organization*		X	
Consultation with local communities		X	
Published reports by governments, research institutions, or conservation organizations. For ecosystem services associated with Key Biodiversity Areas (KBAs), see Neugarten (2016)		X	
For guidance in identifying other examples of HCV 4 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.4, Identification of HCV 4).		X	X
See also the FSC Ecosystem Services Procedure (FSC, 2017b).		X	X

Interpretations:

Criticality refers to the importance and risk for natural resources and environmental and socio-economic values. An ecosystem service is considered to be critical where a disruption of that service is likely to cause, or poses a threat

Of, severe negative impacts on the welfare, health or survival of local communities, on the environment, on HCVs, or on significant infrastructure (roads, dams, buildings etc.). (Adapted from FSC, 2015)

Control of erosion of vulnerable soils and slopes in *critical situations:**

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F
Forest soils with high erosion potential. Examples include slopes with steep gradients		X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps , e.g., soil and vegetation maps, including maps of steep, unstable, and/or erodible soils		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders* .		X	X



Consultation with relevant local and regional experts. See Annex I for environmental and social experts and community development organizations to consider engaging.	X	
Review of the results by knowledgeable expert(s) independent of <i>The Organization</i>*	X	
Consultation with local communities	X	
Published reports by governments, research institutions, or conservation organizations. For ecosystem services associated with Key Biodiversity Areas (KBAs), see Neugarten (2016)	X	
For guidance in identifying other examples of HCV 4 that may exist in the management unit, see: FSC (20XXa) and HCVRN (2013) (e.g., Chapter 3.4, Identification of HCV 4).	X	X
See also the FSC Ecosystem Services Procedure (FSC, 2017b).	X	X

Interpretations:

Criticality refers to the importance and risk for natural resources and environmental and socio-economic values. An ecosystem service is considered to be critical where a disruption of that service is likely to cause, or poses a threat of, severe negative impacts on the welfare, health or survival of local communities, on the environment, on HCVs, or on significant infrastructure (roads, dams, buildings etc.). (Adapted from FSC, 2015)

Other ecosystem services* in critical* situations:

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIM F	BAI SLIM F
Natural forests that provide food to local communities and Indigenous Peoples, including in locales where food insecurity is high.		X	X
Natural forest remnants that support bees, and/or other pollinators or seed dispersers.		X	X
Natural forests that support eco-tourism, including in locales where communities and Indigenous Peoples have few other opportunities for ecologically sustainable revenue generation.		X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIM F	BAI SLIM F
Relevant databases and maps , e.g., fire risk maps		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders* . See Annex I for environmental and social stakeholders to consider engaging.		X	X
Consultation with relevant local and regional experts. See Annex I for environmental and social experts and community development organizations to consider.		X	x
Review of the results by knowledgeable expert(s) independent of <i>The Organization</i>*			
Consultation with local communities		X	X
Published reports by governments, research institutions, or conservation organizations. For ecosystem services associated with Key Biodiversity Areas (KBAs), see Neugarten (2016)		X	
For guidance in identifying other examples of HCV 4 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.4, Identification of HCV 4).		X	X
See also the FSC Ecosystem Services Procedure (FSC, 2017b).		X	X

Interpretations:



Criticality refers to the importance and risk for natural resources and environmental and socio-economic values. An ecosystem service is considered to be critical where a disruption of that service is likely to cause, or poses a threat of, severe negative impacts on the welfare, health or survival of local communities, on the environment, on HCVs, or on significant infrastructure (roads, dams, buildings etc.). (Adapted from FSC, 2015)

B. Assessments for HCV 4

National or regionally appropriate methodologies and *Best Available Information** for assessments for HCV 4:

National or regional methodologies for assessing occurrences of specific HCV 4 interpretations:	<i>Best Available Information*</i> for assessing occurrences of these interpretations:	BAI >SLIM F	BAI SLIM F
For RAMSAR sites	For a summary of some of the areas' features and values, see RAMSAR (References).	X	X
For ecosystem services in Key Biodiversity Areas (KBAs)	See HCV 1	X	X
National or regional methodologies for assessing occurrences of multiple interpretations:	<i>Best Available Information*</i> for assessing occurrences of multiple interpretations:	BAI >SLIM F	BAI SLIM F
	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 4	X	X
	For additional guidance on assessing HCV 4, see: HCVRN (2013) (e.g., Chapter 2, Best Practice Considerations for HCV Assessments, and Chapter 3.4, Identification of HCV 4).	X	X

C: Strategies for Maintaining and Enhancing HCV 4

Management strategies for HCV 4:

National or regional strategies for maintaining and/or enhancing specific HCV 4 interpretations:	<i>Best Available Information*</i> for management strategies for these interpretations:	BAI >SLIM F	BAI SLIM F
Strategies to protect any water catchments of importance to <i>local communities*</i> located within or downstream of the <i>Management Unit*</i> , and areas within the unit that are particularly unstable or susceptible to erosion. Examples may include <i>protection*</i> zones, harvest prescriptions, chemical use restrictions, and/or prescriptions for road construction and maintenance, to protect water catchments and upstream and upslope areas.	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 4. For additional considerations, see: HCVRN (2014) (e.g., Part 2, including section 2.3.4).	X	X
Where enhancement is identified as the <i>objective*</i> , measures to <i>restore*</i> water quality and quantity are in place.	See the experts, stakeholders, and other resources listed as BAI for identifying HCV 4. For additional	X	X



	Considerations, see: HCVRN (2014) (e.g., Part 2, including section 2.3.4).		
Where identified HCV 4 <i>ecosystem services</i> * include climate regulation, strategies to maintain or enhance carbon sequestration and storage are in place.	See the experts, stakeholders, and other resources listed as BAI for identifying HCV 4.	X	X
National or regional strategies for maintaining and/or enhancing multiple interpretations:	Best Available Information* for management strategies for multiple interpretations:	BAI >SLIMF	BAI SLIMF
	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 4. For additional considerations, see: HCVRN (2014) (e.g., Part 2, including section 2.3.4).	X	X

D. Monitoring for HCV 4

Methodologies and *Best Available Information for monitoring programs for HCV 4:**

National or regional methodologies for monitoring occurrences of specific HCV 4 interpretations:	Best Available Information* for monitoring occurrences of these interpretations:	BAI >SLIMF	BAI SLIMF
	For water quality and quantity, soils, and other ecosystem services; see Annex D of FSC (2017b) for basic factors to consider monitoring.	X	X
National or regional methodologies for monitoring occurrences of multiple interpretations:	Best Available Information* for monitoring occurrences of multiple interpretations:	BAI >SLIMF	BAI SLIMF
	Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C	X	X
	Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*	X	X
	Engagement with experts	X	
	See the experts, stakeholders, and other resources listed as Best Available Information for identifying HCV 4.	X	X
	For additional considerations, see: HCVRN (2014) (e.g., Part 3).	X	X



HCV 5 – Community needs. Sites and resources fundamental for satisfying the basic necessities of *local communities or *Indigenous Peoples** (for livelihoods, health, nutrition, water, etc.), identified through *engagement** with these communities or Indigenous Peoples.**

A. Interpretations and *Best Available Information for HCV 5**

Sites and resources fundamental for satisfying the basic necessities of *local communities (for livelihoods, health, nutrition, water, etc.):**

National or regional interpretations of this HCV element:	<i>Best Available Information</i>* for identifying occurrences of this interpretation:	BAI >SLIMF	BAI SLIMF
Watersheds, rivers, streams, and other sources of water for drinking, other daily use, or irrigation.	NACSO: www.nacso.org.na (Namibian Association of Community Based Natural Resource Management (CBNRM) Support Organisations (NACSO)) CRIA: cri-aawhk@iafrica.com.na & www.cri-aasadc.org (Centre for Research, Information, Action in Africa - Development & Consulting Namibia (CRIA SA-DC)) WIMSA - Working Group of Indigenous Minorities in Southern Africa (WIMSA) www.wim-sa.org National San Council via Office of the Prime Minister	X	X
Hunting grounds and fisheries for subsistence in local communities. Not inclusive of poaching, wildlife hunting for non-local trade, or unsustainable harvest levels. Also not inclusive of hunting or capture of lemurs (see HCV1).		X	X
Growing areas and plants used for subsistence or traditional medicinal by local communities, e.g., wild vegetables and fruits. Not inclusive of deforestation and conversion of forest to agriculture.		X	X
Other sites and resources from which local communities satisfy basic needs, including but not limited to: sources for sustainable levels of harvest of fuelwood, building materials		X	X
Other sites and resources fundamental to local communities.		X	X
<i>Best Available Information</i>* for identifying multiple interpretations of this HCV element:		BAI >SLIMF	BAI SLIMF
Primary BAI: Engagement with local communities, including through <i>culturally appropriate</i> * methods. Note the “fundamentality” of the resource should also be determined in collaboration with affected stakeholders, i.e., local communities.		X	X
Secondary BAI:			
Relevant databases, maps, and resource inventories, e.g., listings of communities located within or near forest areas, and listings of sites and resources, including water sources, or areas that provide traditional food or medicines		X	X
<i>High Conservation Value</i>* surveys of the Management Unit*		X	X



Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C	X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders* . See Annex I for social stakeholders to consider engaging.	X	X
Consultation with relevant local and regional experts.	X	x
Review of the results by knowledgeable expert(s) independent of <i>The Organization</i>*	X	
Participatory mapping with local communities	X	
Published reports by governments, research institutions, or other expert organizations	X	
For guidance in identifying other examples of HCV 5 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.5, Identification of HCV 5).	X	X

Sites and resources fundamental for satisfying the basic necessities of *Indigenous Peoples (for livelihoods, health, nutrition, water, etc.):**

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIMF	BAI SLIMF
See the preceding interpretations of sites and resources fundamental to local communities; similar examples may be relevant to Indigenous Peoples.	NACSO: www.nacso.org.na (Namibian Association of Community Based Natural Resource Management (CBNRM) Support Organisations (NACSO)) CRIA: criaawhk@iafrica.com.na & www.criaasadc.org (Centre for Research, Information, Action in Africa - Development & Consulting Namibia (CRIA SA-DC)) WIMSA & San Council: www.wim-sa.org (Working Group of Indigenous Minorities in Southern Africa (WIMSA))	X	X
Other sites and resources fundamental to Indigenous Peoples.		X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIMF	BAI SLIMF
Primary BAI: Engagement with Indigenous Peoples, including through <i>culturally appropriate</i> * methods. Note the “fundamentality” of the resource should also be determined in collaboration with affected stakeholders		X	X
Secondary BAI:			
Relevant databases, maps e.g., information on Indigenous Peoples that may be present in the area and/or that may use the forest		X	X
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with affected* and interested stakeholders* .		X	X
Consultation with relevant local and regional experts , e.g., organizations that represent or provide services for Indigenous Peoples		X	X
Review of the results by knowledgeable expert(s) independent of <i>The Organization</i>*		X	
Participatory mapping with Indigenous Peoples		X	
Published reports by governments, research institutions, or other expert organizations		X	
For guidance in identifying other examples of HCV 5 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.5, Identification of HCV 5).		X	X



B. Assessments for HCV 5

National or regionally appropriate methodologies and *Best Available Information** for assessments for HCV 5:

National or regional methodologies for assessing occurrences of specific HCV 5 interpretations:	<i>Best Available Information*</i> for assessing occurrences of these interpretations:	BAI >SLIM F	BAI SLIMF
Appropriate participatory engagement	Local leaders in Traditional Authorities, Conservancy and or Community Forest	X	X
	Local government representatives within the Ministry of Urban and Rural Development	x	
National or regional methodologies for assessing occurrences of multiple interpretations:	<i>Best Available Information*</i> for assessing occurrences of multiple interpretations:	BAI >SLIM F	BAI SLIMF
Appropriate participatory engagement	Primary BAI: Engagement with local communities and Indigenous Peoples.	X	X
	Secondary BAI:		
	See the Best Available Information for identifying HCV 5	X	X
Assessments also consider whether specific levels and practices of hunting, fishing, fuelwood harvest, NTFP harvest, cattle grazing, and other forest utilization are sustaining the resource, and also avoiding harm to biodiversity and other HCVs.		X	X
	For additional guidance on assessing HCV 5, see: HCVRN (2013) (e.g., Chapter 2, Best Practice Considerations for HCV Assessments, and Chapter 3.5, Identification of HCV 5).	X	X

C: Strategies for Maintaining and Enhancing HCV 5

Management strategies for HCV 5:

National or regional strategies for maintaining and/or enhancing multiple interpretations:	<i>Best Available Information*</i> for management strategies for multiple interpretations:	BAI >SLIM F	BAI SLIM F



Strategies to protect the community's and/or <i>Indigenous Peoples</i> * needs in relation to the <i>Management Unit</i> * are developed in cooperation with representatives and members of <i>local communities</i> * and <i>Indigenous Peoples</i> *.	Primary: Engagement with the local communities and the Indigenous Peoples. Secondary: See the Best Available Information for identifying HCV 5. For additional considerations, see: HCVRN (2014) (e.g., Part 2, including section 2.3.5).	X	X
Strategies do not include maintaining specific levels or practices of cattle grazing, fuelwood harvest, NTFP harvest, or other forest utilization that do not sustain the resource or that harm biodiversity or other HCVs.		X	X
For sites and resources related to Indigenous Peoples, strategies will need to be based on FPIC and engagement, and not assume that existing laws or practices in Namibia recognize or respect Indigenous Peoples or their rights.		X	X

D. Monitoring for HCV 5

Methodologies and *Best Available Information for monitoring programs for HCV 5:**

National or regional methodologies for monitoring occurrences of multiple interpretations:	<i>Best Available Information</i>* for monitoring occurrences of multiple interpretations:	BAI >SLIM F	BAI SLIM F
Monitoring conducted by the local communities and the Indigenous Peoples, or using methodologies otherwise developed in cooperation with the communities and the Indigenous Peoples through culturally appropriate engagement.	Local traditional communities and Indigenous Peoples Conservancy Community Forest	X	X
	Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C	X	X
	Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*	X	X
	Engagement with experts	X	
	See the Best Available Information for identifying HCV 5.	X	X
	For additional considerations, see: HCVRN (2014) (e.g., Part 3).	X	X

HCV 6 – Cultural values. Sites, resources, *habitats and *landscapes** of global or national cultural, archaeological or historical significance, and/or of *critical** cultural, ecological, economic or religious/sacred importance for the traditional cultures of *local communities** or Indigenous Peoples, identified through *engagement** with these *local communities** or Indigenous Peoples.**

A. Interpretations and *Best Available Information for HCV 6**



Sites, resources, *habitats and *landscapes** of global or national cultural, archaeological or historical significance:**

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIMF	BAI SLIMF
World Heritage sites e.g. Twyfelfontein.	World Heritage (References)	X	X
Other internationally or nationally important cultural, archaeological, or historical sites, resources, habitats, or landscapes	National Heritage Council of Namibia: www.nhc-nam.org National Museum of Namibia: Email: museums@iway.na . Web: www.museums.com.na Namibian Chamber of Environment and Tourism Local Traditional Leaders	X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIMF	BAI SLIMF
Databases, maps, and resource inventories, e.g., listings of archaeological, historical, religious, and cultural sites		X	X
Consultation with relevant local and regional experts, e.g., anthropologists, archaeologists, and historians.		X	
High Conservation Value* surveys of the Management Unit*		X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C		X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*. See Annex I for social stakeholders to consider engaging.		X	X
Review of the results by knowledgeable expert(s) independent of The Organization*		X	x
Published reports by governments, research institutions, or other expert organizations		X	
For guidance in identifying more specific examples of HCV 6 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.6, Identification of HCV 6).		X	X

Sites, resources, *habitats and *landscapes** of critical* cultural, ecological, economic or religious/sacred importance for the traditional cultures of local communities*:**

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIMF	BAI SLIMF
Graves in forests.	Field assessment	X	X
Other sites, resources, habitats, or landscapes of cultural, ecological, economic, or religious/sacred importance to local communities		X	X
Herero “holy trees” – <i>Combretum imberbe</i> – in some areas (Also a protected spp.)		x	x
San painting areas – Spitzkoppe, Brandberg		x	x
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIMF	BAI SLIMF
Primary BAI: Engagement with local communities, including through <i>culturally appropriate*</i> methods, including communities with traditional cultures. Note that “critical... importance” should be understood as an outcome of engagement with local communities.		X	X
Secondary BAI:			



Interactive mapping with local communities	X	X
Databases, maps, and resource inventories , e.g., listings of traditional communities located within forest areas or with other connections to forest areas, and listings of archaeological, historical, religious, and cultural sites	X	X
Consultation with relevant local and regional experts, e.g., anthropologists, archaeologists, and community development organizations and experts. See Annex I for examples of social experts and community development organizations to consider.	X	x
High Conservation Value* surveys of the Management Unit*	X	X
Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C	X	X
Culturally appropriate* engagement with Indigenous Peoples and affected* and interested stakeholders*.	X	X
Review of the results by knowledgeable expert(s) independent of The Organization*	X	
Published reports by governments, research institutions, or other expert organizations	X	X
For guidance in identifying more specific examples of HCV 6 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.6, Identification of HCV 6).	X	X

Sites, resources, habitats* and landscapes* of critical* cultural, ecological, economic or religious/sacred importance for the traditional cultures of Indigenous Peoples:

National or regional interpretations of this HCV element:	Best Available Information* for identifying occurrences of this interpretation:	BAI >SLIMF	BAI SLIMF
Sites, resources, habitats, or landscapes of cultural, ecological, economic, or religious/sacred importance to Indigenous Peoples, including but not limited to: plants, animals, and sites used for traditional ceremonies	National Heritage Council of Namibia: www.nhc-nam.org National Museum of Namibia: Email: museums@iway.na . Web: www.museums.com.na Namibia Scientific Society www.namscience.com.na nwg@iway.na Local Traditional Leaders National San Council via Office of the Prime Minister	X	X
Best Available Information* for identifying multiple interpretations of this HCV element:		BAI >SLIMF	BAI SLIMF
Primary BAI: Engagement with Indigenous Peoples, including through culturally appropriate* methods. Note that “critical... importance” should be understood as an outcome of engagement with Indigenous Peoples.		X	X
Secondary BAI:			
Interactive mapping with Indigenous Peoples		X	X
Databases and maps, e.g., information on Indigenous Peoples located within forest areas or with other connections to forest areas, and listings of archaeological, historical, religious, and cultural sites		X	X
Consultation with relevant local and regional experts, e.g., organizations that represent or provide services for Indigenous Peoples, archaeologists, and anthropologists.		X	x
High Conservation Value* surveys of the Management Unit*		X	X



Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C	X	X
Engagement with affected* and interested stakeholders* . See Annex I for social stakeholders to consider.	X	X
Review of the results by knowledgeable expert(s) independent of <i>The Organization</i>*	X	
Published reports by governments, research institutions, or other expert organizations	X	X
For guidance in identifying more specific examples of HCV 6 that may exist in the management unit, see: HCVRN (2013) (e.g., Chapter 3.6, Identification of HCV 6).	X	X

B. Assessments for HCV 6

National or regionally appropriate methodologies and *Best Available Information** for assessments for HCV 6:

National or regional methodologies for assessing occurrences of multiple interpretations:	<i>Best Available Information*</i> for assessing occurrences of multiple interpretations:	BAI >SLIM F	BAI SLIM F
Appropriate Participatory Engagement	Primary BAI: Engagement with local communities and Indigenous Peoples	X	X
	Secondary BAI:		
	See the Best Available Information for identifying HCV 6	X	X
	For additional guidance on assessing HCV 6, see: FSC (20XXa) and HCVRN (2013) (e.g., Chapter 2, Best Practice Considerations for HCV Assessments, and Chapter 3.6, Identification of HCV 6).	X	X

C: Strategies for Maintaining and Enhancing HCV 6

Management strategies for HCV 6:

National or regional strategies for maintaining and/or enhancing specific HCV 6 interpretations:	<i>Best Available Information*</i> for management strategies for these interpretations:	BAI >SLIM F	BAI SLIM F



For HCV occurrences related to Indigenous Peoples and/or local communities, strategies to protect the cultural values are developed in cooperation with representatives and members of the <i>local communities</i> * and the <i>Indigenous Peoples</i> *.	Engagement with the local communities and/or the Indigenous Peoples	X	X
For sites and resources related to Indigenous Peoples, strategies will need to be based on FPIC and engagement, and not assume that existing laws or practices in Namibia recognize or respect Indigenous Peoples or their rights.		X	X
For other HCV occurrences (i.e., those identified primarily due to global or national significance), strategies are based on Best Available Information, and considered effective for maintaining and/or enhancing the HCV.		X	X
National or regional strategies for maintaining and/or enhancing multiple interpretations:	Best Available Information* for management strategies for multiple interpretations:	BAI >SLIMF	BAI SLIMF
	See the Best Available Information for identifying HCV 6.	X	X
	For additional considerations, see: HCVRN (2014) (e.g., Part 2, including section 2.3.6).	X	X

D. Monitoring for HCV 6

Methodologies and *Best Available Information for monitoring programs for HCV 6:**

National or regional methodologies for monitoring occurrences of specific HCV 6 interpretations:	Best Available Information* for monitoring occurrences of these interpretations:	BAI >SLIMF	BAI SLIMF
For HCV occurrences related to local communities, monitoring conducted by the local communities, or using methodologies otherwise developed in cooperation with the communities through culturally appropriate engagement.	Local traditional communities.	X	X
For HCV occurrences related to Indigenous Peoples, monitoring conducted by the Indigenous Peoples, or using methodologies otherwise developed in cooperation with the Indigenous Peoples through culturally appropriate engagement.	Indigenous Peoples	X	X
National or regional methodologies for monitoring occurrences of multiple interpretations:	Best Available Information* for monitoring occurrences of multiple interpretations:	BAI >SLIMF	BAI SLIMF
	Engagement with rights-holders, per Criteria 3.5 and 4.7 of the P&C	X	X



	<i>Culturally appropriate*</i> engagement with Indigenous Peoples and affected* and interested stakeholders*	X	X
	Engagement with experts	X	
	See the Best Available Information for identifying HCV 6, e.g., local community development and Indigenous Peoples' organizations, and anthropologists, archaeologists, and historians, and other experts.	X	X
	For additional considerations for HCV monitoring, see: HCVRN (2014) (e.g., Part 3)	X	X

DRAFT



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UNESCO Biosphere Reserves. For a list of Reserves and links to maps and more information, see: <http://www.unesco.org/new/en/natural-sciences/environment/ecological-sciences/biosphere-reserves/africa/Namibia/>

World Heritage sites. See <http://whc.unesco.org/en/statesparties/mg>



WWF Global 200 Ecoregions. <https://www.worldwildlife.org/ecoregions/at1316>

9. FSC Glossary of Terms

This glossary includes internationally accepted definitions whenever possible. These sources include, for instance, the Food and Agriculture Organization of the United Nations (FAO), the Convention on Biological Diversity (1992), the Millennium Ecosystem Assessment (2005) as well as definitions from online glossaries as provided on the websites of the World Conservation Union (IUCN), the International Labour Organization (ILO) and the Invasive Alien Species Programme of the Convention on Biological Diversity. When other sources have been used they are referenced accordingly.

The term 'based on' means that a definition was adapted from an existing definition as provided in an international source.

Words used in the International Generic Indicators, if not defined in this Glossary of Terms or other normative FSC documents, are used as defined in the Shorter Oxford English Dictionary or the Concise Oxford Dictionary.

Adaptive management: A systematic process of continually improving management policies and practices by learning from the outcomes of existing measures (Source: Based on World Conservation Union (IUCN). Glossary definitions as provided on IUCN website).

Affected stakeholder: Any person, group of persons or entity that is or is likely to be subject to the effects of the activities of a Management Unit. Examples include, but are not restricted to (for example in the case of downstream landowners), persons, groups of persons or entities located in the neighborhood of the Management Unit. The following are examples of affected stakeholders:

- Local communities
- Indigenous Peoples
- Workers
- Forest dwellers
- Neighbors
- Downstream landowners
- Local processors
- Local businesses
- Tenure and use rights holders, including landowners
- Organizations authorized or known to act on behalf of affected stakeholders, for example social and environmental NGOs, labor unions, etc.

(Source: FSC-STD-01-001 V5-0).

Alien species: A species, subspecies or lower taxon, introduced outside its natural past or present distribution; includes any part, gametes, seeds, eggs, or propagules of such species that might survive and subsequently reproduce (Source: Convention on Biological Diversity (CBD), Invasive Alien Species Programme. Glossary of Terms as provided on CBD website).

Applicable law: Means applicable to *The Organization** as a *legal** person or business enterprise in or for the benefit of the Management Unit and those laws which affect the implementation of the FSC Principles and Criteria. This includes any combination of statutory law (Parliamentary-approved) and case law (court interpretations), subsidiary regulations, associated administrative procedures, and the national constitution (if present) which invariably takes *legal** precedence over all other *legal** instruments (Source: FSC-STD-01-001 V5-0).

Aquifer: A formation, group of formations, or part of a formation that contains sufficient saturated permeable material to yield significant quantities of water to wells and springs for that unit to have economic value as a source of water in that region. (Source: Gratzfeld, J. 2003. Extractive Industries in Arid and Semi-Arid Zones. World Conservation Union (IUCN)).

Best Available Information: Data, facts, documents, expert opinions, and results of field surveys or consultations with stakeholders that are most credible, accurate, complete, and/or pertinent and that can be obtained



through *reasonable** effort and cost, subject to the *scale** and *intensity** of the management activities and the *Precautionary Approach**.

Binding Agreement: A deal or pact, written or not, which is compulsory to its signatories and enforceable by law. Parties involved in the agreement do so freely and accept it voluntarily.

Biological diversity: The variability among living organisms from all sources including, inter alia, terrestrial, marine and other aquatic ecosystems and the ecological complexes of which they are a part; this includes diversity within species, between species and of ecosystems (Source: Convention on Biological Diversity 1992, Article 2).

Biological control agents: Organisms used to eliminate or regulate the population of other organisms (Source: Based on FSC-STD-01-001 V4-0 and World Conservation Union (IUCN). Glossary definitions as provided on IUCN website).

Bush thickening (encroachment): Bush encroachment is defined as “the invasion and/or thickening of aggressive undesired woody species resulting in an imbalance of the grass:bush ratio, a decrease in biodiversity, and a decrease in carrying capacity”, causing severe economic losses for Namibia – in both the commercial (freehold) and communal (non-freehold) farming areas.

Bush thinning: The removing of selected woody species under the guidance of Forestry permits and management plans. All bush thinning should aim to leave a heterogeneous mix of trees and bush. The veld that remains should have a variety of tree species (including some of the encroacher species), of different size classes.

Clearing: Severe disturbance of the soil, and almost complete to complete clearing of the bush, which requires permits under the Department of Forestry. Clearing may result in aggressive regrowth, producing worse bush encroachment than before.

Communal land means any geographic area of land habitually inhabited by traditional communities (Source: Nature Conservation Amendment Act 5 of 1996);

Confidential information: Private facts, data and content that, if made publicly available, might put at risk *The Organization**, its business interests or its relationships with stakeholders, clients and competitors.

Conflicts between the Principles and Criteria and laws: Situations where it is not possible to comply with the Principles and Criteria and a law at the same time (Source: FSC-STD-01-001 V5-0).

Connectivity: A measure of how connected or spatially continuous a corridor, network, or matrix is. The fewer gaps, the higher the connectivity. Related to the structural connectivity concept; functional or behavioral connectivity refers to how connected an area is for a process, such as an animal moving through different types of landscape elements. Aquatic connectivity deals with the accessibility and transport of materials and organisms, through groundwater and surface water, between different patches of aquatic ecosystems of all kinds. (Source: Based on R.T.T. Forman. 1995. Land Mosaics. The Ecology of Landscapes and Regions. Cambridge University Press, 632pp).

Conservancy: A group of persons residing on communal land who have had the area which they inhabit, or any part thereof, declared a conservancy and gazetted as such by Government (Source: Nature Conservation Amendment Act 5 of 1996).

Conservation/Protection: These words are used interchangeably when referring to management activities designed to maintain the identified environmental or cultural values in existence long-term. Management activities may range from zero or minimal interventions to a specified range of appropriate interventions and activities designed to maintain, or compatible with maintaining, these identified values (Source: FSC-STD-01-001 V5-0).

Conservation Areas Network: Those portions of the Management Unit* for which conservation is the primary and, in some circumstances, exclusive objective; such areas include *representative sample areas**, *conservation zones**, *protection areas**, *connectivity** areas and *High Conservation Value Areas**.



Conservation zones and protection areas: Defined areas that are designated and managed primarily to safeguard species, habitats, ecosystems, natural features or other site-specific values because of their natural environmental or cultural values, or for purposes of monitoring, evaluation or research, not necessarily excluding other management activities. For the purposes of the Principles and Criteria, these terms are used interchangeably, without implying that one always has a higher degree of conservation or protection than the other. The term 'protected area' is not used for these areas, because this term implies *legal** or official status, covered by national regulations in many countries. In the context of the Principles and Criteria, management of these areas should involve active conservation, not passive protection' (Source: FSC-STD-01-001 V5-0).

Critical: The concept of criticality or fundamentality in Principal 9 and HCVs relates to irreplaceability and to cases where loss or major damage to this HCV would cause serious prejudice or suffering to affected stakeholders. An ecosystem service is considered to be critical (HCV 4) where a disruption of that service is likely to cause, or poses a threat of, severe negative impacts on the welfare, health or survival of local communities, on the environment, on HCVs, or on the functioning of significant infrastructure (roads, dams, buildings etc.). The notion of criticality here refers to the importance and risk for natural resources and environmental and socio-economic values (Source: FSC-STD-01-001 V5-0).

Criterion (pl. Criteria): A means of judging whether or not a Principle (of forest stewardship) has been fulfilled (Source: FSC-STD-01-001 V4-0).

Culturally appropriate[mechanisms]: Means/approaches for outreach to target groups that are in harmony with the customs, values, sensitivities, and ways of life of the target audience.

Customary law: Interrelated sets of customary rights may be recognized as customary law. In some jurisdictions, customary law is equivalent to statutory law, within its defined area of competence and may replace the statutory law for defined ethnic or other social groups. In some jurisdictions customary law complements statutory law and is applied in specified circumstances (Source: Based on N.L. Peluso and P. Vandergeest. 2001. Genealogies of the political forest and customary rights in Indonesia, Malaysia and Thailand, *Journal of Asian Studies* 60(3):761–812).

Customary rights: Rights which result from a long series of habitual or customary actions, constantly repeated, which have, by such repetition and by uninterrupted acquiescence, acquired the force of a law within a geographical or sociological unit (Source: FSC-STD-01-001 V4-0).

Density Data Model: Method of quantifying current and desired woody species acknowledged by Ministry of Agriculture Water and Forestry (MWAF). Intention is to define what level of bush thinning is most appropriate, to achieve the goal of ecological restoration.

Dispute: for the purpose of the IGI, this is an expression of dissatisfaction by any person or organization presented as a complaint to *The Organization**, relating to its management activities or its conformity with the FSC Principles and Criteria, where a response is expected (Source: based on FSC-PRO-01-005 V3-0 Processing Appeals).

Dispute of substantial duration: *Dispute** that continues for more than twice as long as the predefined timelines in the FSC System (this is, for more than 6 months after receiving the complaint, based on FSC-STD-20-001).

Dispute of substantial magnitude: For the purpose of the International Generic Indicators, a *dispute** of substantial magnitude is a *dispute** that involves one or more of the following:

- Affects the *legal** or *customary rights** of *Indigenous Peoples** and *local communities**;
- Where the negative impact of management activities is of such a scale that it cannot be reversed or mitigated;
- Physical violence;
- Destruction of property;
- Presence of military bodies;
- Acts of intimidation against *forest** *workers** and *stakeholders**.



This list should be adapted or expanded by Standard Developers.

Ecological integrity: A measure of how intact or complete an ecosystem is.

Economic viability: The capability of developing and surviving as a relatively independent social, economic or political unit. Economic viability may require but is not synonymous with profitability (Source: Based on the definition provided on the website of the European Environment Agency).

Ecosystem: A dynamic complex of plant, animal and micro-organism communities and their non-living environment interacting as a functional unit (Source: Convention on Biological Diversity 1992, Article 2).

Ecosystem function: An intrinsic ecosystem characteristic related to the set of conditions and processes whereby an ecosystem maintains its integrity (such as primary productivity, food chain, biogeochemical cycles). Ecosystem functions include such processes as decomposition, production, nutrient cycling, and fluxes of nutrients and energy. For FSC purposes, this definition includes ecological and evolutionary processes such as gene flow and disturbance regimes, regeneration cycles and ecological seral development (succession) stages. (Source: Based on R. Hassan, R. Scholes and N. Ash. 2005. *Ecosystems and Human Well-being: Synthesis*. The Millennium Ecosystem Assessment Series. Island Press, Washington DC; and R.F. Noss. 1990. Indicators for monitoring biodiversity: a hierarchical approach. *Conservation Biology* 4(4):355–364).

Ecosystem services: The benefits people obtain from ecosystems. These include:

- provisioning services such as food, forest products and water;
- regulating services such as regulation of floods, drought, land degradation, air quality, climate and disease;
- supporting services such as soil formation and nutrient cycling; and
- Cultural services and cultural values such as recreational, spiritual, religious and other non-material benefits.

(Source: Based on R. Hassan, R. Scholes and N. Ash. 2005. *Ecosystems and Human Well-being: Synthesis*. The Millennium Ecosystem Assessment Series. Island Press, Washington DC).

Engaging / engagement: The process by which The Organization communicates, consults and/or provides for the participation of interested and/or affected stakeholders ensuring that their concerns, desires, expectations, needs, rights and opportunities are considered in the establishment, implementation and updating of the *management plan** (Source: FSC-STD-01-001 V5-0).

Environmental Impact Assessment (EIA): Systematic process used to identify potential environmental and social impacts of proposed projects, to evaluate alternative approaches, and to design and incorporate appropriate prevention, mitigation, management and monitoring measures (Source: based on Environmental impact assessment, guidelines for FAO field projects. Food and agriculture organization of the United Nations (FAO). Rome,-STD-01-001 V5-0). This includes the generic EIA referred to and done by SAEIA.

Environmental values: The following set of elements of the biophysical and human environment:

- ecosystem functions (including carbon sequestration and storage);
- biological diversity;
- water resources;
- soils;
- atmosphere;
- landscape values (including cultural and spiritual values).

The actual worth attributed to these elements depends on human and societal perceptions (Source: FSC-STD-01-001 V5-0).

Ephemeral water course/ Ephemeral flowpath/ Ephemeral waterway: An ephemeral flowpath/ waterway or watercourse is where any one of the following criteria are met: (a) The flow path is an entrenched dry gully. (b) There is clear evidence of a channel within the valley system where overland flow occurs from time to time. (c) There is clear evidence of erosion (such as gullying or headward gully erosion) associated with short term water flow from time to time within the valley system.



Externalities: The positive and negative impacts of activities on stakeholders that are not directly involved in those activities, or on a natural resource or the environment, which do not usually enter standard cost accounting systems, such that the market prices of the products of those activities do not reflect the full costs or benefits (Source: FSC-STD-01-001 V5-0).

Fair compensation: Remuneration that is proportionate to the magnitude and type of services rendered by another party or of the harm that is attributable to the first party.

Family forestry: Smallholder forestry where there is no formal employment. The great majority of work is done by family members.

Fertilizer: Mineral or organic substances, most commonly N, P₂O₅ and K₂O, which are applied to soil for the purpose of enhancing plant growth.

Focal species: Species whose requirements for persistence define the attributes that must be present if that landscape is to meet the requirements of the species that occur there (Source: Lambeck, R., J. 1997. Focal Species: A multi-species Umbrella for Nature Conservation. Conservation Biology vol 11 (4): 849-856.).

Fodder species:

Forest: A tract of land dominated by trees (Source: FSC-STD-01-001 V5-0. Derived from FSC Guidelines for Certification Bodies, Scope of Forest Certification, Section 2.1 first published in 1998, and revised as FSC-GUI-20-200 in 2005, and revised again in 2010 as FSC-DIR-20-007 FSC Directive on Forest Management Evaluations, ADVICE-20-007-01). In Namibia, Forests are defined as 'natural forests*', as protected by law. Forest belong to the State. It includes classified forests; community forests; forest reserve; regional forest reserve; state forest reserve (Forest Act, No 12 of 2001 and its Regulations of 2015).

Formal and informal workers organization: association or union of *workers**, whether recognized by law or by *The Organization** or neither, which have the aim of promoting *workers** rights and to represent *workers** in dealings with *The Organization** particularly regarding working conditions and compensation.

Free, Prior, and Informed Consent (FPIC): A *legal** condition whereby a person or community can be said to have given consent to an action prior to its commencement, based upon a clear appreciation and understanding of the facts, implications and future consequences of that action, and the possession of all relevant facts at the time when consent is given. Free, prior and informed consent includes the right to grant, modify, withhold or withdraw approval (Source: Based on the Preliminary working paper on the principle of Free, Prior and Informed Consent of Indigenous Peoples (...) (E/CN.4/Sub.2/AC.4/2004/4 8 July 2004) of the 22nd Session of the United Nations Commission on Human Rights, Sub-commission on the Promotion and Protection of Human Rights, Working Group on Indigenous Populations, 19–23 July 2004).

Gender equality: Gender equality or gender equity means that women and men have equal conditions for realizing their full human rights and for contributing to, and benefiting from, economic, social, cultural and political development (Source: Adapted from FAO, IFAD and ILO workshop on 'Gaps, trends and current research in gender dimensions of agricultural and rural employment: differentiated pathways out of poverty', Rome, 31 March to 2 April 2009.).

Genetically modified organism: An organism in which the genetic material has been altered in a way that does not occur naturally by mating and/or natural recombination. (Source: Based on FSC-POL-30-602 FSC Interpretation on GMO (Genetically Modified Organisms)).

Genotype: The genetic constitution of an organism (Source: FSC-STD-01-001 V5-0).

Grassland: Land covered with herbaceous plants with less than 10% tree and shrub cover (Source: UNEP, cited in FAO. 2002. Second Expert Meeting on Harmonizing Forest-Related Definitions for use by various stakeholders).

Habitat: The place or type of site where an organism or population occurs (Source: Based on the Convention on Biological Diversity, Article 2).

Habitat features: *Forest** stand attributes and structures, including but not limited to:



- Trees with special ecological value;
- Vertical and horizontal complexity;
- Standing dead trees;
- Dead fallen wood;
- Patches of open area attributable to natural disturbances;
- Nesting sites;
- Small wetlands, pans, omaramba, ephemeral drainage lines;
- Ponds;
- Areas for procreation;
- Areas for feeding and shelter, including seasonal cycles of breeding;
- Areas for migration;
- Areas for hibernation.

High Conservation Value (HCV): Any of the following values:

- HCV1: Species Diversity. Concentrations of *biological diversity** including endemic species, and rare, *threatened or endangered** species, that are significant at global, regional or national levels.
- HCV 2: Landscape-level ecosystems and mosaics. Intact Forest Landscapes, large landscape-level *ecosystems** and ecosystem mosaics that are significant at global, regional or national levels, and that contain viable populations of the great majority of the naturally occurring species in natural patterns of distribution and abundance.
- HCV 3: Ecosystems and habitats. Rare, threatened, or endangered ecosystems, *habitats** or *refugia**.
- HCV 4: Critical ecosystem services. Basic *ecosystem services** in critical situations, including protection of water catchments and control of erosion of vulnerable soils and slopes.
- HCV 5: Community needs. Sites and resources fundamental for satisfying the basic necessities of local communities or *Indigenous Peoples** (for example for livelihoods, health, nutrition, water), identified through engagement with these communities or *Indigenous Peoples**.
- HCV 6: Cultural values. Sites, resources, habitats and *landscapes** of global or national cultural, archaeological or historical significance, and/or of critical cultural, ecological, economic or religious/sacred importance for the traditional cultures of local communities or *Indigenous Peoples**, identified through engagement with these local communities or *Indigenous Peoples**.

(Source: based on FSC-STD-01-001 V5-0).

High Conservation Value Areas: Zones and physical spaces which possess and/or are needed for the existence and maintenance of identified *High Conservation Values**.

High grading: High grading is a tree removal practice in which only the best quality, most valuable timber trees are removed, often without regenerating new tree seedlings or removing the remaining poor quality and suppressed understory trees and, in doing so, degrading the ecological health and commercial value of the forest. High grading stands as a counterpoint to sustainable resource management (Source: based on Glossary of Forest Management Terms. North Carolina Division of Forest Resources. March 2009).

Indicator: A quantitative or qualitative variable which can be measured or described, and which provides a means of judging whether a *Management Unit** complies with the requirements of an FSC Criterion. Indicators and the associated thresholds thereby define the requirements for responsible forest management at the level of the *Management Unit** and are the primary basis of forest evaluation (Source: FSC-STD-01-002 V1-0 FSC Glossary of Terms (2009)).

Indigenous Peoples: People and groups of people that can be identified or characterized as follows:

- The key characteristic or Criterion is self-identification as Indigenous Peoples at the individual level and acceptance by the community as their member;
- Historical continuity with pre-colonial and/or pre-settler societies;
- Strong link to territories and surrounding natural resources;



- Distinct social, economic or political systems;
- Distinct language, culture and beliefs;
- Form non-dominant groups of society;
- Resolve to maintain and reproduce their ancestral environments and systems as distinctive peoples and communities.

(Source: Adapted from United Nations Permanent Forum on Indigenous, Factsheet 'Who are Indigenous Peoples' October 2007; United Nations Development Group, 'Guidelines on Indigenous Peoples' Issues' United Nations 2009, United Nations Declaration on the Rights of Indigenous Peoples, 13 September 2007).

Infrastructure: In the context of forest management, roads, bridges, culverts, log landings, quarries, impoundments, buildings and other structures required in the course of implementing the *management plan*.*

Intact Forest Landscape: a territory within today's global extent of forest cover which contains forest and non-forest ecosystems minimally influenced by human economic activity, with an area of at least 500 km² (50,000 ha) and a minimal width of 10 km (measured as the diameter of a circle that is entirely inscribed within the boundaries of the territory) (Source: Intact Forests / Global Forest Watch. Glossary definition as provided on Intact Forest website. 2006-2014).

Intellectual property: Practices as well as knowledge, innovations and other creations of the mind (Source: Based on the Convention on Biological Diversity, Article 8(j); and World Intellectual Property Organization. What is Intellectual Property? WIPO Publication No. 450(E)).

Intensity: A measure of the force, severity or strength of a management activity or other occurrence affecting the nature of the activity's impacts (Source: FSC-STD-01-001 V5-0).

Interested stakeholder: Any person, group of persons, or entity that has shown an interest, or is known to have an interest, in the activities of a Management Unit. The following are examples of interested stakeholders.

- Conservation organizations, for example environmental NGOs;
- Labor (rights) organizations, for example labor unions;
- Human rights organizations, for example social NGOs;
- Local development projects;
- Local governments;
- National government departments functioning in the region;
- FSC National Offices;
- Experts on particular issues, for example High Conservation Values.

(Source: FSC-STD-01-001 V5-0)

Internationally accepted scientific protocol: A predefined science-based procedure which is either published by an international scientific network or union, or referenced frequently in the international scientific literature (Source: FSC-STD-01-001 V5-0).

Invasive species: Species that are rapidly expanding outside of their native range. Invasive species can alter ecological relationships among native species and can affect ecosystem function and human health (Source: Based on World Conservation Union (IUCN). Glossary definitions as provided on IUCN website).

Lands and territories: For the purposes of the Principles and Criteria these are lands or territories that Indigenous Peoples or local communities have traditionally owned, or customarily used or occupied, and where access to natural resources is vital to the sustainability of their cultures and livelihoods (Source: Based on World Bank safeguard OP 4.10 Indigenous Peoples, section 16 (a). July 2005.).

Landscape: A geographical mosaic composed of interacting ecosystems resulting from the influence of geological, topographical, soil, climatic, biotic and human interactions in a given area (Source: Based on World Conservation Union (IUCN). Glossary definitions as provided on IUCN website).

Landscape values: Landscape values can be visualized as layers of human perceptions overlaid on the physical landscape. Some landscape values, like economic, recreation, subsistence value or visual quality are closely related to physical landscape attributes. Other landscape values such as intrinsic or spiritual value are



more symbolic in character and are influenced more by individual perception or social construction than physical landscape attributes (Source: Based on website of the Landscape Value Institute).

Legal: In accordance with primary legislation (national or local laws) or secondary legislation (subsidiary regulations, decrees, orders, etc.). 'Legal' also includes rule-based decisions made by legally competent agencies where such decisions flow directly and logically from the laws and regulations. Decisions made by legally competent agencies may not be legal if they do not flow directly and logically from the laws and regulations and if they are not rule-based but use administrative discretion (Source: FSC-STD-01-001 V5-0).

Legally competent: Mandated in law to perform a certain function (Source: FSC-STD-01-001 V5-0).

Legal registration: National or local *legal** license or set of permissions to operate as an enterprise, with rights to buy and sell products and/or services commercially. The license or permissions can apply to an individual, a privately-owned enterprise or a publicly-owned corporate entity. The rights to buy and sell products and/or services do not carry the obligation to do so, so *legal** registration applies also to Organizations operating a Management Unit without sales of products or services; for example, for unpriced recreation or for conservation of biodiversity or habitat (Source: FSC-STD-01-001 V5-0).

Legal status: The way in which the Management Unit is classified according to law. In terms of tenure, it means the category of tenure, such as communal land or leasehold or freehold or State land or government land, etc. If the Management Unit is being converted from one category to another (for example, from State land to communal indigenous land) the status includes the current position in the transition process. In terms of administration, legal status could mean that the land is owned by the nation as a whole, is administered on behalf of the nation by a government department, and is leased by a government Ministry to a private sector operator through a concession (Source: FSC-STD-01-001 V5-0).

Living wage: The remuneration received for a standard work week by a worker in a particular place sufficient to afford a decent standard of living for the worker and her or his family. Elements of a decent standard of living include food, water, housing, education, health care, transport, clothing, and other essential needs including provision for unexpected events (Source: A Shared Approach to a Living Wage. ISEAL Living Wage Group. November 2013).

Local communities: Communities of any size that are in or adjacent to the Management Unit, and also those that are close enough to have a significant impact on the economy or the environmental values of the Management Unit or to have their economies, rights or environments significantly affected by the management activities or the biophysical aspects of the Management Unit (Source: FSC-STD-01-001 V5-0). Such communities may include families of workers* residing in the FMU.

Local laws: The whole suite of primary and secondary laws (acts, ordinances, statutes, decrees) which is limited in application to a particular geographic district within a national territory, as well as secondary regulations, and tertiary administrative procedures (rules / requirements) that derive their authority directly and explicitly from these primary and secondary laws. Laws derive authority ultimately from the Westphalian concept of sovereignty of the Nation State (Source: FSC-STD-01-001 V5-0).

Long-term: The time-scale of the forest owner or manager as manifested by the objectives of the *management plan**, the rate of harvesting, and the commitment to maintain permanent forest cover. The length of time involved will vary according to the context and ecological conditions, and will be a function of how long it takes a given ecosystem to recover its natural structure and composition following harvesting or disturbance, or to produce mature or primary conditions (Source: FSC-STD-01-002 V1-0 FSC Glossary of Terms (2009)).

Management objective: Specific management goals, practices, outcomes, and approaches established to achieve the requirements of this standard.

Management plan: The collection of documents, reports, records and maps that describe, justify and regulate the activities carried out by any manager, staff or organization within or in relation to the Management Unit, including statements of objectives and policies (Source: FSC-STD-01-001 V5-0).



Management plan monitoring: Follow up and oversight procedures for the purpose of evaluating the achievement of the *management objectives**. The results of the monitoring activities are utilized in the implementation of *adaptive management**.

Management Unit: A spatial area or areas submitted for FSC certification with clearly defined boundaries managed to a set of explicit long term management objectives which are expressed in a *management plan**. This area or areas include(s):

- all facilities and area(s) within or adjacent to this spatial area or areas under *legal** title or management control of, or operated by or on behalf of *The Organization*, for the purpose of contributing to the management objectives; and
- all facilities and area(s) outside, and not adjacent to this spatial area or areas and operated by or on behalf of *The Organization**, solely for the purpose of contributing to the management objectives.

(Source: FSC-STD-01-001 V5-0).

Managerial control: Responsibility of the kind defined for corporate directors of commercial enterprises in national commercial law, and treated by FSC as applicable also to public sector organizations (Source: FSC-STD-01-001 V5-0).

Mosaic approach: Mosaic harvesting (patch harvesting) is favoured above block/strip harvesting as it increases the ecotone area (edge effect) and supports higher biodiversity. Interacting ecosystems should be retained to allow for wildlife movement and micro habitats to be conserved.

National laws: The whole suite of primary and secondary laws (acts, ordinances, statutes, decrees), which is applicable to a national territory, as well as secondary regulations, and tertiary administrative procedures (rules / requirements) that derive their authority directly and explicitly from these primary and secondary laws (Source: FSC-STD-01-001 V5-0).

Native species: Species, subspecies, or lower taxon, occurring within its natural range (past or present) and dispersal potential (that is, within the range it occupies naturally or could occupy without direct or indirect introduction or care by humans) (Source: Convention on Biological Diversity (CBD). Invasive Alien Species Programme. Glossary of Terms as provided on CBD website).

Natural conditions/native ecosystem: For the purposes of the Principles and Criteria and any applications of restoration techniques, terms such as 'more natural conditions', 'native ecosystem' provide for managing sites to favor or restore native species and associations of native species that are typical of the locality, and for managing these associations and other environmental values so that they form ecosystems typical of the locality. Further guidelines may be provided in FSC Forest Stewardship Standards (Source: FSC-STD-01-001 V5-0).

Natural forest: A forest area with many of the principal characteristics and key elements of native ecosystems, such as complexity, structure and biological diversity, including soil characteristics, flora and fauna, in which all or almost all the trees are native species, not classified as plantations.

'Natural forest' includes the following categories:

- Forest affected by harvesting or other disturbances, in which trees are being or have been regenerated by a combination of natural and artificial regeneration with species typical of natural forests in that site, and where many of the above-ground and below-ground characteristics of the natural forest are still present. In boreal and north temperate forests which are naturally composed of only one or few tree species, a combination of natural and artificial regeneration to regenerate forest of the same native species, with most of the principal characteristics and key elements of native ecosystems of that site, is not by itself considered as conversion to plantations;
- Natural forests which are maintained by traditional silvicultural practices including natural or assisted natural regeneration;
- Well-developed secondary or colonizing forest of native species which has regenerated in non-forest areas;



- The definition of 'natural forest' may include areas described as wooded ecosystems, woodland and savanna.

The description of natural forests and their principal characteristics and key elements may be further defined in FSC Forest Stewardship Standards, with appropriate descriptions or examples.

'Natural forest' does not include land which is not dominated by trees, was previously not forest, and which does not yet contain many of the characteristics and elements of native ecosystems. Young regeneration may be considered as natural forest after some years of ecological progression. FSC Forest Stewardship Standards may indicate when such areas may be excised from the Management Unit, should be restored towards more natural conditions, or may be converted to other land uses.

FSC has not developed quantitative thresholds between different categories of forests in terms of area, density, height, etc. FSC Forest Stewardship Standards may provide such thresholds and other guidelines, with appropriate descriptions or examples. Pending such guidance, areas dominated by trees, mainly of native species, may be considered as natural forest.

Thresholds and guidelines may cover areas such as:

- Other vegetation types and non-forest communities and ecosystems included in the Management Unit, including grassland, bushland, wetlands, and open woodlands;
- Very young pioneer or colonizing regeneration in a primary succession on new open sites or abandoned farmland, which does not yet contain many of the principal characteristics and key elements of native ecosystems. This may be considered as natural forest through ecological progression after the passage of years;
- Young natural regeneration growing in natural forest areas may be considered as natural forest, even after logging, clear-felling or other disturbances, since many of the principal characteristics and key elements of native ecosystems remain, above-ground and below-ground;
- Areas where deforestation and forest degradation have been so severe that they are no longer 'dominated by trees' may be considered as non-forest, when they have very few of the principal above-ground and below-ground characteristics and key elements of natural forests. Such extreme degradation is typically the result of combinations of repeated and excessively heavy logging, grazing, farming, fuelwood collection, hunting, fire, erosion, mining, settlements, infrastructure, etc. FSC Forest Stewardship Standards may help to decide when such areas should be excised from the Management Unit, should be restored towards more natural conditions, or may be converted to other land uses.

(Source: FSC-STD-01-001 V5-0).

Natural Hazards: disturbances that can present risks to social and *environmental values** in the *Management Unit** but that may also comprise important ecosystem functions; examples include drought, flood, fire, landslide, storm, avalanche, etc.

Non-timber forest products (NTFP): All products other than timber derived from the Management Unit (Source: FSC-STD-01-001 V5-0).

Objective: The basic purpose laid down by *The Organization** for the forest enterprise, including the decision of policy and the choice of means for attaining the purpose (Source: Based on F.C. Osmaston. 1968. *The Management of Forests*. Hafner, New York; and D.R. Johnston, A.J. Grayson and R.T. Bradley. 1967. *Forest Planning*. Faber & Faber, London).

Obligatory code of practice: A manual or handbook or other source of technical instruction which The Organization must implement by law (Source: FSC-STD-01-001 V5-0).

Occupational accident: An occurrence arising out of, or in the course of, work which results in fatal or non-fatal injury (Source: International Labour Organization (ILO). Bureau of Library and Information Services. ILO Thesaurus as provided on ILO website).

Occupational disease: Any disease contracted as a result of an exposure to risk factors arising from work



activity (Source: International Labour Organization (ILO). Bureau of Library and Information Services. ILO Thesaurus as provided on ILO website).

Occupational injuries: Any personal injury, disease or death resulting from an occupational accident (Source: International Labour Organization (ILO). Bureau of Library and Information Services. ILO Thesaurus as provided on ILO website).

Omaramba: A river or rivulet that only flows when there is heavy rainfall; it therefore also means a dry river bed.

Organism: Any biological entity capable of replication or of transferring genetic material (Source: Council Directive 90/220/EEC).

The Organization: The person or entity holding or applying for certification and therefore responsible for demonstrating compliance with the requirements upon which FSC certification is based (Source: FSC-STD-01-001 V5-0).

Pesticide: Any substance or preparation prepared or used in protecting plants or wood or other plant products from pests; in controlling pests; or in rendering such pests harmless. This definition includes insecticides, rodenticides, acaricides, molluscicides, larvacides, fungicides and herbicides (Source: FSC-POL-30-001 FSC Pesticides Policy (2005)).

Plantation: A forest area established by planting or sowing with using either alien or native species, often with one or few species, regular spacing and even ages, and which lacks most of the principal characteristics and key elements of natural forests. The description of plantations may be further defined in FSC Forest Stewardship Standards, with appropriate descriptions or examples, such as:

- Areas which would initially have complied with this definition of 'plantation' but which, after the passage of years, contain many or most of the principal characteristics and key elements of native ecosystems, may be classified as natural forests.
- Plantations managed to restore and enhance biological and habitat diversity, structural complexity and ecosystem functionality may, after the passage of years, be classified as natural forests.
- Boreal and north temperate forests which are naturally composed of only one or few tree species, in which a combination of natural and artificial regeneration is used to regenerate forest of the same native species, with most of the principal characteristics and key elements of native ecosystems of that site, may be considered as natural forest, and this regeneration is not by itself considered as conversion to plantations.

(Source: FSC-STD-01-001 V5-0)

Precautionary approach: An approach requiring that when the available information indicates that management activities pose a threat of severe or irreversible damage to the environment or a threat to human welfare, *The Organization** will take explicit and effective measures to prevent the damage and avoid the risks to welfare, even when the scientific information is incomplete or inconclusive, and when the vulnerability and sensitivity of environmental values are uncertain (Source: Based on Principle 15 of Rio Declaration on Environment and Development, 1992, and Wingspread Statement on the Precautionary Principle of the Wingspread Conference, 23–25 January 1998).

Pre-harvest[condition]: The diversity, composition, and structure of the *forest** or plantation prior to felling timber and appurtenant activities such as road building.

Principle: An essential rule or element; in FSC's case, of forest stewardship (Source: FSC-STD-01-001 V4-0).

Protection: See definition of Conservation.

Protection Area: See definition of Conservation Zone.

Publicly available: In a manner accessible to or observable by people generally (Source: Collins English Dictionary, 2003 Edition).

Rare species: Species that are uncommon or scarce, but not classified as threatened. These species are located in geographically restricted areas or specific habitats, or are scantily scattered on a large scale. They



are approximately equivalent to the IUCN (2001) category of Near Threatened (NT), including species that are close to qualifying for, or are likely to qualify for, a threatened category in the near future. They are also approximately equivalent to imperiled species (Source: Based on IUCN. (2001). IUCN Red List Categories and Criteria: Version 3.1. IUCN Species Survival Commission. IUCN. Gland, Switzerland and Cambridge, UK).

Ratified: The process by which an international law, convention or agreement (including multilateral environmental agreement) is legally approved by a national legislature or equivalent *legal* mechanism, such that the international law, convention or agreement becomes automatically part of national law or sets in motion the development of national law to give the same *legal* effect (Source: FSC-STD-01-001 V5-0).

Reasonable: Judged to be fair or appropriate to the circumstances or purposes, based on general experience (Source: Shorter Oxford English Dictionary).

Reduced impact harvesting: Harvesting (or logging) using techniques to reduce the impact on the residual stand (Source: Based on Guidelines for the Conservation and Sustainable Use of Biodiversity in Tropical Timber Production Forests, IUCN 2006).

Refugia: An isolated area where extensive changes, typically due to changing climate or by disturbances such as those caused by humans, have not occurred and where plants and animals typical of a region may survive (Source: Glen Canyon Dam, Adaptive Management Program Glossary as provided on website of Glen Canyon Dam website).

Representative Sample Areas: Portions of the *Management Unit* delineated for the purpose of conserving or restoring viable examples of an ecosystem that would naturally occur in that geographical region.

Resilience: The ability of a system to maintain key functions and processes in the face of stresses or pressures by either resisting or adapting to change. Resilience can be applied to both ecological systems and social systems (Source: IUCN World Commission on Protected Areas (IUCN-WCPA). 2008. Establishing Marine Protected Area Networks – Making it Happen. Washington D.C.: IUCN-WCPA National Oceanic and Atmospheric Administration and The Nature Conservancy.).

Restore / Restoration: These words are used in different senses according to the context and in everyday speech. In some cases 'restore' means to repair the damage done to environmental values that resulted from management activities or other causes. In other cases 'restore' means the formation of more natural conditions in sites which have been heavily degraded or converted to other land uses. In the Principles and Criteria, the word 'restore' is not used to imply the recreation of any particular previous, pre-historic, pre-industrial or other pre-existing ecosystem (Source: FSC-STD-01-001 V5-0).

The Organization is not necessarily obliged to restore those environmental values that have been affected by factors beyond the control of The Organization, for example by natural disasters, by climate change, or by the legally authorized activities of third parties, such as public infrastructure, mining, hunting or settlement. FSC-POL-20-003 The Excision of Areas from the Scope of Certification describes the processes by which such areas may be excised from the area certified, when appropriate.

The Organization is also not obliged to restore environmental values that may have existed at some time in the historic or pre-historic past, or that have been negatively affected by previous owners or organizations. However, The Organization is expected to take reasonable measures to mitigate, control and prevent environmental degradation which is continuing in the Management Unit as a result of such previous impacts.

Riparian Habitat: Riparian habitat includes the physical structure and associated vegetation of the areas associated with a watercourse which are commonly characterised by alluvial soils, and which are inundated or flooded to an extent and with a frequency sufficient to support vegetation of species with a composition and physical structure distinct from those of adjacent land areas. [National Water Act, (Act 36 of 1998)] Also referred to as riparian zone.

Riparian zone: Interface between land and a water body, and the vegetation associated with it.

Risk: The probability of an unacceptable negative impact arising from any activity in the Management Unit



combined with its seriousness in terms of consequences (Source: FSC-STD-01-001 V5-0).

Savanna Biome/ Savanna: Savanna has developed in the more tropical regions of the country where the rainfall is seasonal, with a pronounced dry period in winter, and temperatures high. Upper strata of rather low trees, many of which provide useful browse, scattered in grass-dominated undergrowth. Tree densities vary greatly, from conditions approaching forest at one extreme to almost open grassland at the other. Most of the trees are deciduous. (Tainton, NM 1999)

Scale: A measure of the extent to which a management activity or event affects an environmental value or a management unit, in time or space. An activity with a small or low spatial scale affects only a small proportion of the forest each year, an activity with a small or low temporal scale occurs only at long intervals (Source: FSC-STD-01-001 V5-0).

Scale, intensity and risk: See individual definitions of the terms 'scale', 'intensity', and 'risk'.

Sensitive ecosystems: mountains/hills/ridges; pans; perennial & ephemeral drainage lines

Significant: For the purposes of Principle 9, HCVs 1, 2 and 6 there are three main forms of recognizing significance.

- A designation, classification or recognized conservation status, assigned by an international agency such as IUCN or Birdlife International;
- A designation by national or regional authorities, or by a responsible national conservation organization, on the basis of its concentration of biodiversity;
- A voluntary recognition by the manager, owner or Organization, on the basis of available information, or of the known or suspected presence of a significant biodiversity concentration, even when not officially designated by other agencies.

Any one of these forms will justify designation as HCVs 1, 2 and 6. Many regions of the world have received recognition for their biodiversity importance, measured in many different ways. Existing maps and classifications of priority areas for biodiversity conservation play an essential role in identifying the potential presence of HCVs 1, 2 and 6 (Source: FSC-STD-01-001 V5-0).

Silviculture: The art and science of controlling the establishment, growth, composition, health and quality of forests and woodlands to meet the targeted diverse needs and values of landowners and society on a sustainable basis (Source: Nieuwenhuis, M. 2000. Terminology of Forest Management. IUFRO World Series Vol. 9. IUFRO 4.04.07 SilvaPlan and SilvaVoc).

Stakeholder: See definitions for 'affected stakeholder' and 'interested stakeholder'.

Statutory law or statute law: The body of law contained in Acts of Parliament (national legislature) (Source: Oxford Dictionary of Law).

Tenure: Socially defined agreements held by individuals or groups, recognized by *legal** statutes or customary practice, regarding the 'bundle of rights and duties' of ownership, holding, access and/or usage of a particular land unit or the associated resources there within (such as individual trees, plant species, water, minerals, etc.) (Source: World Conservation Union (IUCN). Glossary definitions provided on IUCN website).

Thicket/ Thickets: Within the forest* or Savanna*, an area where there is increase in woody plant densities (definition from MAAF (SEA 2015)

Threat: An indication or warning of impending or likely damage or negative impacts (Source: Based on Oxford English Dictionary).

Threatened species: Species that meet the IUCN (2001) criteria for Vulnerable (VU), Endangered (EN) or Critically Endangered (CR), and are facing a high, very high or extremely high risk of extinction in the wild. These categories may be re-interpreted for FSC purposes according to official national classifications (which have *legal** significance) and to local conditions and population densities (which should affect decisions about appropriate conservation measures) (Source: Based on IUCN. (2001). IUCN Red List Categories and Criteria: Version 3.1. IUCN Species Survival Commission. IUCN. Gland, Switzerland and Cambridge, UK.).



Timber harvesting level: The actual harvest quantity executed on *the Management Unit**, tracked by either volume (e.g. cubic meters or board feet) or area (e.g. hectares or acres) metrics for the purpose of comparison with calculated (maximum) allowable harvest levels.

Timely manner: As promptly as circumstances reasonably allow; not intentionally postponed by *The Organization**; in compliance with applicable laws, contracts, licenses or invoices.

Traditional Knowledge: Information, know-how, skills and practices that are developed, sustained and passed on from generation to generation within a community, often forming part of its cultural or spiritual identity (Source: based on the definition by the World Intellectual Property Organization (WIPO). Glossary definition as provided under Policy / Traditional Knowledge on the WIPO website).

Traditional peoples: Traditional peoples are social groups or peoples who do not self-identify as indigenous and who affirm rights to their lands, forests and other resources based on long established custom or traditional occupation and use (Source: Forest Peoples Programme (Marcus Colchester, 7 October 2009)).

Tree Equivalent: Formula based on 'tree equivalents' (TEs) and average annual rainfall. A TE is defined as a woody tree or bush of 1.5 meters in height. Therefore a 3 m tree represents 2 TEs. A 0.75 m tree/bush represents 0,5 TEs". It continues by defining what level of bush thinning is most appropriate, categorized according to the main encroacher species. The recommendations use a formula based on tree equivalents (TEs) and average annual rainfall. The SEA should be referred to for further description. A recommendation is to discount all non-encroacher species when determining the TE. For an area.

Uphold: To acknowledge, respect, sustain and support (Source: FSC-STD-01-001 V5-0).

Use rights: Rights for the use of resources of the Management Unit that can be defined by local custom, mutual agreements, or prescribed by other entities holding access rights. These rights may restrict the use of particular resources to specific levels of consumption or particular harvesting techniques (Source: FSC-STD-01-001 V5-0).

Vegetation Unit: A complex of plant communities ecologically and historically (both in spatial and temporal terms) occupying habitat complexes at the landscape Scale. (Mucina and Rutherford, 2006)

Verifiable targets: Specific goals, such as desired future forest conditions, established to measure progress towards the achievement of each of the *management objectives**. These goals are expressed as clear outcomes, such that their attainment can be verified and it is possible to determine whether they have been accomplished or not.

Very Limited portion: The area affected *shall** not exceed 0.5% of the area of the *Management Unit** in any one year, nor affect a total of more than 5% of the area of the *Management Unit** (Source: based on FSC-STD-01-002 V1-0 FSC Glossary of Terms (2009)).

Waste materials: unusable or unwanted substances or by-products, such as:

- Hazardous waste, including chemical waste and batteries;
- Containers;
- Motor and other fuels and oils;
- Rubbish including metals, plastics and paper; and
- Abandoned buildings, machinery and equipment.

Water bodies(including water courses): Seasonal, temporary, and permanent brooks, creeks, streams, rivers, ponds, and lakes. Water bodies include riparian or wetland systems, lakes, swamps, bogs and springs.

Water scarcity: A water supply that limits food production, human health, and economic development. Severe scarcity is taken to be equivalent to 1,000 cubic meters per year per person or greater than 40% use relative to supply (Source: Millennium Ecosystem Assessment. 2005. Ecosystems and Human Well-Being: Policy Responses. Findings of the Responses Working Group. Washington DC: Island Press, Pages 599-605).

Water stress: Occurs when the demand for water exceeds the available amount during a certain period or when poor quality restricts its use. Water stress causes deterioration of freshwater resources in terms of quantity



(aquifer over-exploitation, dry rivers, etc.) and quality (eutrophication, organic matter pollution, saline intrusion, etc.) (Source: UNEP, 2003, cited in Gold Standard Foundation. 2014. Water Benefits Standard).

Wetlands: Transitional areas between terrestrial and aquatic systems in which the water table is usually at or near the surface or the land is covered by shallow water (Source: Cowardin, L.M., Carter, V., Golet, F.C., Laroe, E.T. 1979. Classification of Wetlands and Deepwater Habitats of the United States. DC US Department: Washington).

Under the Ramsar Convention, wetlands can include tidal mudflats, natural ponds, marshes, potholes, wet meadows, bogs, peatlands, freshwater swamps, mangroves, lakes, rivers and even some coral reefs (Source: IUCN, No Date, IUCN Definitions – English).

Workers: All employed persons including public employees as well as 'self-employed' persons. This includes part-time and seasonal employees, of all ranks and categories, including laborers, administrators, supervisors, executives, contractor employees as well as self-employed contractors and sub-contractors (Source: ILO Convention C155 Occupational Safety and Health Convention, 1981).

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11. Abbreviations

C	Criterion
CARS	Corrective Action Request
CITES	Convention on International Trade in Endangered Species
DEA	Directorate of Environmental Affairs
DoF	Directorate of Forestry, MAWF
DRFN	Desert Research of Namibia
ECC	Environmental Clearance Certificate
EIA	Environmental Impact Assessment
EIS	Environmental Information System
EMA	Environmental Management Act
EMP	Environmental Management Plan
FMU	Forest Management Unit
FSC	Forest Stewardship Council
Ha	Hectares
HCV	High Conservation Value
I&AP	Interested and affected parties
ILO	International Labour Organisation
MAWF	Ministry of Agriculture Water and Forestry
MET	Ministry of Environment and Tourism
NAMPOL	Namibian Police Authority
NBRI	National Botanical Research Institute
NCA	Namibia Charcoal Association
NFTP	Non-Forest Timber Products
NNFU	Namibian National Farmers Union
NUST	Namibia University of Science and Technology
P	Principle
PPE	Personal Protective Equipment
RT&E	Rare Threatened & Endangered
SEA	Strategic Environmental Assessment
SLIMF	Small or low intensity managed forests
TA	Traditional Authority
UNAM	University of Namibia
V	Verifiers